

Grant Opportunity Guidelines

Inspiring Australia – Science Engagement Program: The 2026 Prime Minister's Prizes for Science – Science Prizes

Nomination Stage 1 opening date:	10.00am AEDT 22 October 2025
Nomination Stage 1 closing date	5.00pm AEDT 18 December 2025
and time:	Please take account of time zone differences when submitting the nomination.
Nomination Stage 2 opening date:	31 March 2026
Nomination Stage 2 closing date and time:	5.00pm AEST 23 April 2026
	Please take account of time zone differences when submitting the nomination.
Commonwealth policy entity:	Department of Industry, Science and Resources (DISR)
Administering entity:	Department of Industry, Science and Resources (DISR)
Enquiries:	If you have any questions, contact us on 13 28 46.
Date guidelines released:	8 October 2025
Type of grant opportunity:	Open competitive

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Inspiring Australia – Science Engagement Program: The 2026 Prime Minister's Prizes for Science – Science Prizes processes

The Inspiring Australia – Science Engagement Program is designed to achieve Australian Government objectives

This grant opportunity is part of the above grant program which contributes to the Department of Industry, Science and Resources' (the department) Outcome One: Support economic growth, productivity and job creation for all Australians by investing in science and technology, growing innovative and competitive businesses, industries and regions, and supporting a strong resources sector. The department engages with stakeholders to plan and design the grant program according to the Commonwealth Grants Rules and Principles (CGRPs).



Stage 1 nominations open

We publish the grant guidelines on <u>business.gov.au</u> and <u>GrantConnect</u>.



The nominator completes and submits a Stage 1 nomination via the nomination form
The nominator submits a nomination form on behalf of a nominee, addressing all eligibility and
assessment criteria including providing the contact details of the nominee, two supporters and two
independent referees.



We assess all nominations

We assess the nominations against eligibility criteria. The independent Science Prize Committee (the Committee) assesses eligible nominations against the assessment criteria for each prize and compares them with other eligible nominations.



Eligible and competitive nominations are shortlisted and invited to Stage 2

If the nomination has been shortlisted, we will invite the nominator and the two supporters from the original nomination to prepare a more detailed nomination addressing the assessment criteria.



Stage 2 nominations are sent to referees

We provide the Stage 2 nominator and supporter statements to the independent referees (two provided by the nominator and one identified by the Committee) with relevant expertise to seek their professional opinions on the claims made in the nominations.



Stage 2 nominations are assessed and prize recipients are recommended

The Committee assesses Stage 2 nominations and recommends prize recipients to the decision maker.



Final decisions are made

The decision maker decides which nominations are successful.



We notify nominators and prize recipients of the outcome

The nominator will be advised of the outcome of the nomination. Prize recipients will be notified under embargo, in writing and invited to receive their award at the Prime Minister's Prizes for Science presentation dinner event.

1.1. Introduction

These guidelines contain information for The 2026 Prime Minister's Prizes for Science: Science Prizes.

This document sets out:

- the purpose of the grant opportunity
- the eligibility and assessment criteria
- how we consider and assess nominations
- how we may notify nominees
- responsibilities and expectations in relation to the opportunity.

This grant opportunity and process will be administered by the Department of Industry, Science and Resources (the department/DISR).

We have defined key terms used in these guidelines in the glossary.

The nominator should read this document carefully before completing a nomination.

We will publish the opening and closing dates and any other relevant information on business.gov.au and GrantConnect.

2. About the grant program

The Inspiring Australia – Science Engagement Program (the program) provides funding for a range of initiatives delivered under the Inspiring Australia banner.

The program contributes to the Government's vision for an Australian society engaged in and enriched by science. Through this program and other science engagement activities, the Government aims are:

- increasing Australian community engagement with the sciences, through activities and events
- increasing positive public sentiment and awareness of the contribution and achievements of Australian scientists and innovators
- improving communication between Australian scientists, the general public, businesses and government
- enhancing focus on building skills and capability in science, technology, engineering and mathematics (STEM) and digital literacy, in Australian schools and communities
- increasing engagement and participation of a diverse range of Australians, especially those historically under-represented in STEM.

