



## Sample application form

# Business Research and Innovation Initiative (BRII): Regulatory Technology Round – Proof of Concept

This document shows the questions included in the online application form for this grant opportunity. It will help you prepare your responses and the mandatory attachments you need before you apply online.

# Instructions

---

The online form captures the information required by the department to assess and manage your application for services and funding.

The first page of the application page contains the following instructions.

## Completing your application

The application consists of separate pages as shown in the navigation menu on the left hand side of the portal page. You can navigate between pages using the menu or the buttons at the bottom of each page.

A red asterisk \* indicates a mandatory question. A warning message may appear if you have not completed all of the mandatory questions or if there is an issue with information you have entered.

## Saving your responses

You can save your changes at any time by using the Save button.

To prevent you losing your work you should save often. The portal will time out after 30 minutes if you do not save. Typing or moving your mouse does not reset the time out.

You must use the Save and Continue button to validate the information on each page. If you use the menu to navigate between pages, you will be prompted on the final page to go back and validate all of the information you have entered. A green tick indicates a validated page.

You can modify saved responses up until you submit your application.

## Participants

You may invite others to assist in completing your application via the application summary page. To do this:

- Select the Participants button
- Enter the details

An email will be sent to the participant inviting them to assist with your application.

## Submitting your application

You must complete every page of the application before you can submit.

You must also read and agree to the declaration which advises you of your responsibilities.

Check all your answers before you submit your application. After you submit, it will no longer be editable.

## Internet browsers supported by the portal

We recommend that you use the following browsers for optimum functionality:

- On Windows: The latest versions of Mozilla Firefox, Google Chrome and Microsoft Edge
- On Mac: The latest versions of Safari and Google Chrome

## Getting help

If you require further assistance completing this form, [contact us](#) by email or web chat or on 13 28 46.

Sample

## A. Program selection

---

Before you start your application, we need to first identify what type of entity is applying.

*Some programs we offer allow entities without an ABN to apply. The form is designed to accommodate these entities. However for this grant opportunity, an ABN is mandatory. If you do not have an ABN this grant opportunity will not appear in the program selection at the bottom of the first page and you will not be able to continue.*

If you are a trustee applying on behalf of a trust we will need details of both the trust and trustee.

Before you start you should have the following details ready if they are applicable to you.

- Australian Business Number (ABN)  
or
- Australian Company Number (ACN)
- Indigenous Corporation Number
- Australian Registered Body Number
- Australian Registered Scheme Number
- Incorporated Association Registration
- Co-operative Registration Number
- Charity status
- Not for profit status

Where applicable, international organisations will need to provide

- country of registration
- registration number

If you do not have an ABN we will ask you why you do not have one. You should note the following.

*If you are entitled to an ABN and do not provide it to us, we will be required to withhold 47 per cent from any grant awarded. If you intend to apply for an ABN you should do so before starting your application as you cannot update these details in the application form. If you apply for an ABN after you start your application you will need to start again.*

### A.1. Program selection

You must select from a drop-down menu the program that you are applying for. If you have been provided with an Invitation code, you will be able to enter it here which will select the program for you.

- Field 1 select - BR11 – Regulatory Technology Round – Proof of Concept
- Field 2 select - BR11 – Regulatory Technology Round – Proof of Concept

*When you have selected the program, the following text will appear.*

This grant opportunity will run over 15 months from October 2022 to January 2024.

The Business Research and Innovation Initiative (the program) was announced as part of the National Innovation and Science Agenda, with funding commencing 1 July 2016. The program received additional funding in the 2020–21 Budget under the Regulatory Technology (RegTech) Commercialisation Initiative.

The program provides small to medium sized enterprises (SMEs) with grant funding to develop innovative solutions for government policy and service delivery challenges.

The objective of the program is to drive innovation within SMEs and government by encouraging the development of innovative solutions by SMEs to public policy and service delivery challenges.

The intended outcomes of the program are:

- stimulate the innovative capacity of SMEs and Australian Government agencies
- improve business capability to access national and international markets
- develop SMEs' confidence and awareness when working with government as a possible customer
- encourage Australian Government agencies to participate in sourcing innovative solutions.

You can only apply for the Proof of Concept grant opportunity if you have been successful in the feasibility study stage.

The maximum grant amount is \$1,000,000.

You should read the [grant opportunity guidelines](#) and [sample grant agreements](#) before filling out this application. We recommend you keep the guidelines open as you are completing your application so you can refer to them when providing your responses.

