



Australian Government

Department of Health and Aged Care

Commonwealth Standard Grant Agreement

between the Commonwealth represented by

Department of Health and Aged Care

and

<Grantee>

NB: This is an example standard grant agreement intended for use with the <Program name>. The Commonwealth reserves the option to amend or adjust the form of the grant agreement.

Contents

Grant Agreement <grant number>.....	3
Parties to this Agreement.....	3
Background.....	3
Scope of this Agreement.....	4
Grant Details <grant number>.....	5
A. Purpose of the Grant.....	5
B. Activity.....	5
C. Duration of the Grant.....	6
D. Payment of the Grant.....	6
E. Reporting.....	7
F. Party representatives and address for notices.....	7
G. Activity Material.....	8
Supplementary Terms.....	9
Schedule 1: Commonwealth Standard Grant Conditions.....	19
Signatures.....	28
Commonwealth.....	28
Grantee.....	28
Schedule 2 - Commercialisation Terms and Conditions (Funding Administration Organisation).....	29
Attachment A to Schedule 2.....	37
Schedule 3 Reporting requirements.....	43

Grant Agreement <grant number>

Once completed, this document, together with the Grant Details and the Commonwealth Standard Grant Conditions (Schedule 1), forms an Agreement between the Commonwealth and the Grantee.

Parties to this Agreement

The Grantee

Full legal name of Grantee	<entity name>
Legal entity type (e.g. individual, incorporated association, company, partnership, etc)	<ABR entity type>
Trading or business name	<trading name>
Any relevant licence, registration or provider number	Not applicable
Australian Business Number (ABN) or other entity identifiers	<ABN>
Australian Company Number (ACN)	<ACN>
Registered for Goods and Services Tax (GST)?	<GST status + if statement>
Date from which GST registration was effective?	<GST registered date>
Registered office address	<ABR registered address> <city> <state> <postcode>
Relevant business place	<business street address> <city> <state> <postcode>

The Commonwealth

The Commonwealth of Australia represented by the
Department of Health and Aged Care
of Sirius Building, Furzer Street, Woden Town Centre ACT 2606
ABN 83 605 426 759

The Department of Industry, Science and Resources will manage the Agreement on behalf of the Department of Health and Aged Care.

Background

The Commonwealth has agreed to enter this Agreement under which the Commonwealth will provide the Grantee with a Grant for the purpose of assisting the Grantee to undertake the associated Activity.

The Grantee agrees to use the Grant and undertake the Activity in accordance with this Agreement and the relevant Grant Details.

Scope of this Agreement

This Agreement comprises:

- (a) this document;
- (b) the Supplementary Terms (if any);
- (c) the Standard Grant Conditions (Schedule 1);
- (d) the Grant Details;
- (e) any other document referenced or incorporated in the Grant Details.

If there is any ambiguity or inconsistency between the documents comprising this Agreement in relation to a Grant, the document appearing higher in the list will have precedence to the extent of the ambiguity or inconsistency.

This Agreement represents the Parties' entire agreement in relation to the Grant provided under it and the relevant Activity and supersedes all prior representations, communications, agreements, statements and understandings, whether oral or in writing.

Certain information contained in or provided under this Agreement may be used for public reporting purposes.

Grant Details <grant number>

A. Purpose of the Grant

The Grant is being provided as part of the <grant opportunity name> grant opportunity.

<Grant opportunity objectives>

<Grant opportunity outcomes>

The <grant opportunity name> forms part of the \$20 billion Medical Research Future Fund (MRFF).

The MRFF, established under the *Medical Research Future Fund Act 2015* (MRFF Act), provides grants of financial assistance to support health and medical research and innovation to improve the health and wellbeing of Australians. It operates as an endowment fund with the capital preserved in perpetuity. The MRFF reached maturity at \$20 billion in July 2020. The MRFF provides a long-term sustainable source of funding for endeavours that aim to improve health outcomes, quality of life and health system sustainability.

This MRFF investment is guided by the Australian Medical Research and Innovation Strategy 2021-2026 (the Strategy) and related set of Australian Medical Research and Innovation Priorities 2020-2022 (the Priorities), developed by the independent and expert Australian Medical Research Advisory Board following extensive national public consultation.

In 2021-22, the Government announced its continued commitment to supporting lifesaving medical research with a refreshed 10-year investment plan for the MRFF from 2022-23 to 2031-32. It will place Australia at the leading edge of research in areas like genomics and will support the search for cures and treatments, including for rare cancers. The plan is underpinned by four key themes – patients, researchers, translation and missions.

In 2021-22, the Government announced its continued commitment to support lifesaving medical research with a refreshed 10-year investment plan for the MRFF from 2022-23 to 2031-32. The Investment Plan will place Australia at the leading edge of research in areas like genomics and will support the search for cures and treatments, including for rare cancers. The plan is underpinned by four key themes – patients, researchers, translation and missions.

B. Activity

The Activity is made up of the Grantee's project and all eligible project activities as specified in these Grant Details.

In undertaking the Activity, the Grantee must comply with the requirements of the grant opportunity guidelines (as in force at the time of application).

The Grantee must notify the Commonwealth about events relating to the project and provide an opportunity for the Minister or their representative to attend.

The Grantee must ensure that the grant from the MRFF is appropriately badged and acknowledged in any correspondence, public announcement and publicly available materials including: reporting of key findings; presentations; and publications relating to this activity.

Project title

<project title>

Project scope and description

<detailed project description>

Project outcomes

<project outcomes>

Dissemination of Research Findings

The Grantee will ensure that appropriate safeguards are in place to protect patient privacy, Intellectual property and commercially confidential information for all Activities funded under this Project.

The Grantee is encouraged to ensure that research activities are published and translated into clinical practice. Types of research dissemination may include, making lay summaries available for research participants, and presenting at specific forums.

The Grantee is also encouraged to publish de-identified research data in an open access repository and in accordance with best practice.

C. Duration of the Grant

The Activity starts on <project start date> and ends on <project end date>, which is the **Activity Completion Date**.

The Agreement ends on <agreement end date> which is the **Agreement End Date**.

Activity Schedule

In undertaking the Activity, the Grantee will meet the following milestones by the due dates.

Milestone number	Milestone name and description	Due date
<No>	<milestone name> <milestone description>	<dd/mm/yyyy>

D. Payment of the Grant

The total amount of the Grant is <grant amount> (plus GST if applicable).

The Grant will be provided at up to <grant percentage> per cent of eligible expenditure as defined in the grant opportunity guidelines subject to availability of Program funds.

The Grant will be paid in accordance with clause ST2.

The Grant will be paid according to the following schedule. Payments are subject to satisfactory progress on the project and compliance by the Grantee with its obligations under this Agreement.

Payment event	Payment amount (GST excl)	Anticipated payment date
<Payment trigger>	<insert amount>	<insert date>

Payment event	Payment amount (GST excl)	Anticipated payment date
<Payment trigger>	<insert amount>	<insert date>
Total	<total grant amount>	

Invoicing

The Grantee agrees to allow the Commonwealth to issue it with a Recipient Created Tax Invoice (RCTI) for any taxable supplies it makes in relation to the Activity.

E. Reporting

The Grantee agrees to provide the following reports to the Commonwealth representative in accordance with the reporting requirements (Schedule 3).

<reporting table>

During the Agreement period, the Commonwealth may ask the Grantee for ad-hoc reports on the project. The Grantee must provide these reports in the timeframes notified by the Commonwealth.

The Grantee may also be asked to participate and provide information about the Activity as part of the overarching evaluation of the MRFF.

F. Party representatives and address for notices

Grantee's representative and address

Grantee's representative name	<primary contact name>
Position	<primary contact position>
Address	<primary contact address>
Business hours telephone	<phone number>
Mobile	<mobile phone>
Email	<email address>

Commonwealth representative and address

Name of representative	<CSM name>
Position	<CSM position>
Postal address	GPO Box 2013 CANBERRA ACT 2601

Physical address	<CSM physical address> If blank 10 Binara Street CANBERRA ACT 2600
Business hours telephone	<CSM phone>
Email	<Program email address>

The Parties' representatives will be responsible for liaison and the day-to-day management of the Grant, as well as accepting and issuing any written notices in relation to the Grant.

G. Activity Material

Not applicable

SAMPLE

Supplementary Terms

ST1. Other Contributions

ST1.1 In this Agreement, Other Contributions means the financial or in-kind contributions other than the Grant set out in the following table:

Contributor	Nature of Contribution	Amount (GST exclusive)	Timing
Grantee	< insert description of contribution, e.g., cash, access to equipment, secondment of personnel etc>	\$<insert amount>	<project end date>
<name of third party providing the Other Contribution>	<insert description of contribution, e.g., cash, access to equipment, secondment of personnel etc>	\$<insert amount>	<insert date or Milestone to which the Other Contribution relates>
Total		\$<total other contributions>	

ST1.2 The Grantee agrees to provide, or to ensure the provision of, the Other Contributions and to use them to undertake the Activity. If the Other Contributions are not provided in accordance with this clause, then the Commonwealth may:

- (a) suspend payment of the Grant until the Other Contributions are provided; or
- (b) terminate this Agreement in accordance with clause 19 of this Agreement.

ST2. Activity Budget

ST2.1 Not used.

ST2.2 The Grantee agrees to use the Grant and any Other Contributions and undertake the Activity consistently with the Activity Budget in the following table:

<budget table>

Figures in the above table are GST inclusive amounts less GST credits that can be claimed in relation to the expenditure.

ST2.3 Subject to sufficient funding being available, the Grant will be paid up to the Annual Capped Amounts over the financial years specified in the following table.

Annual Capped Amounts

Financial year	Annual capped amount (GST excl)
<Insert financial year: yyyy-yy>	\$<amount>
Total	\$<total grant amount>

ST2.4 The Commonwealth is not required to make a payment if it would result in the amount paid in a financial year exceeding the Annual Capped Amount for that financial year specified in the table under clause ST2.3.

ST2.5 In accordance with the Activity Budget under clause ST2.2, the Annual Capped Amounts may not be exceeded unless the Commonwealth specifically approves an increase of that amount under clause ST2.8.

ST2.6 Subject to this clause, the Grantee may reallocate expenditure in respect of categories of expenditure in the Activity Budget, provided it does not materially change the Activity, any Milestone(s) set out in this Agreement, or cause the Grantee to be in breach of any of its obligations under this Agreement.

ST2.7 The Grantee must give the Commonwealth:

- (a) at any time the Grantee wishes to request a variation to any one or more of the Annual Capped Amounts; or
- (b) if otherwise requested by the Commonwealth,

a revised Activity Budget in a form acceptable to the Commonwealth. The revised Activity Budget must clearly identify any proposed changes, including of any proposed changes to the Annual Capped Amounts, and explain the reasons for the proposed changes.

ST2.8 The Commonwealth may, at its discretion, approve or reject a revised Activity Budget provided under clause ST2.7 and/or any proposed changes to the Annual Capped Amounts. The Commonwealth's approval may be granted subject to conditions.

ST2.9 If a revised Activity Budget and any proposed changes to the Annual Capped Amounts are approved by the Commonwealth, then it will become the Activity Budget and, if relevant, the Annual Capped Amounts will be adjusted accordingly.

ST3. Intellectual property in Activity Material

ST3.1 In this Agreement:

Application means the application form and all supporting documentation submitted by the Grantee in respect of which the Grant has been awarded.

National (IPPF Research) Principles means the *National Principles of Intellectual Property for Publicly Funded Research* (available at: <http://www.arc.gov.au/policy>) and any successor document.

ST3.2 The Commonwealth agrees not to claim ownership of any Intellectual Property Rights in an Application or in any research arising from the Activity.

ST3.3 The Grantee agrees to:

- (a) comply with an intellectual property policy which:
 - (i) is approved by the Grantee's governing body;
 - (ii) has as one of its aims, the maximisation of benefits to Australia arising from publicly funded research; and
 - (iii) complies with the National (IPPF Research) Principles;
- (b) ensure that the Grantee and all applicants for participation in the Activity are familiar with the current intellectual property and patent landscape for the research areas included in the Application.