We administer the program according to the <u>Commonwealth Grants Rules and Principles</u> (CGRPs)¹.

2.1. About the Prime Minister's Prizes for Science

The Prime Minister's Prizes for Science are an ongoing element of the Inspiring Australia – Science Engagement Program. The Prime Minister's Prizes for Science will award up to \$1.4 million each year for achievements across 3 prize categories:

- the Science Prizes
- the Science Teaching Prizes

¹ https://www.finance.gov.au/government/commonwealth-grants/commonwealth-grants-rules-and-principles-2024

the Aboriginal and Torres Strait Islander Knowledge Systems Prize.

The Prime Minister's Prizes for Science are Australia's most prestigious and highly regarded awards for achievements in scientific research and research-based innovation, the practice of Aboriginal and Torres Strait Islander knowledge systems and excellence in science teaching.

We encourage nominations of candidates from diverse backgrounds as described in the glossary.

2.2. About the Science Prizes

These guidelines contain information for the five Science Prizes:

- Prime Minister's Prize for Science
- Prime Minister's Prize for Innovation
- Malcolm McIntosh Prize for Physical Scientist of the Year
- Frank Fenner Prize for Life Scientist of the Year
- Prize for New Innovators.

Nominations for each prize are submitted in a two-stage process:

Stage 1: the nominator completes an online nomination form addressing all eligibility and assessment criteria, and includes the contact details of two supporters and two independent referees.

Stage 2: where a nominee has been shortlisted, we invite the nominator and the two supporters to prepare a more detailed nomination.

2.2.1. Prime Minister's Prize for Science

We award the Prime Minister's Prize for Science for a significant advancement of knowledge through science. It is awarded to one individual or one team of up to four individuals if the achievement is the result of a collaborative team effort.

2.2.2. Prime Minister's Prize for Innovation

We award the Prime Minister's Prize for Innovation for the innovative translation of scientific knowledge into a commercially available product, service or process that has demonstrated substantial economic, social and, where relevant, environmental benefits. It is awarded to one individual or one team of up to four individuals if the achievement is the result of a collaborative team effort.

2.2.3. Malcolm McIntosh Prize for Physical Scientist of the Year and Frank Fenner Prize for Life Scientist of the Year

The Malcolm McIntosh Prize for Physical Scientist of the Year and Frank Fenner Prize for Life Scientist of the Year each recognise an exceptional achievement in science that benefits, or has the potential to benefit, human welfare or society by early to mid-career scientists.

We award each prize to one individual who has realised the nominated research achievement and been nominated within 10 years, full-time or full-time equivalent (FTE), of completing their highest relevant tertiary qualification.

2.2.4. Prize for New Innovators

The Prize for New Innovators recognises an individual's early achievement towards commercialising or translating their scientific research with economic, social and, where relevant, environmental benefits.

We award the prize to an individual who has been nominated within 10 years, full time or FTE, of completing their highest relevant tertiary qualification.

2.3. Fields of science covered by the Science Prizes

The Science Prizes are awarded for achievement in any of the following fields, as defined in the Australian and New Zealand Standard Research Classifications 2020:

Division 30	Agricultural, Veterinary and Food Sciences
Division 31	Biological Sciences
Division 32	Biomedical and Clinical Sciences
Division 34	Chemical Sciences
Division 37	Earth Sciences
Division 40	Engineering
Division 41	Environmental Sciences
Division 42	Health Sciences
Division 45	Indigenous studies, Group 4506 Aboriginal and Torres Strait Islander sciences
Division 45	Indigenous studies, Group 4517 Pacific Peoples sciences
Division 46	Information and Computing Sciences
Division 49	Mathematical Sciences
Division 51	Physical Sciences.