You may submit your application at any time up until 17:00 (5.00pm) AEST on 4 July 2022. Please take account of time zone differences when submitting your application.

## B. Eligibility

---

We will ask you the following questions to establish your eligibility for the Business Research and Innovation (BRII): Regulatory Technology Round – Proof of Concept grant opportunity.

*Questions marked with an asterisk are mandatory.*

Can you provide the required supporting documentation for your application including a feasibility study report, project plan, budget plan, commercialisation plan and letter/s of support where applicable?\*

You will be required to provide evidence later in the application.

*You must answer yes to proceed to next section.*

Sample

## C. Applicant address

---

### C.1. Applicant street address

You must provide your street address

*When you start typing the address in the field you can select the correct one from the drop down list that appears. If it is not there you can enter manually.*

### C.2. Applicant postal address

You must provide your postal address

*When you start typing the address in the field you can select the correct one from the drop down list that appears. If it is not there you can enter manually.*

Sample

## D. About your organisation

---

*We collect the following data from all applicants across all grant programs. We use this data to better understand your organisation and to help us develop better policies and programs.*

### D.1. Latest financial year figures

*All values must be whole numbers. For example*

*6.5 months should be presented as 7 months*

*\$2 million should be presented as \$2,000,000*

- Has the applicant existed for a complete financial year?
- If no, enter the number of months completed in the financial year to date.

You must provide the following financial information about the applicant organisation for the financial year you have entered above.

*Values must be that of the entity applying (the 'applicant'), regardless of whether the entity belongs to a consolidated group for tax purposes.*

*These fields are mandatory and entering \$0 is acceptable if applicable for your organisation.*

- Sales revenue (turnover)

*Total revenue from the sale of goods and services, as reported in your organisation's Business Activity Statement (BAS).*

- Export revenue

*Total revenue from export sales, as reported in your organisation's Business Activity Statement (BAS).*

- R&D expenditure

*Expenditure on research and development, i.e. creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of humankind, culture and society, and the use of this stock of knowledge to devise new applications.*

- Taxable income

*Taxable income or loss as per your organisation's income tax return form.*

- Number of employees (headcount)

*Number of individuals who are entitled to paid leave (sick and holiday), or generate income from managing your organisation. This should include working proprietors and salaried directors.*

- Number of independent contractors (headcount)

*Number of individuals engaged by your organisation under a commercial contract (rather than an employment contract) to provide employee-like services on site.*

### D.2. Your ANZSIC code

Provide from a drop-down menu:

- your organisation's main revenue earning division under the Australian and New Zealand Standard Industrial Classification ([ANZSIC](#)).



- your organisation's main revenue earning class under the Australian and New Zealand Standard Industrial Classification ([ANZSIC](#)).

### **D.3. Indigenous organisation**

Is your organisation Indigenous owned?

*An organisation is considered Indigenous owned where at least 51% of the organisation's members or proprietors are Indigenous.*

Is your organisation Indigenous controlled?

*An organisation is considered Indigenous controlled where at least 51% of the organisation's board or management committee is Indigenous.*

Sample

## E. Project information

---

On this page you must provide detailed information about your proposed project.

*If your application is successful, we will publish some grant details on [GrantConnect](#) and other government publications. Published details include:*

*name of the grant recipient*

*a project title*

*a brief project description and its intended outcome*

*amount of grant funding awarded.*

### E.1. Project title and description

Provide a project title.

*Your response is limited to 75 characters including spaces and does not support formatting.*

Provide a brief project description.

*Your response is limited to 750 characters including spaces and does not support formatting.*

*Ensure your project description focuses on your project's key activities and outcomes. Outline what it is you are going to do and how it will address the challenge.*

### E.2. Detailed project description and key activities

*This information will be included in your grant agreement if your application is successful.*

Provide a detailed description of your project including the project scope and key activities.

*Your response is limited to 5000 characters including spaces and does not support formatting.*

You must also provide a project plan which you must attach later in your application. Refer to the grant opportunity guidelines for the requirements of the project plan.

### E.3. Project outcomes

*This information will be included in your grant agreement if your application is successful.*

Provide a summary of the expected project outcomes.

*Your response is limited to 5000 characters including spaces and does not support formatting.*

### E.4. Project duration

*Your project must be completed by 31 March 2024.*

- Estimated project start date (14 October 2022)
- Estimated project end date (31 March 2024)
- Estimated project length (15 months)

*Your project can be no longer than 15 months.*

## E.5. Project milestones

Provide details on the project milestones including the key activities occurring at each milestone. You can supply up to 10 milestones for your project.

