

Sample application form

International Clean Innovation Researcher Networks grant opportunity

Version February 2023

This document shows the questions included in the online application form for the International Clean Innovation Researcher Networks grant opportunity. It will help you prepare your responses and the mandatory attachments you need before you apply online.

Instructions

The online form captures the information required by the department to assess and manage your application for services and funding.

The first page of the application page contains the following instructions.

Completing your application

The application consists of separate pages as shown in the navigation menu on the left hand side of the portal page. You can navigate between pages using the menu or the buttons at the bottom of each page.

A red asterisk * indicates a mandatory question. A warning message may appear if you have not completed all of the mandatory questions or if there is an issue with information you have entered.

Saving your responses

You can save your changes at any time by using the Save button.

To prevent you losing your work you should save often. The portal will time out after 30 minutes if you do not save. Typing or moving your mouse does not reset the time out.

You must use the Save and Continue button to validate the information on each page. If you use the menu to navigate between pages, you will be prompted on the final page to go back and validate all of the information you have entered. A green tick indicates a validated page.

You can modify saved responses up until you submit your application.

Participants

You may invite others to assist in completing your application via the application summary page. To do this:

- Select the Participants button
- Enter the details

An email will be sent to the participant inviting them to assist with your application.

Submitting your application

You must complete every page of the application before you can submit.

You must also read and agree to the declaration which advises you of your responsibilities.

Check all your answers before you submit your application. After you submit, it will no longer be editable.

Internet browsers supported by the portal

We recommend that you use the following browsers for optimum functionality:

- On Windows: The latest versions of Mozilla Firefox, Google Chrome and Microsoft Edge
- On Mac: The latest versions of Safari and Google Chrome

Getting help

If you require further assistance completing this form, <u>contact us</u> by email or web chat or on 13 28 46.

A. Program selection

Before you start your application, we need to first identify what type of entity is applying.

If you are a trustee applying on behalf of a trust we will need details of both the trust and trustee.

Before you start you should have the following details ready if they are applicable to you.

Australian Business Number (ABN)

A.1. Program selection

You must select from a drop-down menu the program that you are applying for. If you have been provided with an Invitation code, you will be able to enter it here which will select the program for you.

- Field 1 select International Clean Innovation Researcher Networks
- Field 2 select International Clean Innovation Researcher Networks

When you have selected the program, the following text will appear.

This grant opportunity will run over 4 years from 2023-24 to 2026-27.

The International Clean Innovation Researcher Network Grant program is part of the Australian Government's investment in clean innovation and international collaboration. It is aimed at supporting and accelerating clean technology breakthroughs.

The program is a part of Australia's international climate change engagement and furthers obligations Australia has under the United Nations Framework Convention on Climate Change, and the Paris Agreement.

The objectives of the program are to:

- increase collaboration in the Australian research community on clean innovation
- establish new, and enhance existing, international researcher and industry networks to support efforts on clean innovation pathways
- secure international support for Australian research and projects to produce new or enhanced research outcomes
- facilitate Australian researchers to participate in live events (including in-person or virtually) including conferences and workshops specific to their network, e.g. Mission Innovation programs, with demonstrated impact to advance technology development
- create sustainable research, development and deployment (RD&D) networks that have a viable funding model after the end of the grant period.

The grant amount will be up to 60 per cent of total eligible project expenditure at a minimum of \$200,000 and a maximum of \$3 million.

You should read the <u>grant opportunity guidelines</u> and <u>sample grant agreements</u> before filling out this application. We recommend you keep the guidelines open as you are completing your application so you can refer to them when providing your responses.

You may submit your application at any time up until 5.00pm AEST on 25 May 2023. Please take account of time zone differences when submitting your application.

To prevent you losing your work you should save often. The portal will time out after 30 minutes if you do not save. Typing or moving your mouse does not reset the time out.

Eligibility В.