3. Prize amount

The Science Prizes grant opportunity will award up to \$650,000 in prize money as described below:

Science Prizes

- Prime Minister's Prize for Science (\$250,000)
- Prime Minister's Prize for Innovation (\$250,000)
- Malcolm McIntosh Prize for Physical Scientist of the Year (\$50,000)
- Frank Fenner Prize for Life Scientist of the Year (\$50,000)
- Prize for New Innovators (\$50,000).

Each prize recipient receives a medallion, lapel pin, award certificate and prize money. Where we award the prize to a team, each member will receive a medallion, lapel pin, award certificate and an equal portion of the prize money.

4. Eligibility criteria

4.1. Who is eligible?

To be eligible for a Science Prize, nominees must:

- be an Australian citizen or permanent resident of Australia (nominees currently living or studying overseas are not precluded from being nominated)
- not be self-nominated
- not be nominated in more than one prize category. Where a nominee is nominated in more than one category, we will ask the nominee to select a single nomination to pursue. This will occur at Stage 1.

Previous nominees are eligible for renomination. A new nomination must be submitted by a nominator via the online nomination form. The same or different individuals from the previous nomination may nominate and support the nominee where appropriate.

Past recipients of the Australia Prize (awarded from 1990-1999) are eligible for nomination.

Nominees do not need to be a member of a professional association or have received any prior award to be eligible.

We cannot consider nominations that do not satisfy all eligibility criteria.

Stage 2 nominees will be required to sign a declaration that they have not taken, and will not take, actions that bring the Prime Minister's Prizes for Science, the department, or the Australian Government into disrepute. See Section 6.3.2.

Additional eligibility criteria for each prize are listed below.

4.2. Who is not eligible?

Past recipients of the Prime Minister's Prize for Science or the Prime Minister's Prize for Innovation are not eligible to be considered for another award.

Past recipients of any other of the Science Prizes may only be nominated for the Prime Minister's Prize for Science or the Prime Minister's Prize for Innovation.

4.3. Additional eligibility requirements

4.3.1. Prime Minister's Prize for Science and Prime Minister's Prize for Innovation

To be eligible for the Prime Minister's Prize for Science or the Prime Minister's Prize for Innovation, a nominee must also:

- be an individual or a member of a team with up to four individuals
- hold an active position in research, academia or the private sector.

At least one of the independent referees selected must be based overseas.

Where a team is nominated, all individuals must satisfy all eligibility criteria and demonstrate a collaboration for the nomination to be eligible. We cannot award the prize to a group of individuals who have produced recognised research or research impacts in the same field, but who did not work collaboratively to achieve them.

4.3.2. Malcolm McIntosh Prize for Physical Scientist of the Year and Frank Fenner Prize for Life Scientist of the Year

To be eligible for the Malcolm McIntosh Prize or the Frank Fenner Prize, a nominee must also:

- be an individual
- have realised their nominated research achievements and be nominated within 10 years (full-time or FTE) of completing their highest relevant tertiary qualification (see <u>section 4.3.4</u> for information on career interruptions)
- have completed at least four years (full-time or FTE) of relevant research in Australia.

The research achievement can include work undertaken as part of the nominee's relevant academic studies.

4.3.3. Prize for New Innovators

To be eligible for the Prize for New Innovators, a nominee must also:

- be an individual
- have made progress towards or fully realised commercialising or translating their scientific research and be nominated within 10 years (full-time or FTE) of completing their highest relevant tertiary qualification (see <u>section 4.3.4</u> for information on career interruptions)
- have completed at least two years (full-time or FTE) of relevant research in Australia.

The early achievement towards commercialisation or translation, including the underlying research, can include work undertaken as part of the nominee's relevant academic studies.