*The milestone start and end dates must be between the project start and end dates.*

- Milestone title

*Your response is limited to 100 characters including spaces and does not support formatting.*

- Description

*Your response is limited to 750 characters including spaces and does not support formatting.*

- Estimated start date
- Estimated end date

## E.6. Project location

You must provide the address where your project will be undertaken and the estimated percentage of project value expected to be undertaken at that site. If you have multiple sites you must add the address of each site but your primary project location must be an Australian address.

*A project site must be a street address. Do not provide a postal address, institution or building name.*

- Project site address
- Estimated percentage of project value expected to be undertaken at site

## E.7. Disclosure of financial penalties

Have any of your board members, management or persons of authority been subject to any pecuniary penalty, whether civil, criminal or administrative, imposed by a Commonwealth, state, or territory court or a Commonwealth, state, or territory entity?

If yes, provide details of the penalty.

*Your response is limited to 750 characters including spaces and does not support formatting.*

## E.8. Foreign affiliations

Does your project receive any funding or non-financial support from a foreign source?

If yes, provide details of the foreign financial support.

*Your response is limited to 750 characters including spaces and does not support formatting.*

Do any entities or key personnel involved with the project receive financial support or benefits from a foreign source?

If yes, provide details of the arrangement.

*Your response is limited to 750 characters including spaces and does not support formatting.*

Do any entities or key personnel involved with the project have any current or former association with a foreign talent program?

If yes, provide details of the foreign talent program.

*Your response is limited to 750 characters including spaces and does not support formatting.*

Do any entities or key personnel involved with the project have any ties to a foreign government, military or state-owned enterprise?

If yes, provide details of the affiliations or associations.

*Your response is limited to 750 characters including spaces and does not support formatting.*

### **E.9. National security plan**

Does your organisation have a plan or framework in place to manage any potential security risks associated with the project and your organisation more broadly?

*This includes protecting your organisation from potential national security risks including cyber security threats and the secure handling of data. We may ask for a copy of your plan or framework at a later stage.*

Sample

## F. Project budget

### F.1. Project budget summary

You must provide a summary of your eligible project costs over the life of the project in the table as shown.

*If you are registered for GST, enter the GST exclusive amount. If you are not registered for GST, enter the GST inclusive amount. We only provide grant funding based on eligible expenditure. Refer to the guidelines for guidance on eligible expenditure.*

*The maximum grant amount for this grant opportunity is \$1,000,000.*

*Your project management costs cannot exceed 70 per cent of administrative labour expenditure.*

*Labour on-costs cannot exceed 30 per cent of labour expenditure.*

*Eligible overseas travel expenditure cannot exceed 10 per cent of total eligible expenditure.*

*You will also be required to attach a detailed project budget later in the application form. Refer to the grant opportunity guidelines for the requirements of the budget.*

| Type of expenditure | Head of expenditure                         | Financial Year | Cost |
|---------------------|---|----------------|------|
| Project expenditure |   |                | \$   |
|                     | Labour                                      |                | \$   |
|                     |   | 2022/23        | \$   |
|                     |   | 2023/24        | \$   |
|                     | Labour on-costs (up to 30% of labour costs) |                | \$   |
|                     |   | 2022/23        | \$   |
|                     |   | 2023/24        | \$   |
|                     | Contracts                                   |                | \$   |
|                     |   | 2022/23        | \$   |
|                     |   | 2023/24        | \$   |
|                     | Travel                                      |                | \$   |
|                     |   | 2022/23        | \$   |
|                     |   | 2023/24        | \$   |
|                     | Other Eligible Expenditure                  |                |      |
|                     |   | 2022/23        | \$   |
|                     |   | 2023/24        | \$   |

| Type of expenditure | Head of expenditure | Financial Year | Cost |
|---------------------|---------------------|----------------|------|
| Total               |                     |                |      |

## F.2. Source of funding

In this section you must provide details of how you will fund the project. All fields are required, so you must enter zero in the fields even if they are not applicable. Where you have project partners or collaborators, their contribution will be recorded later in the application.

*The total of all sources of funding, should be equal to your total project expenditure in the section above. Sources of funding include:*

*grant amount sought*

*your contribution*

*other contributions as allowed in the grant opportunity guidelines*

*Your contribution cannot come from other government sources.*

## F.3. Grant amount sought

You must enter the amount of grant funding you are requesting. We will add GST to this where applicable.