ST3.4 The Grantee provides the Commonwealth a permanent, non-exclusive, irrevocable, royalty-free licence (including a right to sub-licence) to use, modify, communicate, reproduce, publish and adapt the Activity Material for Commonwealth Purposes.

ST4. Access/monitoring/inspection

ST4.1 The Grantee agrees to give the Commonwealth, or any persons authorised in writing by the Commonwealth:

- (a) access to premises where the Activity is being performed and/or where Material relating to the Activity is kept within the time period specified in a Commonwealth notice; and
- (b) permission to inspect and take copies of any Material relevant to the Activity.

ST4.2 The Auditor-General and any Information Officer under the *Australian Information Commissioner Act 2010* (Cth) (including their delegates) are persons authorised for the purposes of clause ST4.1.

ST4.3 This clause ST4 does not detract from the statutory powers of the Auditor-General or an Information Officer (including their delegates).

ST5. Equipment and Assets

Not applicable

ST6. Specified Personnel

ST6.1 The Grantee agrees that the following personnel (Specified Personnel) be involved in the Activity as set out below:

<specified personnel>

ST6.2 The Grantee agrees to notify the Commonwealth as soon as practicable if the Specified Personnel are unable to perform the work as required under this clause.

ST6.3 The Grantee agrees to remove any personnel (including Specified Personnel, subcontractors, agents or volunteers) involved in the Activity at the request of the Commonwealth.

ST6.4 If clause ST6.2 or clause ST6.3 applies, the Grantee will provide replacement personnel acceptable and at no additional cost to the Commonwealth at the earliest opportunity and without any interruption to the Grantee's compliance with its other obligations under this Agreement.

ST7. Relevant qualifications, licences, permits, approvals or skills

Not applicable

ST8. Vulnerable Persons

ST8.1 In this Agreement

Criminal or Court Record means any record of any Other Offence;

Other Offence means, in relation to a person, a conviction, finding of guilt, on-the-spot fine for, or court order relating to:

- (a) an apprehended violence or protection order made against the person;

- (b) the consumption, dealing in, possession or handling of alcohol, a prohibited drug, narcotic or other prohibited substance;
- (c) violence against another person or the injury, but excluding the death, of another person; or
- (d) an attempt to commit a crime or offence, or to engage in any conduct or activity, described in paragraphs (a) to (c);

Police Check means a formal inquiry made to the relevant police authority in each State or Territory and designed to obtain details of an individual's criminal conviction or a finding of guilt in all places (within and outside Australia) that the Grantee knows the person has resided in;

Serious Offence means:

- (a) a crime or offence involving the death of a person;
- (b) a sex-related offence or a crime, including sexual assault (whether against an adult or child); child pornography, or an indecent act involving a child;
- (c) fraud, money laundering, insider dealing or any other financial offence or crime, including those under legislation relating to companies, banking, insurance or other financial services; or
- (d) an attempt to commit a crime or offence described in (a) to (c);

Serious Record means a conviction or any finding of guilt regarding a Serious Offence; and

Vulnerable Person means an individual aged 18 years and above who is or may be unable to take care of themselves, or is unable to protect themselves against harm or exploitation for any reason, including age, physical or mental illness, trauma or disability, pregnancy, the influence, or past or existing use, of alcohol, drugs or substances or any other reason.

ST8.2 Before any person commences performing work on any part of the Activity that involves working or contact with a Vulnerable Person, the Grantee must:

- (a) obtain a Police Check for that person;
- (b) confirm that the person is not prohibited by any law from being engaged in a capacity where they may have contact with a Vulnerable Person;
- (c) comply with all State, Territory or Commonwealth laws relating the employment or engagement of persons in any capacity where they may have contact with a Vulnerable Person; and
- (d) ensure that the person holds all licences or permits for the capacity in which they are to be engaged, including any specified in the Grant Details, and the Grantee must ensure that Police Checks and any licences or permits obtained in accordance with this clause ST8.2 remain current for the duration of their involvement in the Activity.

ST8.3 The Grantee must ensure that a person does not perform work on any part of the Activity that involves working or contact with a Vulnerable Person if a Police Check indicates that the person at any time has:

- (a) a Serious Record; or
- (b) a Criminal or Court Record and the Grantee has not conducted a risk assessment and determined that any risk is acceptable.

ST8.4 In undertaking a risk assessment under clause ST8.3, the Grantee must have regard to

- (a) the nature and circumstances of the offence(s) on the person's Criminal or Court Record and whether the charge or conviction involved Vulnerable Persons;
- (b) whether the person's Criminal or Court Record is directly relevant to, or reasonably likely to impair the person's ability to perform, the role that the person will, or is likely to, perform in relation to the Activity;
- (c) the length of time that has passed since the person's charge or conviction and his or her record since that time;
- (d) the circumstances in which the person will, or is likely to, have contact with a Vulnerable Person as part of the Activity;
- (e) any other relevant matter,

and must ensure it fully documents the conduct and outcome of the risk assessment.

ST8.5 The Grantee agrees to notify the Commonwealth of any risk assessment it conducts under this clause and agrees to provide the Commonwealth with copies of any relevant documentation on request.

ST8.6 If during the term a person involved in performing work on any part of the Activity that involves working or contact with a Vulnerable Person is:

- (a) charged with a Serious Offence or Other Offence, the Grantee must immediately notify the Commonwealth; or
- (b) convicted of a Serious Offence, the Grantee must immediately notify the Commonwealth and ensure that that person does not, from the date of the conviction, perform any work or role relating to the Activity.

ST9. Child safety

ST9.1 In this Agreement

- Child** means an individual(s) under the age of 18 years and **Children** has a similar meaning;
- Child-Related Personnel** means officers, employees, contractors (including subcontractors), agents and volunteers of the Grantee involved with the Activity who as part of that involvement may interact with Children;
- Legislation** means a provision of a statute or subordinate legislation of the Commonwealth, or of a State, Territory or local authority;
- National Principles for Child Safe Organisations** means the National Principles for Child Safe Organisations, which have been endorsed in draft form by the Commonwealth Government (available at: <https://humanrights.gov.au/our-work/childrens-rights/projects/child-safe-organisations>) and subsequently, from the time of their endorsement by the Council of Australian Governments, the final National Principles for Child Safe Organisations as published by the Australian Government;

- Relevant Legislation** means Legislation in force in any jurisdiction where any part of the Activity may be carried out;
- Working With Children Check or WWCC** means the process in place pursuant to Relevant Legislation to screen an individual for fitness to work with Children.

Relevant checks and authority

ST9.2 The Grantee must

- (a) comply with all Relevant Legislation relating to the employment or engagement of Child-Related Personnel in relation to the Activity, including all necessary Working With Children Checks however described; and
- (b) ensure that Working With Children Checks obtained in accordance with this clause ST9.2 remain current and that all Child-Related Personnel continue to comply with all Relevant Legislation for the duration of their involvement in the Activity.

National Principles for Child Safe Organisations and other action for the safety of Children

ST9.3 The Grantee agrees in relation to the Activity to:

- (a) implement the National Principles for Child Safe Organisations;
- (b) ensure that all Child-Related Personnel implement the National Principles for Child Safe Organisations;
- (c) complete and update, at least annually, a risk assessment to identify the level of responsibility for Children and the level of risk of harm or abuse to Children;
- (d) put into place and update, at least annually, an appropriate risk management strategy to manage risks identified through the risk assessment required by this clause ST9.3;
- (e) provide training and establish a compliance regime to ensure that all Child Related Personnel are aware of, and comply with
 - (i) the National Principles for Child Safe Organisations;
 - (ii) the Grantee's risk management strategy required by this clause ST9.3;
 - (iii) Relevant Legislation relating to requirements for working with Children, including Working With Children Checks;
 - (iv) Relevant Legislation relating to mandatory reporting of suspected child abuse or neglect, however described; and
- (f) provide the Commonwealth with an annual statement of compliance with clauses ST9.2 and ST9.3, in such form as may be specified by the Commonwealth.

ST9.4 With reasonable notice to the Grantee, the Commonwealth may conduct a review of the Grantee's compliance with this clause ST9.

ST9.5 The Grantee agrees to:

- (a) notify the Commonwealth of any failure to comply with this clause ST9;
- (b) co-operate with the Commonwealth in any review conducted by the Commonwealth of the Grantee's implementation of the National Principles for Child Safe Organisations or compliance with this clause ST9; and

- (c) promptly, and at the Grantee's cost, take such action as is necessary to rectify, to the Commonwealth's satisfaction, any failure to implement the National Principles for Child Safe Organisations or any other failure to comply with this clause ST9.

ST10. Commonwealth Material, facilities and assistance

Not applicable

ST11. Jurisdiction

ST11.1 This Agreement is governed by the law of the Australian Capital Territory.

ST12. Grantee trustee of trust (if applicable)

ST12.1 In this Agreement, **Trust** means the trust specified in the Parties to the Agreement section of this Agreement.

ST12.2 The Grantee warrants that:

- (a) it is the sole trustee of the Trust; and
- (b) it has full and valid power and authority to enter into this Agreement and perform the obligations under it on behalf of the Trust; and
- (c) it has entered into this Agreement for the proper administration of the Trust; and
- (d) all necessary resolutions, consents, approvals and procedures have been obtained or duly satisfied to enter into this Agreement and perform the obligations under it; and
- (e) it has the right to be indemnified out of the assets of the Trust for all liabilities incurred by it under this Agreement.

ST13. Fraud

ST13.1 In this Agreement, Fraud means dishonestly obtaining a benefit, or causing a loss, by deception or other means, and includes alleged, attempted, suspected or detected fraud.

ST13.2 The Grantee must ensure its personnel and subcontractors do not engage in any Fraud in relation to the Activity.

ST13.3 If the Grantee becomes aware of:

- (a) any Fraud in relation to the performance of the Activity; or
- (b) any other Fraud that has had or may have an effect on the performance of the Activity;

then it must within 5 business days report the matter to the Commonwealth and all appropriate law enforcement and regulatory agencies.

ST13.4 The Grantee must, at its own cost, investigate any Fraud referred to in clause ST13.3 in accordance with the Australian Government Investigations Standards available at www.ag.gov.au.

ST13.5 The Commonwealth may, at its discretion, investigate any Fraud in relation to the Activity. The Grantee agrees to co-operate and provide all reasonable assistance at its own cost with any such investigation.

ST13.6 This clause survives the termination or expiry of the Agreement.

ST14. Prohibited dealings

Not applicable

ST15. Anti-corruption

ST15.1 In this Agreement:

Illegal or Corrupt Practice means directly or indirectly:

- (a) making or causing to be made, any offer, gift, payment, consideration or benefit of any kind to any party, or
- (b) receiving or seeking to receive, any offer, gift, payment, consideration or benefit of any kind from any party, as an inducement or reward in relation to the performance of the Activity, which would or could be construed as an illegal or corrupt practice;

ST15.2 The Grantee warrants that the Grantee, its officers, employees, contractors, agents and any other individual or entity involved in carrying out the Activity have not, engaged in an Illegal or Corrupt Practice.

ST15.3 The Grantee agrees not to, and to take all reasonable steps to ensure that its officers, employees, contractors, agents and any other individual or entity involved in carrying out the Activity do not:

- (a) engage in an Illegal or Corrupt Practice; or
- (b) engage in any practice that could constitute the offence of bribing a foreign public official contained in section 70.2 of the Criminal Code Act 1995 (Cth).

ST15.4 The Grantee agrees to inform the Commonwealth within five business days if the Grantee becomes aware of any activity as described in ST15.3 in relation to the performance of the Activity.

ST16. Step-in rights

Not applicable

ST17. Grant administrator

Not applicable

ST18. Management Adviser

Not applicable

ST19. Indemnities

ST19.1 The Grantee indemnifies the Commonwealth, its officers, employees and contractors against any claim, loss or damage arising in connection with the Activity.

ST19.2 The Grantee's obligation to indemnify the Commonwealth will reduce proportionally to the extent any act or omission involving fault on the part of the Commonwealth contributed to the claim, loss or damage.