4.3.4. Career Interruptions: Malcolm McIntosh Prize, Frank Fenner Prize and Prize for New Innovators

A nominee may have had career interruption/s (see <u>glossary</u>) since completing their highest relevant tertiary qualification. In these situations, the 10 year (full-time or FTE) requirement is extended by the length of the career interruption/s. For example, a nominee experiencing career interruptions totalling two years will be eligible to be nominated within 12 years since completing their highest relevant tertiary qualification.

4.4. Who is eligible to be a nominator?

The nominator is the person nominating a nominee.

To be eligible to submit a nomination for a Science Prize, a nominator must:

- not be a close family member of the nominee (see glossary)
- be personally knowledgeable of the nominated achievement and be able to offer informed opinions about its significance
- hold professional qualifications in the same, or a closely related field as the nominee
- ensure the nominee is eligible and willing to be nominated and provide evidence necessary to support the nomination.

We encourage nominators to work with support mechanisms available within their own organisations, such as research offices, to assist with developing high quality and competitive nominations.

A person can be a nominator for more than one nomination.

A nominator cannot be a supporter or an independent referee for the same nomination and a nominee cannot support their own nomination.

4.5. Who is eligible to be a supporter?

A supporter is an individual identified by the nominator as someone who will support the nomination. A supporter cannot be a nominator or an independent referee for the same nomination. The nominator will need to provide the contact details of two supporters at Stage 1.

For the Prime Minister's Prize for Science and the Prime Minister's Prize for Innovation both supporters must work for a different organisation to the nominator and the nominee. For the other prizes, at least one supporter must be working for a different organisation to the nominator and the nominee.

A supporter must:

- not be a close family member of the nominee (see glossary)
- be personally knowledgeable of the nominated achievement and be able to offer informed opinions about its significance

- not have a role in the nominated project
- provide a written statement (up to 6,000 characters, including spaces and special characters) that independently addresses each assessment criterion. A template will be provided on business.gov.au when Stage 2 nominations open.

Should the nominee be successful in progressing to Stage 2, only in exceptional circumstances (see <u>glossary</u>) will the Program Delegate agree to a nominator's request to change a supporter at Stage 2.

4.6. Who is eligible to be an independent referee?

An independent referee is an individual identified by the nominator. The nominator will need to provide the contact details of two independent referees at Stage 1. The nominator must contact independent referees to gain their commitment to provide a referee report before submitting their details at Stage 1. Only in exceptional circumstances (see glossary) will the Program Delegate agree to a nominator's request to change an independent referee at Stage 2.

As <u>per 4.3.1.</u>, for the Prime Minister's Prize for Science and Prime Minister's Prize for Innovation, at least one of the independent referees identified must be based overseas.

The independent referee's role is to review the statement of claims against the assessment criteria provided by the nominator and supporters when contacted by the department.

To be eligible to be an independent referee, the person must:

- not be a nominator or supporter for the same nomination.
- not be related to the nominee or have a professional relationship that would cause a conflict of interest that could unnecessarily bias the independence of the referee
- provide a referee report in writing (up to 2 pages). A referee report template and further instructions will be provided on <u>business.gov.au</u> when Stage 2 nominations open.

The Committee may also identify additional independent referees from whom to seek additional information to verify the nomination.

5. The assessment criteria

The nominator must address all assessment criteria in the nomination form. All assessment criteria are of equal weighting. The nomination form asks questions that relate to the assessment criteria below.

In Stage 1, the nominator will need to address the assessment criteria relevant to the prize they have selected for their nominee.

- Nominations require a written statement with a strict limit of 3,000 characters total (1,500 characters per criterion), including spaces and special characters
- Nominations must score a minimum of 50 per cent against each of the assessment criteria.
 Only the highest-ranking nominations will be invited to proceed to Stage 2.

In Stage 2, more detailed responses against the assessment criteria are required. The nominator is required to:

respond to each of the relevant assessment criteria with a strict limit of 9,000 characters total (4,500 characters per criterion), including spaces and special characters

 provide two supporter statements that each independently address the assessment criteria with a strict limit of 6,000 characters total including spaces and special characters, using the template provided on <u>business.gov.au</u>.