We will ask you the following questions to establish your eligibility for the International Clean Innovation Researcher Networks grant opportunity.

Questions marked with an asterisk are mandatory.

Select your entity type. *

- an entity, incorporated in Australia
- an incorporated trustee on behalf of a trust
- a publicly funded research organisation (PFRO) as defined in the grant opportunity guidelines
- a Commonwealth corporate entity
- none of the above.

You must select one of the eligible options from a drop down menu to proceed to next question.

Can you verify you have support from your board (or chief executive officer or equivalent if there is no board) that the project is supported, and that you can complete the project and meet the costs of the project not covered by grant funding? *

You must answer yes to proceed to next question.

Can you agree that you will establish at least one international partnership or enhance an existing international partnership that will contribute towards project costs, within six months of execution of the grant agreement? *

You must answer yes to proceed to next question.

Can you provide all mandatory attachments as detailed in the grant opportunity guidelines? *

You must answer yes to proceed to next section.



Applicant address

C.1. **Applicant street address**

You must provide your street address

When you start typing the address in the field you can select the correct one from the drop down list that appears. If it is not there you can enter manually.

C.2. Applicant postal address

You must provide your postal address

When you start typing the address in the field you can select the correct one from the drop down list that appears. If it is not there you can enter manually.



About your organisation D.

We collect the following data from all applicants across all grant programs. We use this data to better understand your organisation and to help us develop better policies and programs.

D.1. Latest financial year figures

All values must be whole numbers. For example

6.5 months should be presented as 7 months

\$2 million should be presented as \$2,000,000

- Has the applicant existed for a complete financial year?
- If no, enter the number of months completed in the financial year to date.

You must provide the following financial information about the applicant organisation for the financial year you have entered above.

Values must be that of the entity applying (the 'applicant'), regardless of whether the entity belongs to a consolidated group for tax purposes.

These fields are mandatory and entering \$0 is acceptable if applicable for your organisation.

Sales revenue (turnover)

Total revenue from the sale of goods and services, as reported in your organisation's Business Activity Statement (BAS).

Export revenue

Total revenue from export sales, as reported in your organisation's Business Activity Statement (BAS).

R&D expenditure

Expenditure on research and development, i.e. creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of humankind, culture and society, and the use of this stock of knowledge to devise new applications.

Taxable income

Taxable income or loss as per your organisation's income tax return form.

Number of employees (headcount)

Number of individuals who are entitled to paid leave (sick and holiday), or generate income from managing your organisation. This should include working proprietors and salaried directors.

Number of independent contractors (headcount)

Number of individuals engaged by your organisation under a commercial contract (rather than an employment contract) to provide employee-like services on site.

D.2. Your ANZSIC code

Provide from a drop-down menu:

your organisation's main revenue earning division under the Australian and New Zealand Standard Industrial Classification (ANZSIC).

your organisation's main revenue earning class under the Australian and New Zealand Standard Industrial Classification (ANZSIC).

Indigenous organisation D.3.

Is your organisation Indigenous owned?

An organisation is considered Indigenous owned where at least 51% of the organisation's members or proprietors are Indigenous.

Is your organisation Indigenous controlled?

An organisation is considered Indigenous controlled where at least 51% of the organisation's board or management committee is Indigenous.



Project information Ε.

On this page you must provide the detailed information about your proposed project.

If your application is successful, we will publish some grant details on GrantConnect and other government publications. Published details include:

- name of the grant recipient
- a project title
- a brief project description and its intended outcome
- amount of grant funding awarded.

E.1. Project title and description

Provide a project title.

Your response is limited to 75 characters including spaces and does not support formatting.

Provide a brief project description for publication.

Your response is limited to 750 characters including spaces and does not support formatting.

Ensure your project description focuses on your project's key activities and outcomes. Outline what it is you are going to do and how it will support the development and implementation of international researcher networks focused on accelerating clean innovation.

E.2. Detailed project description and key activities

This information will be included in your grant agreement if your application is successful.