ST20. Compliance with Legislation and policies

ST20.1 In this Agreement:

Legislation means a provision of a statute or subordinate legislation of the Commonwealth, or of a State, Territory or local authority.

ST20.2 The Grantee agrees to comply with all Legislation applicable to its performance of this Agreement.

ST20.3 The Grantee agrees, in carrying out its obligations under this Agreement, to comply with any of the Commonwealth's policies as notified, referred or made available by the Commonwealth to the Grantee (including by reference to an internet site).

ST20.4 In carrying out the Activity, the Grantee must comply with the following applicable policies/laws:

- (a) [Medical Research Future Fund Act 2015](#)
- (b) Ethics and research practices:
 - (i) [NHMRC/ARC/UA Australian Code for the Responsible Conduct of Research \(2018\)](#)
 - (ii) [NHMRC/ARC/UA National Statement on Ethical Conduct in Human Research \(2007, updated 2018\)](#)
 - (iii) [Ethical Conduct in Research with Aboriginal and Torres Strait Islander Peoples and communities \(2018\)](#)

ST21. Work health and safety

ST21.1 The Grantee agrees to ensure that it complies at all times with all applicable work health and safety legislative and regulatory requirements and any additional work health and safety requirements set out in the Grant Details.

ST21.2 If requested by the Commonwealth, the Grantee agrees to provide copies of its work health and safety management plans and processes and such other details of the arrangements it has in place to meet the requirements referred to in clause ST21.1.

ST21.3 When using the Commonwealth's premises or facilities, the Grantee agrees to comply with all reasonable directions and procedures relating to work health and safety and security in effect at those premises or facilities, as notified by the Commonwealth or as might reasonably be inferred from the use to which the premises or facilities are being put.

ST22. Transition

Not applicable

ST23. Corporate Governance

ST23.1 In this Agreement:

Constitution means (depending on the context):

- (a) a company's, body corporate's or incorporated association's constitution, or equivalent documents, which (where relevant) includes rules and any amendments that are part of the constitution;
- (b) in relation to any other kind of body:
 - (i) the body's charter or memorandum; or
 - (ii) any instrument or law constituting or defining the constitution of the body or governing the activities of the body or its members.

ST23.2 The Grantee warrants that nothing in its constitution conflicts with its obligations under this Agreement.

ST23.3 The Grantee agrees to provide a copy of its constitution to the Commonwealth upon request and inform the Commonwealth whenever there is a change in the Grantee's constitution, structure or management.

ST24. Counterparts

ST24.1 This Agreement may be executed in any number of counterparts. All counterparts, taken together, constitute one instrument. A Party may execute this Agreement by signing any counterpart.

ST25. Secret and Sacred Indigenous Material

Not applicable

SAMPLE

Schedule 1: Commonwealth Standard Grant Conditions

1. Undertaking the Activity

1.1 The Grantee agrees to undertake the Activity for the purpose of the Grant in accordance with this Agreement.

1.2 The Grantee is fully responsible for the Activity and for ensuring the performance of all its obligations under this Agreement in accordance with all relevant laws. The Grantee will not be relieved of that responsibility because of:

- (a) the grant or withholding of any approval or the exercise or non-exercise of any right by the Commonwealth; or
- (b) any payment to, or withholding of any payment from, the Grantee under this Agreement.

2. Payment of the Grant

2.1 The Commonwealth agrees to pay the Grant to the Grantee in accordance with the Grant Details.

2.2 Notwithstanding any other provision of this Agreement, the Commonwealth may by notice withhold payment of any amount of the Grant and/or take any other action specified in the Supplementary Terms if it reasonably believes that:

- (a) the Grantee has not complied with this Agreement;
- (b) the Grantee is unlikely to be able to perform the Activity or manage the Grant in accordance with this Agreement; or
- (c) there is a serious concern relating to this Agreement that requires investigation.

2.3 A notice under clause 2.2 will contain the reasons any action taken under clause 2.2 and, where relevant, the steps the Grantee can take to address those reasons.

2.4 The Commonwealth will only be obliged to pay the withheld amount once the Grantee has addressed the reasons contained in a notice under clause 2.2 to the Commonwealth's reasonable satisfaction.

3. Acknowledgements

3.1 The Grantee agrees not to make any public announcement, including by social media, in connection with the awarding of the Grant without the Commonwealth's prior written approval.

3.2 The Grantee agrees to acknowledge the Commonwealth's support in all Material, publications and promotional and advertising materials published in connection with this Agreement. The Commonwealth may notify the Grantee of the form of acknowledgement that the Grantee is to use.

4. Notices

4.1 Each Party agrees to promptly notify the other Party of anything reasonably likely to adversely affect the undertaking of the Activity, management of the Grant or its performance of any of its other requirements under this Agreement.

4.2 A notice given by a Party under this Agreement must be in writing and addressed to the other Party's representative as set out in the Grant Details or as most recently updated by notice given in accordance with this clause.

5. Relationship between the Parties

5.1 A Party is not by virtue of this Agreement the employee, agent or partner of the other Party and is not authorised to bind or represent the other Party.

6. Subcontracting

6.1 The Grantee is responsible for the performance of its obligations under this Agreement, including in relation to any tasks undertaken by subcontractors.

6.2 The Grantee agrees to make available to the Commonwealth the details of any of its subcontractors engaged to perform any tasks in relation to this Agreement upon request.

7. Conflict of interest

7.1 Other than those which have already been disclosed to the Commonwealth, the Grantee warrants that, to the best of its knowledge, at the date of this Agreement, neither it nor its officers have any actual, perceived or potential conflicts of interest in relation to the Activity.

7.2 If during the term of the Agreement, any actual, perceived or potential conflict arises or there is any material change to a previously disclosed conflict of interest, the Grantee agrees to:

- (a) notify the Commonwealth promptly and make full disclosure of all relevant information relating to the conflict; and
- (b) take any steps the Commonwealth reasonably requires to resolve or otherwise deal with that conflict.

8. Variation, assignment and waiver

8.1 This Agreement may be varied in writing only, signed by both Parties.

8.2 The Grantee cannot assign its obligations, and agrees not to assign its rights, under this Agreement without the Commonwealth's prior approval.

8.3 The Grantee agrees not to enter into negotiations with any other person for the purposes of entering into an arrangement that will require novation of, or involve any assignment of rights under, this Agreement without first consulting the Commonwealth.

8.4 A waiver by a Party of any of its rights under this Agreement is only effective if it is in a signed written notice to the other Party and then only to the extent specified in that notice.

9. Taxes, duties and government charges

9.1 The Grantee agrees to pay all taxes, duties and government charges imposed or levied in Australia or overseas in connection with the performance of this Agreement, except as provided by this Agreement.

9.2 If Goods and Services Tax (GST) is payable by a supplier on any supply made under this Agreement, the recipient of the supply will pay to the supplier an amount equal to the GST payable on the supply, in addition to and at the same time that the consideration for the supply is to be provided under this Agreement.

- 9.3 The Parties acknowledge and agree that they each:
- (a) are registered for GST purposes;
 - (b) have quoted their Australian Business Number to the other; and
 - (c) must notify the other of any changes to the matters covered by this clause.
- 9.4 The Grantee agrees that the Commonwealth will issue it with a recipient created tax invoice for any taxable supply it makes under this Agreement.
- 9.5 The Grantee agrees not to issue tax invoices in respect of any taxable supplies.
- 9.6 If the Grantee is not, or not required to be, registered for GST, then:
- (a) clauses 9.3(a), 9.4 and 9.5 do not apply; and
 - (b) the Grantee agrees to notify the Commonwealth in writing within 7 days of becoming registered for GST if during the term of the Agreement it becomes, or is required to become, registered for GST.

10. Spending the Grant

- 10.1 The Grantee agrees to spend the Grant for the purpose of performing the Activity and otherwise in accordance with this Agreement.
- 10.2 Within 90 days after the Activity Completion Date, the Grantee agrees to provide the Commonwealth with an independently audited financial acquittal report verifying that the Grant has been spent in accordance with this Agreement.
- 10.3 The reports under clause 10.2 must be audited by:
- (a) a Registered Company Auditor registered under the *Corporations Act 2001* (Cth); or
 - (b) a certified Practising Accountant; or
 - (c) a member of the Institute of Public Accountants; or
 - (d) a member of Chartered Accountants Australia and New Zealand;

who is not a principal member, shareholder, officer or employee of the Grantee or a related body corporate.

11. Repayment

- 11.1 If any amount of the Grant:
- (a) has been spent other than in accordance with this Agreement; or
 - (b) is additional to the requirements of the Activity

then the Commonwealth may, by written notice:

- (c) require the Grantee to repay that amount to the Commonwealth;
- (d) require the Grantee to deal with that amount as directed by the Commonwealth; or
- (e) deduct the amount from subsequent payments of the Grant or amounts payable under another agreement between the Grantee and the Commonwealth.

11.2 If the Commonwealth issues a notice under this Agreement requiring the Grantee to repay a Grant amount:

- (a) the Grantee must do so within the time period specified in the notice;

- (b) the Grantee must pay interest on any part of the amount that is outstanding at the end of the time period specified in the notice until the outstanding amount is repaid in full; and
- (c) the Commonwealth may recover the amount and any interest under this Agreement as a debt due to the Commonwealth without further proof of the debt being required.

12. Record keeping

12.1 The Grantee agrees to keep financial accounts and other records that:

- (a) detail and document the conduct and management of the Activity;
- (b) identify the receipt and expenditure of the Grant and any Other Contributions separately within the Grantee's accounts and records so that at all times the Grant is identifiable;
- (c) enable all receipts and payments related to the Activity to be identified and reported.

12.2 The Grantee agrees to keep the records for five years after the Activity Completion Date or such other time specified in the Grant Details and provide copies of the records to the Commonwealth upon request.

13. Reporting and liaison

13.1 The Grantee agrees to provide the Reporting Material specified in the Grant Details to the Commonwealth.

13.2 In addition to the obligations in clause 13.1, the Grantee agrees to:

- (a) liaise with and provide information to the Commonwealth as reasonably required by the Commonwealth; and
- (b) comply with the Commonwealth's reasonable requests, directions, or monitoring requirements,

in relation to the Activity.

13.3 If the Commonwealth acting reasonably has concerns regarding the performance of the Activity or the management of the Grant, the Commonwealth may by written notice require the Grantee to provide one or more additional reports, containing the information and by the date(s) specified in the notice.

13.4 The Grantee acknowledges that the giving of false or misleading information to the Commonwealth is a serious offence under the *Criminal Code Act 1995* (Cth).

14. Privacy

14.1 When dealing with Personal Information in carrying out the Activity, the Grantee agrees:

- (a) to comply with the requirements of the *Privacy Act 1988* (Cth);
- (b) not to do anything which, if done by the Commonwealth, would be a breach of an Australian Privacy Principle;
- (c) to ensure that any of the Grantee's subcontractors or personnel who deal with Personal Information for the purposes of this Agreement are aware of the requirements of the *Privacy Act 1988* (Cth) and the Grantee's obligations under this clause;

- (d) to immediately notify the Commonwealth if the Grantee becomes aware of an actual or possible breach of this clause by the Grantee or any of the Grantee's subcontractors or personnel.

14.2 In carrying out the Activity, the Grantee agrees not to send any Personal Information outside of Australia without the Commonwealth's prior written approval. The Commonwealth may impose any conditions it considers appropriate when giving its approval.

15. Confidentiality

15.1 The Parties agree not to disclose each other's confidential information without the other Party's prior written consent unless required or authorised by law or Parliament to disclose.

15.2 The Commonwealth may disclose the Grantee's confidential information where;

- (a) the Commonwealth is providing information about the Activity or Grant in accordance with Commonwealth accountability and reporting requirements;
- (b) the Commonwealth is disclosing the information to a Minister of the Australian Government, a House or Committee of the Commonwealth Parliament; or
- (c) the Commonwealth is disclosing the information to its personnel or another Commonwealth agency where this serves the Commonwealth's legitimate interests.