We will assess responses to the assessment criteria at both stages of the nomination process. Each criterion is worth 10 points. The Committee will assess eligible nominations against the assessment criteria for each Science Prize and compare them to other eligible nominations for that prize.

Additional advice for responding to assessment criteria can be found on <u>business.gov.au</u> and <u>GrantConnect</u>.

5.1. Prime Minister's Prize for Science assessment criteria

5.1.1. Assessment criterion 1

Demonstrated original and in-depth research effort (10 points)

Demonstrate this by identifying the originality and depth of the research effort involved in the nominated achievement.

For team nominations also describe:

- each member's contribution
- the benefits of the collaboration
- why the prize should be jointly awarded.

5.1.2. Assessment criterion 2

Demonstrated impact (10 points)

Demonstrate this by identifying the achievement's impact on its field of science and, where appropriate, more broadly.

5.2. Prime Minister's Prize for Innovation assessment criteria

5.2.1. Assessment criterion 1

Demonstrated commercialisation of scientific knowledge (10 points)

Demonstrate this by identifying the key research and development efforts involved in innovatively translating scientific knowledge into a commercially available product, process or service.

Include details of the underlying research and the innovation pathway leading to successful commercialisation, including:

- the roles and contributions of those involved
- research outcomes
- capital investments and grant funding
- Intellectual Property protections granted
- relevant regulatory approvals
- commercial arrangements, such as licencing.

For team nominations also describe:

- each member's contribution
- the benefits of the collaboration
- why the prize should be jointly awarded.

5.2.2. Assessment criterion 2

Demonstrated value and benefit to Australia (10 points)

Demonstrate this by detailing the direct and indirect economic, social and, where relevant, environmental benefits of the innovation to Australia, such as:

- employment outcomes for Australia
- the value of sales, tax revenues and other financial returns
- royalty streams to the host research organisation
- new partnerships and synergies
- the value of its public good
- any environmental benefits.

Include details of other benefits of the innovation process, such as:

- pathways for further research and development
- PhD student involvement in the research programs
- any unexpected outcomes.

5.3. Malcolm McIntosh Prize for Physical Scientist of the Year and Frank Fenner Prize for Life Scientist of the Year assessment criteria

5.3.1. Assessment criterion 1

Nature of the research achievement (10 points)

Demonstrate this by identifying the originality and impact of the achievement within its field of science.

5.3.2. Assessment criterion 2

Benefit, or potential for benefit, to human welfare or society (10 points)

Demonstrate this by identifying the application of the research achievement, particularly to Australia.

5.4. Prize for New Innovators assessment criteria

5.4.1. Assessment criterion 1

Nature of the innovation achievement (10 points)

Demonstrate this by identifying the key research and development and innovation efforts involved in the partial or full commercialisation or translation of the scientific research.

Include details of the underlying research and the innovation pathway, including:

- the role of the nominee in the process
- the originality and impact of the achievement within its field of science
- any early studies or assessments of commercial viability or readiness
- any capital investments or grant funding granted or applied for
- any Intellectual Property protections (such as patents) granted or applied for
- any other relevant regulatory approvals granted or applied for
- any commercial arrangements, such as licencing.

We will consider the innovation, underlying research and trial pathway equally important.

5.4.2. Assessment criterion 2

Value and benefit to Australia (10 points)

Demonstrate this by detailing the potential or current direct and indirect economic, social and, where relevant, environmental benefits of the innovation to Australia, such as:

- capital investment and employment outcomes for Australia
- forecast financial returns
- royalty streams to the host research organisation
- new partnerships and synergies
- the value of its public good
- any environmental benefits.

6. How to nominate

Nominators should read and understand these guidelines before making a nomination. Nominators should read all eligibility and assessment criteria closely and attach detailed evidence that supports the assessment criteria.