Provide a detailed description of your project including the project scope and key activities.

Your response is limited to 5000 characters including spaces and does not support formatting.

You must attach a project plan (including your governance and risk management frameworks) later in this form.

E.3. **Project outcomes**

This information will be included in your grant agreement if your application is successful.

Provide a summary of the expected project outcomes.

Your response is limited to 5000 characters including spaces and does not support formatting.

E.4. **Project duration**

Your project must be completed in line with the dates provided in the grant opportunity guidelines. If you are successful, we expect you will be able to commence your project around July 2023 and it must be completed by 31 March 2027.

- Estimated project start date
- Estimated project end date
- Estimated project length (in months)

E.5. Project milestones

Provide details on the project milestones including the key activities occurring at each milestone. You can add up to 10 milestones.

The milestone start and end dates must be between the project start and end dates.

Milestone title

Your response is limited to 100 characters including spaces and does not support formatting.

Description

Your response is limited to 750 characters including spaces and does not support formatting.

- Estimated start date
- Estimated end date

E.6. Project location

You must provide the address where your project will be undertaken and the estimated percentage of project value expected to be undertaken at that site. If you have multiple sites you must add the address of each site.

A project site must be a street address. Do not provide a postal address, institution or building name.

- Project site address
- Estimated percentage of project value expected to be undertaken at site

E.7. Employment

- How many total employees do you currently employ (headcount)? *
- How many full-time employees do you currently employ (headcount)? *
- How many part-time employees do you currently employ (headcount)? *
- How many non-ongoing (e.g. casuals or contractors) employees do you currently employ (headcount)?*
- How many Indigenous employees do you currently employ (headcount)? *
- How many Indigenous employees are currently employed full-time (headcount)? *
- How many Indigenous employees are currently employed part-time (headcount)? *
- How many Indigenous employees are currently employed as non-ongoing (e.g. casuals or contractors) (headcount)? *
- How many new employees (headcount) do you expect to employ as a result of your participation in this project? *
- How many new full-time employees (headcount) do you expect to employ as a result of your participation in this project? *
- How many new part-time employees (headcount) do you expect to employ as a result of your participation in this project? *
- How many new non-ongoing (e.g. casuals or contractors) employees (headcount) do you expect to employ as a result of your participation in this project? *
- How many new Indigenous employees (headcount) do you expect to employ as a result of your participation in this project? *

- How many new full-time Indigenous employees (headcount) do you expect to employ as a result of your participation in this project? *
- How many new part-time Indigenous employees (headcount) do you expect to employ as a result of your participation in this project? *
- How many new non-ongoing (e.g. casuals or contractors) Indigenous employees (headcount) do you expect to employ as a result of your participation in this project? *

E.8. Disclosure of financial penalties

Have any of your board members, management or persons of authority been subject to any pecuniary penalty, whether civil, criminal or administrative, imposed by a Commonwealth, state, or territory court or a Commonwealth, state, or territory entity?

If yes, provide details of the penalty.

Your response is limited to 750 characters including spaces and does not support formatting.

E.9. Foreign affiliations

Does your project receive any funding or non-financial support from a foreign source?

If yes, provide details of the foreign financial support.

Your response is limited to 750 characters including spaces and does not support formatting.

Do any entities or key personnel involved with the project receive financial support or benefits from a foreign source?

If yes, provide details of the arrangement.

Your response is limited to 750 characters including spaces and does not support formatting.

Do any entities or key personnel involved with the project have any current or former association with a foreign talent program?

If yes, provide details of the foreign talent program.

Your response is limited to 750 characters including spaces and does not support formatting.

Do any entities or key personnel involved with the project have any ties to a foreign government, military or state-owned enterprise?

If yes, provide details of the affiliations or associations.

Your response is limited to 750 characters including spaces and does not support formatting.

E.10. National security plan

Does your organisation have a plan or framework in place to manage any potential security risks associated with the project and your organisation more broadly?