16. Insurance

16.1 The Grantee agrees to maintain adequate insurance for as long as any obligations remain in connection with this Agreement and provide proof of insurance to the Commonwealth upon request.

17. Intellectual property

17.1 Subject to clause 17.2, the Grantee owns the Intellectual Property Rights in Activity Material and Reporting Material.

17.2 This Agreement does not affect the ownership of Intellectual Property Rights in Existing Material.

17.3 The Grantee provides the Commonwealth a permanent, non-exclusive, irrevocable, royalty-free licence to use, modify, communicate, reproduce, publish, adapt and sub-license the Reporting Material for Commonwealth Purposes.

17.4 The licence in clause 17.3 does not apply to Activity Material.

18. Dispute resolution

18.1 The Parties agree not to initiate legal proceedings in relation to a dispute arising under this Agreement unless they have first tried and failed to resolve the dispute by negotiation.

18.2 Unless clause 18.3 applies, the Parties agree to continue to perform their respective obligations under this Agreement when a dispute exists.

18.3 The Parties may agree to suspend performance of the Agreement pending resolution of the dispute.

18.4 Failing settlement by negotiation in accordance with clause 18.1, the Parties may agree to refer the dispute to an independent third person with power to intervene and direct some form of

resolution, in which case the Parties will be bound by that resolution. If the Parties do not agree to refer the dispute to an independent third person, either Party may initiate legal proceedings.

18.5 Each Party will bear their own costs in complying with this clause 18, and the Parties will share equally the cost of any third person engaged under clause 18.4.

18.6 The procedure for dispute resolution under this clause does not apply to any action relating to termination, cancellation or urgent interlocutory relief.

19. Reduction, Suspension and Termination

19.1 Reduction in scope of agreement for fault

19.1.1 If the Grantee does not comply with an obligation under this Agreement and the Commonwealth believes that the non-compliance is incapable of remedy, or if the Grantee has failed to comply with a notice to remedy, the Commonwealth may by written notice reduce the scope of the Agreement.

19.1.2 The Grantee agrees, on receipt of the notice of reduction, to:

- (a) stop or reduce the performance of the Grantee's obligations as specified in the notice;
- (b) take all available steps to minimise loss resulting from the reduction;
- (c) continue performing any part of the Activity or the Agreement not affected by the notice if requested to do so by the Commonwealth;
- (d) report on, and return any part of the Grant to the Commonwealth, or otherwise deal with the Grant, as directed by the Commonwealth.

19.1.3 In the event of reduction under clause 19.1.1, the amount of the Grant will be reduced in proportion to the reduction in the scope of the Agreement.

19.2 Suspension

19.2.1 If:

- (a) the Grantee does not comply with an obligation under this Agreement and the Commonwealth believes that the non-compliance is capable of remedy;
- (b) the Commonwealth reasonably believes that the Grantee is unlikely to be able to perform the Activity or manage the Grant in accordance with this Agreement; or
- (c) the Commonwealth reasonably believes that there is a serious concern relating to this Agreement that requires investigation;

the Commonwealth may by written notice:

- (d) immediately suspend the Grantee from further performance of the Agreement (including expenditure of the Grant); and/or
- (e) require that the non-compliance or inability be remedied, or the investigation be completed, within the time specified in the notice.

19.2.2 If the Grantee:

- (a) remedies the non-compliance or inability specified in the notice to the Commonwealth's reasonable satisfaction, or the Commonwealth reasonably concludes that the concern is unsubstantiated, the Commonwealth may direct the Grantee to recommence performing the Activity; or

- (b) fails to remedy the non-compliance or inability within the time specified, or the Commonwealth reasonably concludes that the concern is likely to be substantiated, the Commonwealth may reduce the scope of the Agreement in accordance with clause 19.1 or terminate the Agreement immediately by giving a second notice in accordance with clause 19.3.

19.3 Termination for fault

19.3.1 The Commonwealth may terminate this Agreement by notice where the Grantee has:

- (a) failed to comply with an obligation under this Agreement and the Commonwealth believes that the non-compliance is incapable of remedy or where clause 19.2.2(b) applies;
- (b) provided false or misleading statements in relation to the Grant; or
- (c) become bankrupt or insolvent, entered into a scheme of arrangement with creditors, or come under any form of external administration.

19.3.2 The Grantee agrees, on receipt of the notice of termination, to:

- (a) stop the performance of the Grantee's obligations;
- (b) take all available steps to minimise loss resulting from the termination; and
- (c) report on, and return any part of the Grant to the Commonwealth, or otherwise deal with the Grant, as directed by the Commonwealth.

20. Cancellation or reduction for convenience

20.1 The Commonwealth may cancel or reduce the scope of this Agreement by notice, due to:

- (a) a change in government policy; or
- (b) a Change in the Control of the Grantee which the Commonwealth reasonably believes will negatively affect the Grantee's ability to comply with this Agreement.

20.2 On receipt of a notice of reduction or cancellation under this clause, the Grantee agrees to:

- (a) stop or reduce the performance of the Grantee's obligations as specified in the notice; and
- (b) take all available steps to minimise loss resulting from that reduction or cancellation; and
- (c) continue performing any part of the Activity or the Agreement not affected by the notice if requested to do so by the Commonwealth;
- (d) report on, and return any part of the Grant to the Commonwealth, or otherwise deal with the Grant, as directed by the Commonwealth.

20.3 In the event of reduction or cancellation under this clause, the Commonwealth will be liable only to:

- (a) pay any part of the Grant due and owing to the Grantee under this Agreement at the date of the notice; and
- (b) reimburse any reasonable and substantiated expenses the Grantee unavoidably incurs that relate directly and entirely to the reduction in scope or cancellation of the Agreement.

20.4 In the event of reduction, the amount of the Grant will be reduced in proportion to the reduction in the scope of the Agreement.

20.5 The Commonwealth's liability to pay any amount under this clause is:

- (a) subject to the Grantee's compliance with this Agreement; and
- (b) limited to an amount that when added to all other amounts already paid under the Agreement will not exceed the total amount of the Grant.

20.6 The Grantee will not be entitled to compensation for loss of prospective profits or benefits that would have been conferred on the Grantee but for the cancellation or reduction in scope of the Agreement under clause 20.1.

20.7 The Commonwealth will act reasonably in exercising its rights under this clause.

21. Survival

21.1 The following clauses survive termination, cancellation or expiry of this Agreement:

- clause 10 (Spending the Grant);
- clause 11 (Repayment);
- clause 12 (Record keeping);
- clause 13 (Reporting);
- clause 14 (Privacy);
- clause 15 (Confidentiality);
- clause 16 (Insurance)
- clause 17 (Intellectual property);
- clause 19 (Reduction, Suspension and Termination);
- clause 21 (Survival);
- clause 22 (Definitions);
- ST3 (Intellectual property in Activity Material);
- ST4 (Access/monitoring/inspection);
- ST19 (Indemnities); and
- any other clause which expressly or by implication from its nature is meant to survive.

22. Definitions

22.1 In this Agreement, unless the contrary appears:

- **Activity** means the activities described in the Grant Details and includes the provisions of the Reporting Material.
- **Activity Completion Date** means the date or event specified in the Grant Details.
- **Activity Material** means any Material, other than Reporting Material, created or developed by the Grantee as a result of the Activity and includes any Existing Material that is incorporated in or supplied with the Activity Material.
- **Agreement** means the Grant Details, Supplementary Terms (if any), the Commonwealth Standard Grant Conditions and any other document referenced or incorporated in the Grant Details.
- **Agreement End Date** means the date or event specified in the Grant Details.

- **Australian Privacy Principle** has the same meaning as in the *Privacy Act 1988*.
- **Change in the Control** means any change in any person(s) who directly exercise effective control over the Grantee.
- **Commonwealth** means the Commonwealth of Australia as represented by the Commonwealth entity specified in the Agreement and includes, where relevant, its officers, employees, contractors and agents.
- **Commonwealth Purposes** includes the following:
 - (a) the Commonwealth verifying and assessing grant proposals, including a grant application;
 - (b) the Commonwealth administering, monitoring, reporting on, auditing, publicising and evaluating a grant program or exercising its rights under this Agreement;
 - (c) the Commonwealth preparing, managing, reporting on, auditing and evaluating agreements, including this Agreement; and
 - (d) the Commonwealth developing and publishing policies, programs, guidelines and reports, including Commonwealth annual reports;
 but in all cases:
 - (e) excludes the commercialisation (being for-profit use) of the Material by the Commonwealth.
- **Commonwealth Standard Grant Conditions** means this document.
- **Existing Material** means Material developed independently of this Agreement that is incorporated in or supplied as part of Reporting Material or Activity Material.
- **Grant** means the money, or any part of it, payable by the Commonwealth to the Grantee for the Activity as specified in the Grant Details and includes any interest earned by the Grantee on that money once the Grant has been paid to the Grantee.
- **Grantee** means the legal entity other than the Commonwealth specified in the Agreement and includes, where relevant, its officers, employees, contractors and agents.
- **Grant Details** means the document titled Grant Details that forms part of this Agreement.
- **Intellectual Property Rights** means all copyright, patents, registered and unregistered trademarks (including service marks), registered designs, and other rights resulting from intellectual activity (other than moral rights under the *Copyright Act 1968*).
- **Material** includes documents, equipment, software (including source code and object code versions), goods, information and data stored by any means including all copies and extracts of them.
- **Party** means the Grantee or the Commonwealth.
- **Personal Information** has the same meaning as in the *Privacy Act 1988*.
- **Records** includes documents, information and data stored by any means and all copies and extracts of the same.
- **Reporting Material** means all Material which the Grantee is required to provide to the Commonwealth for reporting purposes as specified in the Grant Details, and includes any Existing Material that is incorporated in or supplied with the Reporting Material.

Signatures

Executed as an agreement:

Commonwealth

Signed for and on behalf of the Commonwealth of Australia as represented by the Department of Health and Aged Care.

Name (print)	
Position (print)	
Signature	
Date	
Witness name (print)	
Signature	
Date	

Grantee

Full legal name of the Grantee	<name of the grantee> <ABN of the grantee>
Name (print)	
Signature	
Date	
Witness name (print)	
Signature	
Date	

Schedule 2 - Commercialisation Terms and Conditions (Funding Administration Organisation)

1. Definitions and Interpretation

1.1 Definitions

In this Schedule 2:

Commercialisation Agreement means any proposed or final agreement, undertaking, commitment, dealing or other arrangement (excluding any Sub-Grant Agreement):

- (a) involving the Sub-Grantee in; or
- (b) under which it is contemplated that there will be, the Commercialisation of Relevant Intellectual Property.

Commercialisation Plan means the plan required to be developed and delivered in accordance with clauses 4.2 and 4.3 of this Schedule 2.

Commercialise or **Commercialisation** in relation to Relevant Intellectual Property means:

- (a) the use, research, development, testing, manufacture or exploitation of;
- (b) the creation or development of a product, good, process, treatment or service incorporating or based on;
- (c) the transfer, assignment, sale, hire or disposal of;
- (d) the granting of a licence (including any sublicense) for the exclusive or non-exclusive use of; or
- (e) any other transaction or arrangement dealing with (including via trust),

that Relevant Intellectual Property (whether in whole or in part).

Commercialised Product means any product, good, process, treatment or service which is:

- (a) created, developed, derived or otherwise brought about from performing or delivering the Activity;
- (b) funded under or through the Grant; or
- (c) Commercialised under a Commercialisation Agreement.

Commitment Deed Poll means a deed poll in favour of the Commonwealth substantially in the form of Attachment A to this Schedule 2.

Commitment Deed Poll Register means a register of all Commitment Deed Polls.

Relevant Intellectual Property means any Intellectual Property Rights which are:

- (a) created, developed, derived or otherwise brought about from performing or delivering the Activity; or
- (b) funded under or through the Grant.

Sub-Grant Agreement means any subcontracting agreement or arrangement entered into by the Grantee with a Sub-Grantee under this Agreement that provides some or all of the Grant to a Sub-Grantee to perform and deliver some or all of the Activity.

Sub-Grantee means an organisation or entity that enters into a Sub-Grant Agreement.