Nominations follow a two-stage process. Nominators can only submit a nomination while nominations are open.

Nominators will need to set up an account to access the online portal.

Nominators must ensure the nominee:

- is willing to be nominated
- will provide the evidence necessary to support the nomination; and
- understands the obligations that come with the prize.

The nominator is responsible for making sure the nomination is complete and accurate. Giving false or misleading information is a serious offence under the <u>Criminal Code Act 1995</u>. If we consider that the nominator has provided false or misleading information, we may not progress the nomination. If the nominator finds an error in the nomination after submitting it, the nominator should call us immediately on 13 28 46.

After submitting the nomination, we may contact the nominator for clarification if we find an error or any missing information, including evidence that supports eligibility/merit. The acceptance of any additional information provided after the submission of the nomination is at the discretion of the Program Delegate. Additional information should not materially change the nomination at the time it was submitted and therefore may be refused if deemed to be purely supplementary.

Nominators can view and print a copy of the submitted nomination on the portal for their own records. Nominators should keep a copy of the nomination and any supporting documents.

If further guidance around the nomination process is required, or if there are any issues with the portal, <u>contact us</u> at business.gov.au or by calling 13 28 46.

6.1. Submitting a Stage 1 nomination

For a Stage 1 nomination, nominators must:

- complete the online Stage 1 nomination form through the online portal
- address all eligibility and assessment criteria
- provide all the information requested including:

- the contact details of two supporters
- the contact details of two independent referees. The nominator must contact independent referees to gain their commitment to provide a referee report on the template provided by the department before submitting the Stage 1 nomination. One independent referee must be based overseas for Prime Minister's Prize for Science and Prime Minister's Prize for Innovation
- a detailed justification for consideration by the Program Delegate, if a 'Request Not to Assess' is submitted as part of the nomination
- all necessary attachments, as outlined in <u>section 6.3.1</u>.

6.2. Submitting a Stage 2 nomination

If the nomination is shortlisted at Stage 1, nominators will be invited to submit an online nomination form for Stage 2. For a Stage 2 nomination, nominators must:

- complete the online Stage 2 nomination through the online portal
- address all eligibility and assessment criteria
- provide all the information requested
- provide all necessary attachments, as outlined in <u>section 6.3.2</u>.

6.3. Attachments to the nomination

The nominator must attach supporting documentation to the nomination form in line with the instructions provided within the form. The nominator should only attach requested documents. Individual file sizes cannot be greater than 25MB, while the total of all attachments cannot exceed 50MB.

We will not consider information in attachments that we do not request or that exceed character limits.

When submitting PDFs they must be OCR compatible, as outlined in the glossary.

6.3.1. Stage 1 attachments

At Stage 1, we will request the following documents with the nomination:

 a current two-page CV for each nominee, which must use the template provided on business.gov.au.

The CV should include reference to up to four patents and/or up to four key articles or peer reviewed publications that are directly relevant to the nomination. Where the nominee is a joint author, the citation should note the nominee's contribution. If the nomination is for a team, at least two of the team members, including the team leader, must be joint authors of at least three of the publications.

6.3.2. Stage 2 attachments

At Stage 2, we will request the following information with the nomination:

- certified evidence of each nominee's Australian citizenship or permanent resident status
- a completed declaration form from each nominee stating that they have not taken, and will not
 take, actions that bring the Prime Minister's Prizes for Science, the department, or the
 Australian Government into disrepute. A nominee declaration template will be provided on
 business.gov.au when Stage 2 nominations open. Further information is provided regarding
 obligations of the prize recipient (see section 8.3)

- two supporter statements (see <u>section 4</u>) that independently address the assessment criteria (up to 6,000 characters including spaces and special characters). A template is provided on business.gov.au when Stage 2 nominations open
- links to up to four patents and/or up to four key articles or peer reviewed publications that were listed in the nominee's CV at Stage 1. If not freely available online, the patent/publication must be uploaded as an attachment
- a list of citations to any additional published articles and/or books not listed in the nominee's CV at Stage 1, that are directly relevant to the nomination. We do not require links or attachments to these additional citations. Where the nominee is a joint author, the citation should note the nominee's contribution. If the nomination is for a team, at least two of the team members, including the team leader, must be joint authors of a least three of the publications
- an official document that shows date of completion for the nominee's relevant qualification (for Frank Fenner, Malcolm McIntosh and Prize for New Innovators only).