This includes protecting your organisation from potential national security risks including cyber security threats and the secure handling of data. We may ask for a copy of your plan or framework at a later stage.

F. **Project budget**

Project budget summary F.1.

Provide a summary of your eligible project expenditure over the life of the project.

If you are registered for GST, enter the GST exclusive amount. If you are not registered for GST, enter the GST inclusive amount. We only provide grant funding based on eligible expenditure. Refer to the guidelines for guidance on eligible expenditure.

The minimum project expenditure for this grant opportunity is \$335,000.

Labour on-costs cannot exceed 30 per cent of labour costs.

When completing the table below please ensure your in-kind contributions do not exceed 20 per cent of your eligible expenditure.

Domestic and/or international travel cannot exceed 10 per cent of total eligible project expenditure.

Other eligible expenditure may include Independent audit costs which cannot exceed 1 per cent of total eligible project expenditure.

You must attach a detailed project budget (split across financial years) later in the application form. Refer to the grant opportunity guidelines for the requirements of the budget.

Type of expenditure	Head of expenditure	Financial Year	Cost
Project expenditure			\$
	Labour		\$
		2022/23	
		2023/24	
		2024/25	
		2025/26	
		2026/27	
	Labour on-costs 30%		\$
		2022/23	\$
		2023/24	
		2024/25	
		2025/26	
		2026/27	
	Contract expenditure		\$
		2022/23	\$
		2023/24	
		2024/25	

Type of expenditure	Head of expenditure	Financial Year	Cost
		2025/26	
		2026/27	
	Travel		\$
		2022/23	\$
		2023/24	
		2024/25	
		2025/26	
		2026-27	
	Other eligible expenditure		\$
		2022/23	\$
		2023/24	
		2024/25	
		2025/26	
		2026-27	
Total			

F.2. **Source of funding**

In this section you must provide details of how you will fund the project.

The total of all sources of funding, should be equal to your total project expenditure in the section above. Sources of funding include:

- grant amount sought
- your contribution
- other contributions as allowed in the grant opportunity guidelines

F.3. **Grant amount sought**

You must enter the amount of grant funding you are requesting. We will add GST to this where applicable.

The minimum grant amount under this grant opportunity is \$200,000. The maximum grant amount under this grant opportunity is \$3 million.

F.4. **Contributions**

You will need to provide the following information for all other sources of funding

Name of contributor

Type of contributor

Contributors are divided into the following types

- Your contribution
- Other Commonwealth government grants
- o Other non-Commonwealth government grants
- Other non-government contribution
- Value of contribution
- Date due of contribution
- Description

You may need to provide details around whether your contribution is sourced from bank loans, equity or cash flow etc.

Where you are receiving other government funding you will need to provide details.



G. Assessment criteria

We will assess your application based on the weighting given to each criterion and against the indicators listed beneath each criterion. We will only consider funding applications that score at least 50 per cent against each criterion as these represent best value for money.

The amount of detail and supporting evidence you provide should be commensurate with the project size, complexity and grant amount requested. You should define, quantify and provide evidence to support your answers.

G.1. Assessment criterion 1 (40 points)

Your response is limited to 5000 characters including spaces and does not support formatting.

How your project aligns with the objectives of the program

You should demonstrate this by describing:

- a. the new or enhanced international researcher network, and how you plan to develop or grow this to support Australian engagement internationally
- b. how this network will address at least one of the research areas, identified in section 2 of the grant opportunity guidelines, or subset of these areas
- the international partner(s) or international program you will cooperate with, how it will enhance networks and collaboration within Australia and internationally, and how it will achieve outcomes
- d. the planned research, experiments, analysis or project(s) you will develop
- e. how your network will support Australia's 2030 or 2050 emissions reductions targets
- f. any intended participation, or hosting of, conferences and workshops, your selection process for these and the basis on which researchers will be selected to attend (in-person or virtually)
- g. key activities to share knowledge and progress collaborative research
- h. your strategy to maintain momentum for the network beyond the term of grant funding.