*The maximum grant amount under this grant opportunity is \$1,000,000.*

## F.4. Contributions

You will need to provide the following information for all other sources of funding

- Name of contributor
- Type of contributor

*Contributors are divided into the following types*

- *Your contribution*
- *Other non-government contribution*
- Value of contribution
- Date due of contribution
- Description

*You may need to provide details around whether your contribution is sourced from bank loans, equity or cash flow etc.*

## G. Assessment criteria

---

We will assess your application based on the weighting given to each criterion and against the indicators listed beneath each criterion. We will only consider funding applications that score at least 50 per cent against each criterion as these represent best value for money.

*The amount of detail and supporting evidence you provide should be commensurate with the project size, complexity and grant amount requested. You should define, quantify and provide evidence to support your answers.*

*To support your responses you must include mandatory attachments later in the application.*

### G.1. Assessment criterion 1 (30 points)

*Your response is limited to 5000 characters including spaces and does not support formatting.*

#### **Extent that your proposed solution meets the challenge.**

You should demonstrate this by identifying:

- a. how your proposed solution will meet the challenge
- b. the uniqueness of your proposed solution relative to what is already in the market
- c. the value for money, including the social, environmental and economic benefit of your solution
- d. whether your feasibility study confirms the feasibility of your proposed solution.

You must also attach your feasibility study report to support your response later in the application.

### G.2. Assessment criterion 2 (30 points)

*Your response is limited to 5000 characters including spaces and does not support formatting.*

#### **Market opportunity of your proposed solution.**

You should demonstrate this by identifying:

- a. the clear commercial potential to create a marketable product, process or service for customers beyond the government agency leading the BRII challenge, including a commercialisation plan to deliver the solution and the route to local and/or global markets. Your commercialisation plan should include:
  - a clear set of objectives
  - a clearly defined path to market
  - the size of your target market and definition of customer type
  - your Intellectual Property strategy
  - your manufacturing strategy (where applicable)
  - your financial plan
  - your capacity and capability, or ability to access capability, to deliver on the commercialisation plan.

You must also attach a commercialisation plan to support your response later in the application.

### **G.3. Assessment criterion 3 (40 points)**

*Your response is limited to 5000 characters including spaces and does not support formatting.*

#### **Capacity, capability and resources to deliver the project**

You should demonstrate this by identifying:

- a. your track record managing similar projects and access to personnel with the right skills and experience, including commercialisation
- b. your access, or future access, to any infrastructure, capital equipment, technology and intellectual property
- c. a sound project plan to manage and monitor the project, including a risk analysis
- d. your project budget.

You must attach a project plan, detailed budget and risk strategy (including national and cyber security mitigation planning) to support your response later in this application.

Sample



## H. Project partners

---

You must provide details about your project partners.

*For details about project partner contributions refer to the grant opportunity guidelines.*

You must provide

- Australian Business Number (ABN)
- Other registration number where applicable
- Business address
- Postal address
- Contact details
- Project partner letter of support attached. Letter to include details of partner contributions.

## I. Bank account details

---

### I.1. Bank account details

If your application is successful we will need to set up a payment process to pay your grant. We need your bank account details to do this. If your application is not successful we will not process these details.

*We can only pay grant funding to the applicant organisation, who if successful will be party to a grant agreement with the Commonwealth. You must provide bank account details for this organisation.*

### I.2. Account details

Account name

BSB

Account number

### I.3. Payment contact

Given name

Family name

Email address

Phone number

## J. Application finalisation

---

You must answer the following questions and add any supporting documentation required.

### J.1. Conflict of interest

Do you have any perceived or existing conflicts of interest to declare?

*Refer to the grant opportunity guidelines for further information on your conflict of interest responsibilities.*

If yes, describe the perceived or existing conflicts of interest.

*Your response is limited to 750 characters including spaces and does not support formatting.*

If yes, describe how you anticipate managing this conflict.

*Your response is limited to 750 characters including spaces and does not support formatting.*

### J.2. Program feedback

How did you hear about the grant opportunity?

*You may select from a drop-down menu.*

### J.3. Supporting information

You must attach supporting documentation to the application form in line with the instructions provided within the form. You should only attach requested documents. We will not consider information in attachments that we do not request.