For documents requiring certification, refer to the <u>glossary</u> for a list of persons able to certify copies of original documents.

6.4. Timing of grant opportunity processes

The nominator can only submit a nomination between the published opening and closing dates. We cannot accept late nominations.

Table 1: Expected timing for this grant opportunity

Activity	Timeframe
Stage 1 Nominations Open	8 weeks
Assessment of Stage 1 Nominations	10 weeks
Stage 2 Nominations Open	3 weeks
Assessment of Stage 2 Nominations	11 weeks

6.5. Questions during the nomination process

If you have any questions during the nomination period, <u>contact us</u> at business.gov.au or by calling 13 28 46.

7. The selection process

7.1. Assessment of grant nominations

We first review nominations against the eligibility criteria. Only eligible nominations will proceed to the assessment stage.

We refer nominations to the Committee, an independent committee of experts, which may include members of relevant industry associations, industry stakeholders or subject matter experts.

The Committee will perform their duties in accordance with the CGRPs.

The Committee consider the nomination on its merits, based on:

- how well it meets the criteria
- how it compares to other nominations
- consideration of the nominee's achievement in the context of opportunity and experience, including any career interruptions and other relevant circumstances.

The Committee will only assess on the requested information provided in the nomination. Additional information will not be considered.

The Committee may re-assign nominations between the Science Prizes, subject to meeting eligibility criteria and confirmation from the Program Delegate.

The Committee will be gender balanced, consistent with the gender diversity target on Australian Government boards. The Committee must undertake compulsory implicit bias training before reviewing nominations. The Committee may also seek additional advice from independent technical experts.

Due diligence checks may occur at eligibility, merit or any time before decision-making stages. We use this information to verify the information the nominator provides in the nomination and to identify issues and risks. See section 10.3 for information on how we use the information provided to us.

The selection of recipients reflects the nominations received and information available to the department and the Minister for the Australian Government's science portfolio. The Program Delegate may exclude a nomination from consideration based on the information available. The Minister reserves the right to not award a prize or withdraw a prize, if the nominee/recipient's conduct may bring, or has brought, the Prime Minister's Prizes for Science, the department or the Australian Government into disrepute. To monitor ongoing compliance with this, due diligence checks will occur after the decision-making stage.

7.1.1. Stage 1 assessment

In Stage 1, the Committee will assess eligible nominations against the assessment criteria for each prize and compare them to other eligible nominations. Nominations must score a minimum of 50 per cent against each of the assessment criteria and will be competitively ranked. Only the highest-ranking nominations will be invited to Stage 2.

7.1.1.1. Request Not to Assess process

A third independent referee may be selected by the Committee to verify the nomination. You may name up to three persons whom you do not wish to be selected as an independent referee by submitting a 'Request Not to Assess' within your Stage 1 nomination form.

Only one request containing the names of up to three individuals may be submitted per nomination.

A 'Request Not to Assess' must be accompanied by justification for consideration by the Program Delegate. The Program Delegate has discretion about whether a 'Request Not to Assess' is accepted.

After submitting the nomination, we may contact you for clarification or additional information, including additional evidence that supports the request. The acceptance of any additional information provided for the request after the submission of the nomination is at the discretion of the Program Delegate.

The information provided in a 'Request Not to Assess' will be treated as confidential information per section 10.4. If your request is accepted, only the name(s) of the individual(s) identified on your accepted request will be shared with Committee members.