1.2 Interpretation

In this Schedule 2, unless a contrary indication appears or is expressed:

- (a) headings are used for ease of reference and do not affect the interpretation of this Schedule 2;
- (b) the singular includes the plural and the plural includes the singular;
- (c) a reference to one gender includes all other genders;
- (d) other parts of speech and grammatical forms of a word or phrase defined in this Schedule 2 have a corresponding meaning;
- (e) the word 'includes' in any form is not a word of limitation; and
- (f) a reference to a Party includes that Party's successors and permitted assigns.

Capitalised terms used in this Schedule 2 that are not defined in clause 1.1 of this Schedule 2, will have the meanings given to them in clause 22 [Definitions] of Schedule 1.

2. Intellectual Property Framework and Acknowledgements

2.1 Management of commercialisation and intellectual property framework

Where the Activity:

- (a) involves the Grantee identifying, selecting and contracting with Sub-Grantees under Sub-Grant Agreements in order for them to undertake health and medical research and medical innovation projects that have, or could have, commercial potential; and
- (b) contemplates the Sub-Grantees entering, or already having entered, into Commercialisation Agreements,

the Grantee must manage and implement a commercialisation and intellectual property framework in accordance with this Schedule 2.

2.2 Commonwealth Acknowledgements

The Commonwealth acknowledges and agrees that:

- (a) it will not make or have any claim to ownership of the Relevant Intellectual Property (whether in whole or in part); and
- (b) except for the rights specified in this Agreement (including this Schedule 2) or in a Commitment Deed Poll, it will not claim any commercial or financial right outside this Agreement or a Commitment Deed Poll.

2.3 Grantee Acknowledgements

The Grantee acknowledges and agrees that:

- (a) the Grantee and Sub-Grantees may only Commercialise the Relevant Intellectual Property in accordance with their rights and obligations under this Agreement (including this Schedule 2); and
- (b) any one of them must not:
 - (i) Commercialise any Commercialised Product; or
 - (ii) enter into a Commercialisation Agreement,inconsistent with, or contrary to, those rights and obligations set out in this Agreement (including this Schedule 2), unless otherwise agreed by the Commonwealth in writing.

3. Commercialisation

3.1 Acknowledgement

The Grantee acknowledges and agrees that:

- (a) opportunities to Commercialise products or services may arise or be contemplated during or after the term of this Agreement; and
- (b) if these opportunities to Commercialise result in Commercialised Products, the Commonwealth will be granted the opportunity to secure these Commercialised Products at the earliest possible time by entering into an agreement or other arrangement with the Sub-Grantee or other relevant party to purchase or acquire these resulting Commercialised Products (but excluding the purchase or transfer of the Relevant Intellectual Property).

3.2 Right of First Offer

- (a) The Grantee agrees:
 - (i) to procure for the Commonwealth (including from Sub-Grantees) an offer to purchase or acquire any Commercialised Products at the earliest possible time; and
 - (ii) to procure that such offer (including from Sub-Grantees) is made to the Commonwealth first before any other offers are made to any other party and, when received by the Commonwealth, that offer is irrevocable and cannot be withdrawn until 60 days have lapsed following the date on which the Commonwealth first received that offer.
- (b) When procuring an offer under clause 3.2(a) of this Schedule 2, the Grantee must procure such offer on commercial terms not more onerous or less favourable than terms to be offered to any other party in relation to the purchase or acquisition of the Commercialised Products, including in relation to:
 - (i) product specifications and product support arrangements;
 - (ii) product volume and supply;

- (iii) manufacturer's warranties;
 - (iv) timing and delivery schedule; and
 - (v) price.
- (c) For clarity, nothing in this Schedule 2 prevents any Sub-Grantees from making and accepting other offers once the Commonwealth has received the first offer, even if the Commonwealth has not yet accepted its first offer.

3.3 Commitment Deed Polls

- (a) When entering into, or proposing to enter into, a Sub-Grant Agreement with a Sub-Grantee, the Grantee must procure from each Sub-Grantee a duly executed Commitment Deed Poll on or before execution of that Sub-Grant Agreement by the Grantee.
- (b) If and when the Sub-Grantee enters into, or proposes to enter, a Commercialisation Agreement with a counterparty, the Grantee must ensure that the Sub-Grantee is contractually required:
- (i) to procure a duly executed Commitment Deed Poll from each counter party on or before execution by the Sub-Grantee of that Commercialisation Agreement; and
 - (ii) to promptly provide a copy of each duly executed Commitment Deed Poll to both the Grantee and the Commonwealth.
- (c) If and when any counterparty referred to in clause 3.3(b) of this Schedule 2 enters into, or proposes to enter, another Commercialisation Agreement with a third party, the Grantee must ensure that the counterparty is contractually required:
- (i) to procure a duly executed Commitment Deed Poll from each third party on or before execution by the counterparty of that other Commercialisation Agreement; and
 - (ii) to promptly provide a copy of each duly executed Commitment Deed Poll to both the Grantee and the Commonwealth.

3.4 Management of Commitment Deed Polls

The Grantee must:

- (a) promptly provide to the Commonwealth a copy of each duly executed Commitment Deed Poll required to be procured under clauses 3.3(a), (b) and (c) of this Schedule 2, unless already delivered to the Commonwealth;
- (b) establish, maintain and keep current a complete Commitment Deed Poll Register setting out:
 - (i) details of each Commitment Deed Poll procured under clauses 3.3(a), (b) and (c) of this Schedule 2 including attaching or linking a duly executed copy of each Commitment Deed Poll as part of the Commitment Deed Poll Register;
 - (ii) the details of each organisation or entity that executed a Commitment Deed Poll; and

- (iii) a summary of the subject matter of each Commercialisation Agreement to which the Commitment Deed Poll relates;

and

- (c) deliver a complete copy of the Commitment Deed Poll Register to the Commonwealth:
 - (i) upon the expiry or termination of the Agreement, and
 - (ii) at any other time requested by the Commonwealth during or after the term of this Agreement.

4. Commercialisation Plans and Reporting

4.1 Content of a Commercialisation Plan

A Commercialisation Plan developed for the purposes of this clause 4 of this Schedule 2 must include as a minimum:

- (a) an overview of how the Sub-Grantee proposes to Commercialise the Relevant Intellectual Property;
- (b) details of the key milestones and timelines anticipated by the Sub-Grantee in relation to the Commercialisation of the Relevant Intellectual Property;
- (c) details of all relevant parties involved in Commercialising the Relevant Intellectual Property (including potential counterparties and third parties for the purposes of any and all Commercialisation Agreements);
- (d) details of all proposed and executed Commercialisation Agreements in respect of the Relevant Intellectual Property and, once executed, details of relevant milestones achieved under each of those Commercialisation Agreements; and
- (e) details of a business plan or other document identifying possible Commercialised Products and the strategy to bring Commercialised Products to market.

4.2 Review and approval of Commercialisation Plan

- (a) Within 60 days of the date of each Sub-Grant Agreement, the Grantee must deliver each Sub-Grantee's draft Commercialisation Plan to the Commonwealth for review.
- (b) The Commonwealth (or its nominee) will promptly review each Sub-Grantee's draft Commercialisation Plan submitted by the Grantee under clause 4.2(a) of this Schedule 2 and may:
 - (i) approve the Sub-Grantee's draft Commercialisation Plan as the approved Commercialisation Plan for that Sub-Grantee; or
 - (ii) require the Grantee (in conjunction with the relevant Sub-Grantee) to make further amendments to the Sub-Grantee's draft Commercialisation Plan which the Commonwealth (acting reasonably) considers necessary for approval.
- (c) If clause 4.2(b)(ii) of this Schedule 2 applies, the Grantee must promptly resubmit the Sub-Grantee's amended draft Commercialisation Plan to the Commonwealth for

review as contemplated under clause 4.2(a) of this Schedule 2 and the Commonwealth may approve the Sub-Grantee's amended draft Commercialisation Plan as the approved Commercialisation Plan for that Sub-Grantee.

- (d) Where a Sub-Grantee's draft Commercialisation Plan has been approved by the Commonwealth as the approved Commercialisation Plan for that Sub-Grantee under clause 4.2(b)(i) or 4.2(c) of this Schedule 2, the Grantee must ensure that the relevant Sub-Grantee is contractually required to use all reasonable endeavours to comply with its approved Commercialisation Plan.

4.3 Replacement of approved Commercialisation Plan

- (a) If at any time the Grantee becomes aware of circumstances or events that require significant or material changes to the approved Commercialisation Plan of a Sub-Grantee, then within 21 days of becoming aware, the Grantee must deliver that Sub-Grantee's draft replacement Commercialisation Plan to the Commonwealth for review.
- (b) The Commonwealth (or its nominee) will promptly review the Sub-Grantee's draft replacement Commercialisation Plan submitted by the Grantee under clause 4.3(a) of this Schedule 2 and may:
 - (i) approve the Sub-Grantee's draft replacement Commercialisation Plan as the latest approved Commercialisation Plan for that Sub-Grantee; or
 - (ii) require the Grantee (in conjunction with the relevant Sub-Grantee) to make further amendments to the Sub-Grantee's draft replacement Commercialisation Plan which the Commonwealth (acting reasonably) considers necessary for approval.
- (c) If clause 4.3(b)(ii) of this Schedule 2 applies, the Grantee must promptly resubmit the Sub-Grantee's amended draft replacement Commercialisation Plan to the Commonwealth for review as contemplated under clause 4.3(a) of this Schedule 2 and the Commonwealth may approve the Sub-Grantee's amended draft replacement Commercialisation Plan as the latest approved Commercialisation Plan for that Sub-Grantee.
- (d) Where a Sub-Grantee's draft Commercialisation Plan has been approved by the Commonwealth as the latest approved Commercialisation Plan for that Sub-Grantee under clause 4.3(b)(i) or 4.3(c) of this Schedule 2, the Grantee must ensure that the relevant Sub-Grantee is contractually required to use all reasonable endeavours to comply with its latest approved Commercialisation Plan.
- (e) This clause 4.3 of this Schedule 2 is to be followed each time (no matter how many times) significant and material changes are made to a Sub-Grantee's approved Commercialisation Plan.

4.4 Reporting

- (a) Without limiting any other requirements the Grantee has under this Agreement, the Grantee must provide a report to the Commonwealth when the signing of any of the following occurs:
 - (i) any preliminary agreement, terms sheet, memorandum of understanding or other non-binding arrangement relating to the Commercialisation of the Relevant Intellectual Property; and
 - (ii) any Commercialisation Agreement,

such report to be provided within 21 days after:

- (iii) the date of this Agreement; or
- (iv) the date on which the signing has occurred,

whichever is the later.

- (b) As a minimum, the report in clause 4.4(a) of this Schedule 2 needs to:
 - (i) identify the type and subject matter of the non-binding arrangement or Commercialisation Agreement entered into, and when it was signed;
 - (ii) provide details of the Sub-Grantee and parties that have signed the relevant non-binding arrangement or Commercialisation Agreement;
 - (iii) include a summary of the commercial objectives and outcomes sought by entering into that non-binding arrangement or Commercialisation Agreement; and
 - (iv) provide confirmation (upon which the Commonwealth will rely) that the non-binding arrangement or Commercialisation Agreement will not impact or affect the Commonwealth's benefit under this Schedule 2 or under any Commitment Deed Polls in any detrimental or negative way.

4.5 Compliance

- (a) Without limiting any other rights the Commonwealth has under this Agreement, the Commonwealth may request further information from the Grantee at any time for the purposes of evaluating and determining compliance with this Schedule 2 and with any Commitment Deed Polls, including by requesting:
 - (i) a copy of the relevant non-binding arrangement and any supporting information or documentation to be read alongside that arrangement;
 - (ii) a copy of the relevant Commercialisation Agreement and any supporting information or documentation to be read alongside that agreement; and
 - (iii) such other information as may be required or desired by the Commonwealth to assist the Commonwealth in evaluating and determining compliance with this Schedule 2 and with any Commitment Deed Polls.
- (b) Without limiting clause 4.5(a) of this Schedule 2 in any way, the Commonwealth may request further information from the Grantee at any time if the Commonwealth:
 - (i) receives a report that fails to provide an adequate confirmation in accordance with clause 4.4(b)(iv) of this Schedule 2;
 - (ii) receives a Commitment Deed Poll Register that is incomplete or inaccurate; or
 - (iii) otherwise decides to undertake an audit or review for the purposes of evaluating and determining compliance with this Schedule 2 and with any Commitment Deed Polls.
- (c) The Grantee must provide, or ensure that each Sub-Grantee is contractually required to provide, the requested information to the Commonwealth within 21 days of receiving the request from the Commonwealth.