G.2. Assessment criterion 2 (40 points)

Your response is limited to 5000 characters including spaces and does not support formatting.

Capacity, capability and resources to deliver the project

You should demonstrate this by describing:

- a. you and your staff or contractors, track record managing similar projects and access to personnel with the right skills and experience, including project management, research and technical expertise
- b. the capability or support of international partners and linkages, including any existing research work and stage of maturity of the partnerships and linkages.
- c. your access, or future access, to any infrastructure, capital equipment, technology, intellectual property and required regulatory or other approvals
- d. a comprehensive project plan to manage and monitor the project and risks, which includes governance and risk management frameworks that address ethical technology use, foreign interference, and technology transfer risks.

You must attach a copy of your project plan later in the form.

G.3. Assessment criterion 3 (20 points)

Your response is limited to 5000 characters including spaces and does not support formatting.

Impact of grant funding

You should demonstrate this by describing the:

- a. likelihood the project would proceed without the grant. If not why not? Explain how the grant will impact the project in terms of size and timing
- b. total investment the grant will leverage
- c. positive impact the grant will have on the scale or timing of the project and how you will measure the success of your project
- d. project budget including the funding term and how the grant will be used.

You must attach your detailed project budget later in the form.



Project partners Η.

You must provide details about all of your project partners.

For details about project partner contributions refer to the grant opportunity guidelines.

You must provide

- Australian Business Number (ABN)
- Other registration number where applicable
- **Business address**
- Postal address
- Contact details
- Project partner letter of support attached. Letter to include details of partner contributions.



Bank account details Ι.

I.1. Bank account details

If your application is successful we will need to set up a payment process to pay your grant. We need your bank account details to do this. If your application is not successful we will not process these details.

We can only pay grant funding to the applicant organisation, who if successful will be party to a grant agreement with the Commonwealth. You must provide bank account details for this organisation.

Account details 1.2.

Account name

BSB

Account number

1.3. **Payment contact**

Given name

Family name

Email address

Phone number



Application finalisation J.

You must answer the following questions and add any supporting documentation required.

Conflict of interest J.1.

Do you have any perceived or existing conflicts of interest to declare?

Refer to the grant opportunity guidelines for further information on your conflict of interest responsibilities.

If yes, describe the perceived or existing conflicts of interest.

Your response is limited to 750 characters including spaces and does not support formatting.

If yes, describe how you anticipate managing this conflict.

Your response is limited to 750 characters including spaces and does not support formatting.

Additional information J.2.

You must attach the following supporting documentation. You should only attach documents we have requested.

The total of all attachments cannot exceed 20 MB.

Individual files must be smaller than 2.0mb, and be one of the following types: doc, docx, rtf, pdf, xls, xlsx, csv, jpg, jpeg, png, gif.

Filenames should only include letters or numbers and should be fewer than 40 characters.

Project plan *

You must attach a project plan outlining all the project activities including a timetable.

Project budget *

You must attach a detailed project budget to demonstrate your estimated project expenditure.

Trust documents (where applicable)

Where you have indicated your entity type is a trustee applying on behalf of a trust, you must attach trust documents showing the relationship of the incorporated trustee to the trust.

Evidence of funding strategy *

You must provide evidence of funding strategy, e.g. financial statements, loan agreements, cash flow documents, funding agreements from state or territory governments, letter of contribution from third party (template provided on business.gov.au and GrantConnect).

Evidence of support from your board *

You must provide evidence from your board (or chief executive officer or equivalent if there is no board) that your project is supported and that you can complete the project and meet the costs of the project not covered by grant funding.

Supporting documents

If we have asked you to provide additional documents you should attach them here.

J.3. **Program feedback**

How did you hear about the grant opportunity? *

You may select from a drop-down menu.