*The total of all attachments cannot exceed 20 MB.*

*Individual files must be smaller than 2.0mb, and be one of the following types: doc, docx, rtf, pdf, xls,xlsx, csv, jpg, jpeg, png, gif.*

*Filenames should only include letters or numbers and should be fewer than 40 characters.*

- feasibility study report (template provided on [business.gov.au](https://www.business.gov.au)<sup>1</sup> and [GrantConnect](#))

*A copy of your feasibility study report should be no more than 20 pages of A4, size 12 font (template provided on <https://www.business.gov.au/assistance/business-research-and-innovation-initiative#key-documents> and GrantConnect)*

- project plan

*You must attach a project plan outlining all the project activities including a timetable*

- budget plan

*You must attach a detailed project budget to demonstrate your estimated project expenditure*

- commercialisation plan

*You must attach a commercialisation plan that includes the future commercial potential of the solution in domestic and/ or international markets*

---

<sup>1</sup> <https://www.business.gov.au/assistance/business-research-and-innovation-initiative#key-documents>

## K. Primary contact

---

You must provide the details of a primary contact for your application.

*The primary contact is the person authorised to act on behalf of the applicant. We consider them our primary contact point for all aspects of this application. We will send all email correspondence to this person. If these details change, you must inform us as soon as possible so automated emails can be redirected. The details include*

- Given name
- Family name
- Position title
- Email address
- Phone number
- Mobile number
- Primary address

Sample

## L. Application declaration

---

In order to submit your application you will be required to agree to the following declaration.

### L.1. Privacy and confidentiality provisions

I acknowledge that this is an Australian Government program and that the Department of Industry, Science, Energy and Resources (the department) will use the information I provide in accordance with the following:

- [Australian Government Public Data Policy Statement](#)
- [Commonwealth Grants Rules and Guidelines](#)
- grant opportunity guidelines
- applicable Australian laws.

Accordingly, I understand that the department may share my personal information provided in this application within this department and other government agencies:

- a. for purposes directly related to administering the program, including governance, research and the distribution of funds to successful applicants
- b. to facilitate research, assessment, monitoring and analysis of other programs and activities unless otherwise prohibited by law.

I understand that where I am successful in obtaining a grant, the financial information that I provide for the purposes of payment will be accessible to departmental staff to enable payments to be made through the department's accounts payable software system.

I understand that information that is deemed 'confidential' in accordance with the grant opportunity guidelines may also be shared for a relevant Commonwealth purpose.

The department will publish information on individual grants in the public domain, including on the department's website, unless otherwise prohibited by law.

### L.2. Applicant declaration

I declare that I have read and understood the grant opportunity guidelines, including the grant agreement, privacy, confidentiality and disclosure provisions.

I declare that the proposed project outlined in this application and any associated expenditure has been endorsed by the applicant's board/ management committee or person with authority to commit the applicant to this project.

I declare that the applicant will comply with, and require that its subcontractors and independent contractors comply with, all applicable laws.

I declare that the applicant and any project partners are not listed on the [National Redress Scheme](#) list of institutions, where sexual abuse has occurred, that have not joined or signified their intent to join the Scheme.

I declare that the applicant is not named by the [Workplace Gender Equality Agency](#) as an organisation that has not complied with the *Workplace Gender Equality Act 2012*.

I declare that the information contained in this application together with any statement provided is, to the best of my knowledge, accurate, complete and not misleading and that I understand that

giving of false or misleading information is a serious offence under the *Criminal Code Act 1995* (Cth).

I acknowledge that I may be requested to provide further clarification or documentation to verify the information supplied in this form and that the department may, during the application process, consult with other government agencies, including state and territory government agencies, about the applicant's claims and may also engage external technical or financial advisors to advise on information provided in the application.

I agree to participate in the periodic evaluation of the services undertaken by the department.

I approve the information in this application being communicated to the department in electronic form.

I acknowledge that if the department is satisfied that any statement made in an application is incorrect, incomplete, false or misleading the department may, at its absolute discretion, take appropriate action. I note such action may include excluding an application from further consideration; withdrawing an offer of funding; using the information contained in the application for a fraud investigation that would be consistent with the Australian Government's Investigations Standards and Commonwealth Fraud Control Framework and/or for a grant under management, terminating a grant agreement between the Commonwealth and the grantee including recovering funds already paid.

I declare that I am authorised to enter into an agreement with the Commonwealth on behalf of the applicant.

I declare that I am authorised to submit this form on behalf of the applicant and acknowledge that this is the equivalent of signing this application.