5. Flow-down

The Grantee must ensure that, as a minimum, it includes provisions equivalent to clauses 2.3, 3.1, 3.2, 3.3(b), 3.3(c), 4 and 5 of this Schedule 2 in all Sub-Grant Agreements.

6. Survival

Without limiting clause 21 of Schedule 1, this Schedule 2 survives the expiration or earlier termination of this Agreement.

SAMPLE

Attachment A to Schedule 2

Commitment Deed Poll

Made by **[insert name and ABN]** of **[insert address]**
(Organisation)

In favour of the **Commonwealth of Australia represented by the Department of Health and Aged Care ABN 83 605 426 759** of 23 Furzer Street, Phillip ACT 2606
(Commonwealth)

Recitals

- A. The Commonwealth and **[insert name of Grantee]** (**Grantee**) entered into a Commonwealth Standard Grant Agreement **[insert reference number]** in relation to the **[insert name of program]** (**Program**) on or around **[insert date]** (**Grant Agreement**).
- B. The Grantee is responsible for administering and managing the funding under the Grant Agreement, which includes making a sub-grant to a sub-grantee to perform funded research and medical innovation projects under the Sub-Grant Agreement.
- C. The Organisation is, or will be, either:
- a. a sub-grantee funded under the Sub-Grant Agreement; or
 - b. a party to a Commercialisation Agreement in relation to the Sub-Grant Agreement.
- D. The activities to be performed and delivered by the Organisation either as a sub-grantee under the Sub-Grant Agreement or as a party to a Commercialisation Agreement involve the use of Relevant Intellectual Property.
- E. In consideration and recognition of the Commonwealth funding contribution, the Organisation makes the commitments set out in this deed poll for the benefit and in favour of the Commonwealth.

1. Definitions and Interpretation

1.1 Definitions

In this deed poll:

Commercialisation Agreement means any proposed or final agreement, undertaking, commitment, dealing or other arrangement:

- (a) involving the Organisation in; or
- (b) under which it is contemplated that there will be,
the Commercialisation of Relevant Intellectual Property.

Commercialise or **Commercialisation** means, in relation to Relevant Intellectual Property:

- (a) the use, research, development, testing, manufacture or exploitation of;
- (b) the creation or development of a product, good, process, treatment or service incorporating or based on;
- (c) the transfer, assignment, sale, hire or disposal of;
- (d) the granting of a licence (including any sublicense) for the exclusive or non-exclusive use of; or
- (e) any other transaction or arrangement dealing with (including via trust),

that Relevant Intellectual Property (whether in whole or in part).

Commercialised Product means any product, good, process, treatment or service which is:

- (a) created, developed, derived or otherwise brought about from performing or delivering the Program activities under the Grant Agreement;
- (b) funded under or through the Grant Agreement; or
- (c) Commercialised under a Commercialisation Agreement.

Grant Agreement has the same meaning as given to it in Recital A of this deed poll.

Grantee has the same meaning as given to it in Recital A of this deed poll.

Intellectual Property Rights means all rights in relation to copyright, inventions (including patent rights), registered and unregistered designs, circuit layouts, plant varieties, registered and unregistered trademarks (including service marks and goodwill associated with trademarks), and all other rights resulting from intellectual activity in the industrial, scientific, literary or artistic fields but does not include Moral Rights.

Moral Rights has the same meaning as given to it in the *Copyright Act 1968* (Cth).

Objective has the same meaning as given to it in clause 2.1 of this deed poll.

Program has the same meaning as given to it in Recital A of this deed poll.

Relevant Intellectual Property means any Intellectual Property Rights which are:

- (a) created, developed, derived or otherwise brought about from performing or delivering the Program activities under the Grant Agreement; or
- (b) funded under or through the Grant Agreement.

Sub-Grant Agreement means any subcontracting agreement or arrangement entered into by the Grantee with a sub-grantee under the Grant Agreement that provides some or all of the funding to the sub-grantee to perform and deliver some or all of the Program activities.

1.2 Interpretation

In this deed poll, unless a contrary indication appears or is expressed:

- (a) headings are used for ease of reference and do not affect the interpretation of this deed poll;

- (b) the singular includes the plural and the plural includes the singular;
- (c) a reference to one gender includes all other genders;
- (d) other parts of speech and grammatical forms of a word or phrase defined in this deed poll have a corresponding meaning;
- (e) the word 'includes' in any form is not a word of limitation; and
- (f) a reference to a party includes that party's successors and permitted assigns.

1.3 Nature of deed poll

- (a) The Organisation acknowledges and agrees that this deed poll is for the benefit of the Commonwealth and may be relied on and enforced directly by the Commonwealth against the Organisation in accordance with its terms, even though the Commonwealth is not a party to it.
- (b) This deed poll is irrevocable and cannot be unilaterally terminated or amended by the Organisation without the prior written approval of the Commonwealth, such termination or amendment (if approved) to be in the form of a deed.

2. Organisation Commitments

2.1 Objective

The Organisation acknowledges that the Commonwealth:

- (a) has contributed to funding of the Relevant Intellectual Property; and
- (b) has strategic priorities and functions to ensure better health outcomes for all Australians,

and in this context the objective of this deed poll is to provide a right of first offer for the Commonwealth to purchase or acquire any resulting Commercialised Products, excluding the purchase of the Relevant Intellectual Property (**Objective**).

2.2 Right of First Offer

- (a) The Organisation agrees:
 - (i) to offer to the Commonwealth, or to procure for the Commonwealth an offer, to purchase or acquire any Commercialised Products at the earliest possible time; and
 - (ii) to make, or to procure that such offer is made, to the Commonwealth first before any other offers are made to any other party and, when received by the Commonwealth, that offer is irrevocable and cannot be withdrawn until 60 days have lapsed following the date on which the Commonwealth first received that offer.
- (b) When making or procuring an offer under clause 2.2(a) of this deed poll, the Organisation must make or procure such offer on commercial terms not more onerous or less favourable than terms to be offered to any other party in relation to the purchase or acquisition of the Commercialised Products, including in relation to:

- (i) product specifications and product support arrangements;
 - (ii) product volume and supply;
 - (iii) manufacturer's warranties;
 - (iv) timing and delivery schedule; and
 - (v) price.
- (c) For clarity, nothing in this deed poll prevents the Organisation from making and accepting other offers once the Commonwealth has received the first offer, even if the Commonwealth has not yet accepted its first offer.

2.3 Entering into Commercialisation Agreements

When entering into, or proposing to enter into, a Commercialisation Agreement with any third party, the Organisation must:

- (a) procure from that third party a duly executed deed poll substantially in the form of this deed poll (including this clause 2.3) in favour of the Commonwealth on or before execution of that Commercialisation Agreement by the Organisation; and
- (b) promptly provide a copy of that duly executed deed poll to both the Commonwealth and the Grantee.

2.4 Good faith

The Organisation must:

- (a) act in good faith in the performance of this deed poll; and
- (b) not:
 - (i) use any technique or strategy; or
 - (ii) enter into any arrangement with any third party (including a related party), which has, or would have, the aim or effect of defeating, removing, diminishing or eroding the Objective (in whole or in part) in any way.

2.5 Access to information

- (a) Upon a written request from the Commonwealth, the Organisation agrees to provide the requested information to the Commonwealth to the extent reasonably necessary or desirable for the Commonwealth to evaluate and determine the Organisation's compliance with clause 2 of this deed poll.
- (b) The Organisation agrees to provide, or procure the provision of, the requested information to the Commonwealth within 21 days of receiving the request from the Commonwealth.

3. Warranties

The Organisation represents and warrants (upon which the Commonwealth relies) that:

- (a) it has taken all necessary corporate and other action to authorise the entry into, and the performance of all obligations under, this deed poll;
- (b) the persons signing this deed poll are fully authorised and have all necessary power to execute this deed poll on behalf of the Organisation and to legally bind the Organisation to it; and
- (c) when signed, this deed poll is valid and legally binding on the Organisation, and enforceable against it in accordance with its terms.

4. Injunctive Relief

- (a) The Organisation:
 - (a) acknowledges that damages may not be a sufficient remedy for the Commonwealth for any breach of this deed poll; and
 - (b) agrees that the Commonwealth may seek injunctive relief (including specific performance seeking an order that the Organisation comply with its obligations under this deed poll) as remedies for any breach or threatened breach by the Organisation, in addition to any other remedies available at law or in equity.

5. Confidentiality

The Organisation agrees to treat the terms of this deed poll as confidential, and to only disclose such terms on a confidential basis:

- (a) in order to pursue and achieve the Objective;
- (b) as required by law; or
- (c) with the prior written approval of the Commonwealth.

6. General

6.1 Further assurances

The Organisation agrees to do all things and execute all documents necessary or desirable to give full effect to this deed poll and the transactions contemplated by it.

6.2 Cumulative rights

The rights and remedies provided under this deed poll are cumulative and not exclusive of any rights or remedies provided by law or any other such right or remedy provided under any other deed or agreement, including the Grant Agreement.

6.3 Waiver and variation

- (a) The provisions of this deed poll cannot be waived or varied by the Organisation except with the prior written approval of the Commonwealth.
- (b) Failure at any time by the Commonwealth to enforce a provision of this deed poll will not be construed in any way as affecting the enforceability of that provision or this deed poll as a whole.

6.4 Governing law and jurisdiction

- (a) The laws of the Australian Capital Territory apply to this deed poll.
- (b) The courts of the Australian Capital Territory have non-exclusive jurisdiction to decide any matter relating to this deed poll.

6.5 Assignment

The Organisation must not assign, transfer or novate any rights or obligations under this deed poll without the prior written approval of the Commonwealth.

6.6 Severability

If a term or part of a term of this deed poll is found to be illegal or unenforceable, that term or part of the term may be severed from this deed poll and the remaining terms or parts of the terms of this deed poll will continue in force.

Executed as a deed poll

Executed by the **Organisation** in accordance with section 127 of the *Corporations Act 2001* (Cth) by:

Signature of Director

Signature of Director/Company Secretary

Full name (print)

Full name (print)

Date

Date

Schedule 3 Reporting requirements

Appendix 1

MRFF – 2021 BioMedTech Incubator - progress report requirements

Consistent with clause E (Reporting) of the Commonwealth grant agreement, the Grantee is required to provide the information requested below in its progress reports. The Commonwealth reserves the right to amend or adjust the requirements.

You must submit your report via the business.gov.au [portal](#) when it is complete.

You must also answer any additional reporting questions as per the template provided on [business.gov.au](#) and submit as part of your progress report.

Project Information

Grant ID:

Institution/Organisation:

Grant Title:

Month and Year:

Australia New Zealand Clinical Trials Registry Trial ID (where relevant)

Project progress

Complete the following table, updating for all milestones shown in the Activity Schedule of your grant agreement. The Comments field should summarise progress at the end of the reporting period towards completion of the agreed research activities relevant to each milestone/objective, and provide a justification for any changes or delays to milestones/objectives.

Milestone	Agreed end date	Actual/anticipated end date	Current % complete	Progress comments – work undertaken and impact of any delay

- a. Describe progress towards completion of any additional research activities undertaken during the reporting period that are not captured in the table above.
- b. Provide details of how you are managing, or propose to manage, risks to completion of milestones/objectives that have arisen during the reporting period.

Complete the following table for all variation requests approved, submitted (pending approval) or in draft (pending submission) for this grant to date.