Did you read the grant opportunity guidelines?

You may select from a drop-down menu.

We welcome any additional feedback on the guidelines.

Your response is limited to 750 characters including spaces and does not support formatting.

How satisfied were you with the process of applying for a grant?

You may select from a drop-down menu.

We welcome any additional feedback on the application process.

Your response is limited to 750 characters including spaces and does not support formatting.



Primary contact K.

You must provide the details of a primary contact for your application.

The primary contact is the person authorised to act on behalf of the applicant and we send all email correspondence to this person. If you are not the primary contact, we will send an email to this person to invite them to register as a participant in the application. It is important that they register, or our emails will not be received. We recommend you let them know this.

If these details change, inform us as soon as possible so emails can be redirected.

- Given name
- Family name
- Position title
- Email address
- Phone number
- Mobile number
- Primary address



L. Application declaration

In order to submit your application you will be required to agree to the following declaration.

L.1. Privacy and confidentiality provisions

I acknowledge that this is an Australian Government program and that the Department of Industry, Science and Resources (the department) will use the information I provide in accordance with the following:

- Australian Government Public Data Policy Statement
- Commonwealth Grants Rules and Guidelines
- grant opportunity guidelines
- applicable Australian laws.

Accordingly, I understand that the department may share my personal information provided in this application within this department and other government agencies:

- for purposes directly related to administering the program, including governance, research and the distribution of funds to successful applicants
- b. to facilitate research, assessment, monitoring and analysis of other programs and activities unless otherwise prohibited by law.

I understand that where I am successful in obtaining a grant, the financial information that I provide for the purposes of payment will be accessible to departmental staff to enable payments to be made through the department's accounts payable software system.

I understand that information that is deemed 'confidential' in accordance with the grant opportunity guidelines may also be shared for a relevant Commonwealth purpose.

The department will publish information on individual grants in the public domain, including on the department's website, unless otherwise prohibited by law.

L.2. Applicant declaration

I declare that I have read and understood the grant opportunity guidelines, including the grant agreement, privacy, confidentiality and disclosure provisions.

I declare that the proposed project outlined in this application and any associated expenditure has been endorsed by the applicant's board/ management committee or person with authority to commit the applicant to this project.

I declare that the applicant will comply with, and require that its subcontractors and independent contractors comply with, all applicable laws.

I declare that the applicant and any project partners are not listed on the <u>National Redress Scheme</u> list of institutions, where sexual abuse has occurred, that have not joined or signified their intent to join the Scheme.

I declare that the applicant is not named by the <u>Workplace Gender Equality Agency</u> as an organisation that has not complied with the *Workplace Gender Equality Act 2012*.

I confirm that the applicant, project partners and associated activities are in compliance with current <u>Australian Government sanctions</u>.

I declare that the information contained in this application together with any statement provided is, to the best of my knowledge, accurate, complete and not misleading and that I understand that giving of false or misleading information is a serious offence under the Criminal Code Act 1995 (Cth).

I acknowledge that I may be requested to provide further clarification or documentation to verify the information supplied in this form and that the department may, during the application process, consult with other government agencies, including state and territory government agencies, about the applicant's claims and may also engage external technical or financial advisors to advise on information provided in the application.

I agree to participate in the periodic evaluation of the services undertaken by the department.

I approve the information in this application being communicated to the department in electronic form.

I acknowledge that if the department is satisfied that any statement made in an application is incorrect, incomplete, false or misleading the department may, at its absolute discretion, take appropriate action. I note such action may include excluding an application from further consideration; withdrawing an offer of funding; using the information contained in the application for a fraud investigation that would be consistent with the Australian Government's Investigations Standards and Commonwealth Fraud Control Framework and/or for a grant under management, terminating a grant agreement between the Commonwealth and the grantee including recovering funds already paid.

I declare that I am authorised to submit this form on behalf of the applicant and acknowledge that this is the equivalent of signing this application.