Description of Variation	Current Status (Approved/Submitted/In Draft)

- a. Provide a statement on your overall progress towards completion of the Research Activity by the agreed end date. If the Research Activity is not on track, describe the extent of the overall delay.
- b. Provide a summary of progress towards implementing your research findings and how you intend to ensure their translation to support improved health outcomes.

You should include information about your proposed approach and any key enablers or barriers to implementation.

Complete the following table if your grant involves identifying, supporting and working in partnership with selected organisations to progress their own research project/s.

This applies to grants where the funded organisation is responsible for supporting research projects led by other organisations.

Partner Organisation	Project Title	Summary of Project	Lead Researcher	Grant Funds Provided	Start Date of Project	% Project Complete

Project Expenditure

Provide details of all expenditure incurred during the reporting period.

Expenditure should be divided into the same categories as the budget in your grant agreement. The table should indicate budgeted and actual expenditure for the current reporting period. The Comments field should justify any differences between the budgeted and actual expenditure.

If you are registered for GST, enter the GST exclusive amount. If you are not registered for GST, enter the GST inclusive amount. We may ask you to provide evidence of costs incurred. Refer to the grant opportunity guidelines or contact us if you have any questions about expenditure.

Expenditure Item	Budget (AUD)	Actual (AUD)	Comments

- a. Provide a statement confirming the eligibility of expenditure incurred during the reporting period. If grant funds have been used to cover costs for ineligible items, explain why.
- b. Provide details of the estimated expenditure for the next reporting period in the table below

Expenditure Item	Budget (AUD)

- c. Provide details of any partner contributions received during the reporting period in the table below.

The Comments field should indicate whether each contribution has been made as expected. If not, describe the impact of any delays or changes on the delivery of the Research Activity.

Name of Partner	Type of Contribution	Value of Contribution	Comments

Project Evaluation

The [MRFF Monitoring, Evaluation and Learning Strategy](#) was published in November 2020.

Complete the following table for each outcome or result against which your contribution to the Measures of Success for the MRFF is being evaluated, as specified in the Measures of Success statement provided with your application.

For each Measure of Success, the table should:

- list each outcome/result (one per row), including a quantitative or qualitative description of the target that will indicate its achievement or completion
- summarise your anticipated and actual progress towards achievement or completion of the target at the end of the reporting period.

You may list several outcomes/results against a single Measure of Success.

Measure of Success	Outcome/Result	Anticipated Progress	Actual Progress

- Provide a statement on the most important finding or outcome from your research during the reporting period, including any new or unexpected findings or outcomes.
Noting that your response may be used in public communications about the MRFF, please indicate whether any of the information you provide is commercial in confidence.
- Describe any enablers or barriers to the translation or implementation of your research that could be used to inform future MRFF funding opportunities.

Attachments

- Attach any agreed evidence required with this report to demonstrate project progress.
- Attach copies of any published reports and promotional material, relating to the project.
- Attach any commitment deed polls as required.

Certification

By submitting this progress report, you are certifying that:

- an authorised person has completed the report.
- the information in this report is accurate, complete and not misleading and that you understand the giving of false or misleading information is a serious offence under the Criminal Code 1995 (Cth).
- you have complied with all funding conditions and relevant legislation applicable to the delivery of the Research Activity, as described in the grant agreement.

- you are aware that the grant agreement empowers the Commonwealth to terminate the grant agreement and to request repayment of funds paid to the grantee where the grantee is in breach of the grant agreement.

SAMPLE

Appendix 2

MRFF – 2021 BioMedTech Incubator - end of project report requirements

Consistent with clause E (Reporting) of the Commonwealth grant agreement, the Grantee is required to provide the information requested below in its progress reports. The Commonwealth reserves the right to amend or adjust the requirements.

You must submit your report via the business.gov.au [portal](#) when it is complete.

You must also answer any additional reporting questions as per the template provided on business.gov.au and submit as part of your progress report.

Project Information

Grant ID:

Institution/Organisation:

Grant Title:

Month and Year:

Australia New Zealand Clinical Trials Registry Trial ID (where relevant)

Project Outcomes

Complete the following table, for each milestone or objective outlined in the Activity Schedule of your grant agreement or (if applicable) previous progress report, and any additional approved milestones.

The Comments field should summarise the extent to which you completed all agreed research activities relevant to each milestone/objective and provide a justification for any incomplete milestones/objectives.

Milestone/ Objective	Agreed end date	Actual/ end date	% complete	Comments

- a. Describe the extent to which you completed any additional research activities undertaken during the reporting period that are not captured in the table above.
- b. Provide a statement explaining how you have met the objective/s and intended outcome/s of the project as specified in section 1.3 of the grant opportunity guidelines.
- c. Provide a summary of how you are implementing your research findings and ensuring their translation to support improved health outcomes.

You should include information about any key enablers or barriers to implementation.

- d. Have you complied with all funding conditions and legislation applicable to the delivery of the project as outlined in the grant agreement?

If not, explain why.

Complete the following table if your grant involved identifying, supporting and working in partnership with selected organisations to progress their own research project/s. If any of the projects are not completed, explain why.

This applies to grants where the funded organisation is responsible for supporting research projects led by other organisations.

Partner Organisation	Project Title	Summary of Project	Lead Researcher	Grant Funds Provided	Start Date of Project	% Project Complete

Project expenditure

- a. Provide details of all expenditure incurred for the project

Expenditure should be divided into the same categories as the budget in your grant agreement. The table should indicate total budgeted and actual expenditure for the project. The Comments field should justify any differences between the budgeted and actual expenditure.

If you are registered for GST, enter the GST exclusive amount. If you are not registered for GST, enter the GST inclusive amount. We may ask you to provide evidence of costs incurred. Refer to the grant opportunity guidelines or contact us if you have any questions about expenditure.

Expenditure Item	Budget (AUD)	Actual (AUD)	Comments

- b. Provide a statement confirming the eligibility of expenditure incurred for the project. If grant funds have been used to cover costs for ineligible items, explain why.
- c. Provide details of any partner contributions received for the project.

The Comments field should indicate whether each contribution has been made as expected. If not, describe the impact on the delivery of the Research Activity.

Name of Partner	Type of Contribution	Value of Contribution	Comments

Project Evaluation

The [MRFF Monitoring, Evaluation and Learning Strategy](#) was published in November 2020.

Complete the following table for each outcome or result against which your contribution to the Measures of Success for the MRFF is being evaluated, as specified in the Measures of Success statement provided with your application.

For each Measure of Success, the table should:

- a. list each outcome/result (one per row), including a quantitative or qualitative description of the target that will indicate its achievement or completion
- b. summarise your anticipated and actual progress towards achievement or completion of the target at the end of the reporting period.

You may list several outcomes/results against a single Measure of Success.

Measure of Success	Outcome/Result	Anticipated Progress	Actual Progress

- c. Provide a statement on the most important finding or outcome from your research during the reporting period, including any new or unexpected findings or outcomes.

Noting that your response may be used in public communications about the MRFF, please indicate whether any of the information you provide is commercial in confidence.
- d. Describe any enablers or barriers to the translation or implementation of your research that could be used to inform future MRFF funding opportunities.

Updated business indicators

Provide the following financial data for your organisation for your latest complete financial year

These fields are mandatory and entering \$0 is acceptable if applicable.

- Financial year completed
- Sales revenue (turnover)
- Export revenue
- R&D expenditure
- Taxable income
- Number of employees including working proprietors and salaried directors (headcount)
- Number of independent contractors (headcount)

Attachments

- a. Attach any agreed evidence required with this report to demonstrate successful completion of your project.
- b. Attach copies of any published reports and promotional material, relating to the project.
- c. Attach any commitment deed polls as required.

Certification

By submitting this progress report, you are certifying that:

- an authorised person has completed the report.
- the information in this report is accurate, complete and not misleading and that you understand the giving of false or misleading information is a serious offence under the Criminal Code 1995 (Cth).
- you have complied with all funding conditions and relevant legislation applicable to the delivery of the Research Activity, as described in the grant agreement.

- you are aware that the grant agreement empowers the Commonwealth to terminate the grant agreement and to request repayment of funds paid to the grantee where the grantee is in breach of the grant agreement.

SAMPLE

Appendix 3

MRFF – 2021 BioMedTech Incubator - Compliance with working with children obligations

Where applicable, you will need to answer the following questions in your annual statement of compliance. The Commonwealth reserves the right to amend or adjust the requirements.

You must submit your annual statement of compliance as you would a report on the [portal](#).

Statement of compliance

- a. Is the organisation, and persons working with children on behalf of the organisation in relation to the Activity, compliant with Commonwealth, state or territory legislation?
- b. Has the organisation completed a risk assessment in relation to the Activity and all persons who may engage with children in association with the Activity?
- c. Has the organisation put in place an appropriate strategy to manage risks identified through the risk assessment?
- d. Has the organisation delivered training and established a compliance regime to ensure that all persons who may engage with children are aware of, and comply with:
 - i the National Principles for Child Safe Organisations
 - ii the risk management strategy in item 3 above
 - iii relevant legislation relating to requirements for working with children, including working with children checks
 - iv relevant legislation relating to requirements for working with vulnerable people, including working with vulnerable people checks; and
 - v relevant legislation relating to mandatory reporting of suspected child abuse or neglect however described?

Declaration

You must ensure an authorised person completes the report and can declare the following:

- The information in this report is accurate, complete and not misleading and that I understand the giving of false or misleading information is a serious offence under the *Criminal Code 1995* (Cth).
- I am aware of the grantee's obligations under their grant agreement.
- I am aware that the grant agreement empowers the Commonwealth to terminate the grant agreement and to request repayment of funds paid to the grantee where the grantee is in breach of the grant agreement.

Appendix 4

Independent audit report

Background

These templates assist Grantees (and their auditors) to understand the audit requirements under a Commonwealth grant agreement administered by the Department of Industry, Science and Resources. For further information contact us on 13 28 46 or at business.gov.au.

When an independent audit report is required under our grant agreements the Grantee must provide us with:

- a statement of grant income and expenditure against the expenditure categories under the grant agreement (attachment A)
- an independent audit report on the statement of grant income and expenditure (attachment B)
- certification of certain matters by the auditor (attachment C).

You can find additional information on the grant opportunity relevant to your grant at business.gov.au or by calling us on 13 28 46.

Eligible expenditure

Advice on eligible expenditure for projects under the grant opportunity can be found in grant opportunity guidelines. These guidelines are revised from time to time and therefore more than one version of the document may exist. The relevant guidelines are those that were effective at the time the Grantee's application was accepted.

It is essential that Grantees and their auditors understand the eligible expenditure requirements because these determine whether, and the extent to which, certain costs are reportable and claimable.

The amount of grant funding we approve is based on the Grantee's estimated eligible expenditure, as provided in their application. However, the grant funding any Grantee is ultimately entitled to receive is determined against actual eligible expenditure incurred and paid for on the project. The grant amount specified in the grant agreement is the **maximum** amount the Grantee may be paid.

The expenditure reported in the 'statement of grant income and expenditure' at attachment A must represent actual 'eligible expenditure' paid on the project during that period.

Attachment A – Statement of grant income and expenditure

Grant opportunity name	[grant opportunity name]
Project number	[project number]
Grantee	[organisation]
Project title	[project title]
Reporting period start date	[project start date or other reporting period start date]
Reporting period end date	[project end date or other reporting period end date]

This statement of grant income and expenditure must be prepared by the Grantee and contain the following:

- Statement of funds, Grantee contributions and other financial assistance*
- Statement of eligible expenditure*
- Notes to the statement of eligible expenditure, explaining the basis of compilation
- Certification by directors of the Grantee
- *We will compare this information to that detailed in the grant agreement.

1. Statement of funds, Grantee contributions and other financial assistance

Complete the following table for all cash [and in-kind] contributions for your project for the period in question, including

- the grant
- other government funding
- your own contributions
- partner or other third party contributions
- any additional private sector funding.

Insert rows as required.

Contributor	Cash amount (GST excl)	[Estimated in-kind amount (GST excl)]	Total (GST excl)
Grant	[\$[enter amount]]	[\$[enter amount]]	[\$[enter amount]]
Grantee	[\$[enter amount]]	[\$[enter amount]]	[\$[enter amount]]
[enter contributor]	[\$[enter amount]]	[\$[enter amount]]	[\$[enter amount]]
[enter contributor]	[\$[enter amount]]	[\$[enter amount]]	[\$[enter amount]]
Total	[\$[enter amount]]	[\$[enter amount]]	[\$[enter amount]]

2. Statement of eligible expenditure

You must provide detail of the eligible expenditure that has been incurred and paid for during the reporting period in the 'Statement of eligible expenditure' spreadsheet.

Comment on any variance between the expenditure items and amounts detailed in the grant agreement and the actual items and amounts detailed in the attached statement of eligible expenditure.

[enter details]

3. Note to the statement of eligible expenditure

3.1 Eligible expenditure

The eligible expenditure as reported in the statement of eligible expenditure is in accordance with the grant opportunity guidelines.

3.2 Basis of compilation

This statement of eligible expenditure has been prepared to meet the requirements of the grant agreement between [enter Grantee name] and the Commonwealth represented by the Department of Industry, Science and Resources. Significant accounting policies applied in the compilation of the statement of grant income and expenditure include the following:

[enter details]

4. Certification by directors [if not director, replace with appropriate equivalent]

[Grantee name]

[Project number]

For the period [dd/ mm/yyyy] to [dd/ mm/yyyy]

We confirm that, to the best of our knowledge and believe, having made such enquiries as we considered necessary for the purpose of appropriately informing ourselves:

Statement of grant income and expenditure

- a. We have fulfilled our responsibilities for the preparation of the statement of grant income and expenditure in accordance with the cash basis of accounting and the terms of the grant agreement with the Commonwealth, represented by the Department of Industry, Science and Resources dated [enter date]; in particular, the statement of grant income and expenditure presents fairly in accordance therewith.
- b. All events subsequent to the date of the statement of grant income and expenditure which require adjustment or disclosure so as to present fairly the statement of grant income and expenditure, have been adjusted or disclosed.
- c. [Where applicable] The effects of uncorrected misstatements are immaterial, both individually and in the aggregate, to the statement of grant income and expenditure as a whole. A list of the uncorrected misstatements is attached to this representation letter.
- d. That all Grantee contributions and other financial assistance were spent for the purpose of the project and in accordance with the grant agreement and that the Grantee has complied with the grant agreement and relevant accounting policies.
- e. That salaries and allowances paid to persons involved in the project are in accordance with any applicable award or agreement in force under any relevant law on industrial or workplace relations.

Signature

Name [enter name]

Director

Date [dd/mm/yyyy]

Signature

Name [enter name]

Director

Date [dd/mm/yyyy]

5. For Auditor use only

I certify that this statement of grant income and expenditure is the one used to prepare my independent audit report dated [enter date] for the Department of Industry, Science and Resources.

Signature

Name [enter name]

Position [enter position]

Auditor's employer [enter employer name]

Date [dd/mm/yyyy]

Sample

Attachment B - Independent audit report

Background for auditors

The purpose of the independent audit report is to provide us with an auditor's opinion on the Grantee's statement of grant income and expenditure. The statement of grant income and expenditure is prepared by the Grantee to correspond with the expenditure reported to the department by the Grantee for the same period, in the process of claiming grant payments.

The independent audit report must be prepared by a person who is an approved auditor.

An approved auditor is a person who is:

- a. registered as a company auditor under the *Corporations Act 2001* or an appropriately qualified member of Chartered Accountants Australia and New Zealand, or of CPA Australia or the Institute of Public Accountants; and
- b. not a principal, member, shareholder, officer, agent, subcontractor or employee of the Grantee or of a related body corporate or a Connected Entity.

The audit should be undertaken and reported in accordance with Australian Auditing Standards.

The independent audit report must follow the required format and include any qualification regarding the matters on which the auditor provides an opinion. We may follow up any qualifications with the Grantee or auditor. The independent audit report must be submitted on the auditor's letterhead.

Auditors must comply with the professional requirements of Chartered Accountants Australia and New Zealand, CPA Australia and the Institute of Public Accountants in the conduct of their audit.

If the auditor forms an opinion that the statement of grant income and expenditure does not give a true and fair view of the eligible expenditure for the period, the independent audit report should be qualified and the error quantified in the qualification section of the independent audit report.

The required independent audit report format follows.

Auditor's report

Independent audit report in relation to [Grantee name]'s statement of grant income and expenditure to the Commonwealth, represented by the Department of Industry, Science and Resources (the department).

We have audited:

- a. the accompanying statement of grant income and expenditure of [Grantee name] for the period [dd/mm/yyyy] to [dd/mm/yyyy], a summary of significant accounting policies and other explanatory information, and management's attestation statement thereon (together "the financial statement"). The financial statement has been prepared by management using the cash basis of accounting described in note 3.2 to the financial statement; and
- b. [Grantee name]'s compliance with the terms of the grant agreement between [Grantee name] and the Commonwealth dated [date of agreement] for the period [dd/mm/yyyy] to [dd/mm/yyyy] (the grant agreement).

We have:

- a. reviewed [Grantee name]'s statement of labour costs in support of its claim of eligible expenditure; and
- b. performed limited assurance procedures on [Grantee name]'s statement of employee numbers under the grant agreement].

Management's responsibility

Management is responsible for:

- a. the preparation and fair presentation of the financial statement in accordance with the basis of accounting described in note 3.2, this includes determining that the cash basis of accounting is an acceptable basis for the preparation of the financial statement in accordance with the grant agreement;
- b. compliance with the terms of the grant agreement;
- c. the preparation of the statement of employee numbers and labour costs in support of eligible expenditure; and
- d. such internal control as management determines is necessary to:
 - i enable the preparation of the financial statement and the statement of [employee numbers and]labour costs that are free from material misstatement, whether due to fraud or error; and
 - ii enable compliance with the terms of the grant agreement.

Auditor's responsibility

Our responsibilities are:

- a. To express an opinion, based on our audit, on:
 - i the financial statement; and
 - ii [Grantee name]'s compliance, in all material respects, with the terms of the grant agreement; and

- b. To conclude based on:
 - i our review procedures, on the statement of labour costs; and
 - ii our limited assurance procedures on the statement of employee numbers.

We conducted our audit of the financial statement in accordance with Australian Auditing Standards; our audit of compliance with the grant agreement in accordance with ASAE 3100, our review of the statement of labour costs in accordance with ASRE 2405[; and our limited assurance procedures on employee numbers in accordance with ASAE 3000]. The applicable Standards require that we comply with relevant ethical requirements and plan and perform our work to:

- a. obtain reasonable assurance about whether the financial statement is free from material misstatement and that [Grantee name] has complied, in all material respects, with the terms of the grant agreement; and
- b. obtain limited assurance as to whether anything has come to our attention that causes us to believe that the statements of employee numbers and labour costs are materially misstated.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statement and about the Grantee's compliance with the grant agreement. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Grantee's preparation and fair presentation of the financial statement, and to the Grantee's compliance with the grant agreement, in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Grantee's internal control. An audit also includes evaluating the appropriateness of accounting policies used by management, as well as evaluating the overall presentation of the financial statement.

A review consists of making enquiries and applying analytical and other review procedures. A review is substantially less in scope than an audit conducted in accordance with Auditing Standards and consequently does not enable us to obtain assurance that we would become aware of all significant matters that might be identified in an audit. Accordingly, we do not express an audit opinion on the statement of labour costs.

A limited assurance engagement undertaken in respect of the statement of employee numbers, in accordance with ASAE 3000 involves [level of detail about procedures to be determined by the auditor]. The procedures performed in a limited assurance engagement vary in nature and timing from, and are less in extent than for, a reasonable assurance engagement; and consequently, the level of assurance obtained in a limited assurance engagement is substantially lower than the assurance that would have been obtained had a reasonable assurance engagement been performed.

We believe that the evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion, review and limited assurance conclusions.

Opinion

In our opinion:

- a. the financial statement presents fairly, in all material respects, the grant income and expenditure of [Grantee name] for the period [dd/mm/yyyy] to [dd/mm/yyyy] in accordance with the cash basis of accounting described in note 3.2 and the terms of the grant agreement, dated [date of agreement], with the Commonwealth; and

- b. [Grantee name] has complied, in all material respects, with the requirements of the grant agreement between the organisation and the Commonwealth dated [date of agreement], for the period [dd/mm/yyyy] to [dd/mm/yyyy].

Basis of Accounting and Restriction on Distribution

Without modifying our opinion, we draw attention to note 3.2 to the financial statement, which describes the basis of accounting. The financial statement is prepared to provide information to the department in accordance with the grant agreement, dated [date of agreement]. As a result, the financial statement may not be suitable for another purpose.

Use of Report

This report has been prepared for [Grantee name] and the department in accordance with the requirements of the grant agreement between [Grantee name] and the Commonwealth, dated [date of agreement]. We disclaim any assumption of responsibility for any reliance on this report to any persons or users other than [Grantee name] and the department, or for any purpose other than that for which it was prepared.

Conclusions

Based on:

- a. Our review, which is not an audit, nothing has come to our attention that causes us to believe that the statement of labour costs in the period [dd/mm/yyyy] to [dd/mm/yyyy] is not, in all material respects, fairly presented in accordance with the grant agreement dated [date of agreement] with the Commonwealth; and
- b. The procedures we have performed and the evidence we have obtained, nothing has come to our attention that causes us to believe that the statement of employee numbers as at [dd/mm/yyyy] is not prepared, in all material respects, in accordance with the grant agreement dated [date of agreement] with the Commonwealth].

Auditor's signature

Name [enter name]

Auditor's employer [enter employer name]

Employer's address [enter address]

Qualifications [enter qualification]

Position [enter position]

Date [dd/mm/yyyy]

Attachment C - Certification of certain matters by the auditor

The department also requires a certification of certain matters by the auditor in addition to the independent audit report. This should be submitted with the statement of grant income and expenditure and independent audit report.

The auditor who signs this certification must also initial and date a copy of the Grantee's statement of eligible expenditure. The department will not accept an independent audit report that lacks this attachment.

The required format of certification is on the following page.

Sample

[print on auditor letterhead]

[addressee]

Department of Industry, Science and Resources
GPO Box 2013
Canberra ACT 2601

I understand that the Commonwealth, represented by the Department of Industry, Science and Resources and [Grantee name] have entered into a grant agreement for the provision of financial assistance under the [grant opportunity name] to the Grantee for the project. A condition of funding under the grant agreement is that the Grantee provides a statement of grant income and expenditure certifying that expenditure on approved project items has been incurred within the relevant audit period and paid in accordance with the grant opportunity guidelines, and is supportable by appropriate documentation.

In fulfilment of the condition, I hereby certify that:

- a. I am a member of Chartered Accountants Australia and New Zealand/ CPA Australia/ the Institute of Public Accountants (as a Public Practice Certified Member).
- b. I have prepared the independent audit report on [Grantee name]'s, statement of grant income and expenditure in accordance with the details of the grant agreement between the Grantee and the Commonwealth, project no [project no] dated [dd/mm/yyyy].
- c. I have reviewed the grant agreement between the Grantee and the Commonwealth, project no [project no] dated [dd/mm/yyyy], and related grant opportunity guidelines and understand the requirements pertaining to financial reporting and eligible expenditure contained therein.
- d. I have signed the attached copy of [Grantee name]'s statement of eligible expenditure that I used to prepare the independent audit report.
- e. I have complied with the professional independence requirements of Chartered Accountants Australia and New Zealand/ CPA Australia/the Institute of Public Accountants. I specifically certify that I:
 - i am not, and have not been, a director, office holder, or employee of [Grantee name] or related body corporate of [Grantee name]
 - ii have not been previously engaged by [Grantee name] for the purpose of preparing their [grant opportunity name] application or any report required under the grant agreement
 - iii have no financial interest in [Grantee name].

Signature

Name [enter name]

Qualifications [enter qualification]

Position [enter position]

Date [dd/mm/yyyy]

Sample