7.1.2. Stage 2 assessment

If shortlisted in Stage 1, we will invite the nominator and two supporters to prepare a more detailed nomination addressing the assessment criteria for Stage 2.

We provide the Stage 2 nominator and supporters' statements to two independent referees with relevant expertise, who have agreed to provide their informed opinions on the claims made in the nomination. We may provide these statements to a third independent referee identified by the Committee, see section 7.1.1.1.

The Committee will assess Stage 2 nominations against the assessment criteria, compare them to other Stage 2 nominations and recommend prize recipients. The Committee will only recommend one individual or one team for each Science Prize category.

For a nominee to be recommended for a Science Prize, the nomination must score highly (50 percent or greater), against all assessment criteria. We will not award a prize if the Committee does not consider any of the nominations sufficiently meritorious.

7.2. Who will approve prize recipients?

The Minister for the Australian Government's science portfolio will make the final decision on the prize recipients, taking into account the recommendations of the Committee and the availability of grant funds.

The Minister's decision is final in all matters, including:

- the approval of prize recipients
- the prize money to be awarded.

We cannot review decisions about the merits of nominations.

8. Notification of prize outcomes

We will advise nominators of the outcome of nominations in writing following the completion of each stage.

8.1. Feedback on the nomination

If the nomination is unsuccessful, we will give the nominator an opportunity to discuss the outcome with us.

8.2. How prizes will be awarded

We will notify the prize recipients in writing and invite them to receive their prizes at the Prime Minister's Prizes for Science presentation dinner event (the Event). This information is under embargo until we publicly announce the recipients of the Prime Minister's Prizes for Science at the Event. This means prize recipients may not make any announcements in advance of the Event.

We deposit the prize money into the recipients' bank accounts after the Event, following receipt of bank account details.

8.3. Obligations of prize recipients

In the lead up to the Event, we will contact prize recipients regarding preparations. Prize recipients must handle this information confidentially, and the Minister may withdraw a prize if the recipient breaches that confidence.

Following the Event, prize recipients may be asked to assist in publicly promoting science, innovation, science teaching and Aboriginal and Torres Strait knowledge systems as important foundations for Australia's continuing economic, social and environmental wellbeing. Prize recipients should be prepared to undertake a small number of public engagements.

The department will work with prize recipients to manage any personal or cultural considerations during Event preparations, as well as during any activities following the Event.

We may invite prize recipients to present at official events or participate in public events such as National Science Week. Subject to the availability of funds, we may contribute to the travel and accommodation costs of attending an event (to the limit of government standard rates), where these are not covered by event organisers. We will consider requests to meet costs on a case-by-case basis, based on the benefits and value-for-money offered by the event. Participation at events will be subject to any public health restrictions in place at the time.

We encourage prize recipients to inform us of any invitations to attend events. We also ask prize recipients to notify us if they attended an event.

Where a prize recipient decides to use their share of the prize money to benefit others (for example, through the creation of a scholarship or enhancement of a science education program), the recipient is requested to notify us of this decision and its outcomes.

Prize recipients must not take any actions that will bring the Prime Minister's Prizes for Science, the department or the Australian Government into disrepute. All nominations that proceed to Stage 2 are required to include a declaration form from the nominee stating, amongst other things, that they have not taken, and will not take, actions that bring the Prime Minister's Prizes for Science, the department or the Australian Government into disrepute. The glossary provides a definition of disrepute.

If any statement made in a nomination, including in the nominee's declaration, is incorrect, incomplete, false or misleading; or the prize recipient has behaved in a manner that has brought, or may bring, disrepute on the Prizes, the department or the Australian Government, the Minister may, in the Minister's absolute discretion, take appropriate action.

Such action may include:

- withdrawing an offer, or revoking a prize
- excluding the nominee or prize recipient from future involvement in the Prizes, including from attendance at the Prime Minister's Prizes for Science presentation dinner event and associated events
- recovery of prize monies already paid
- using the information contained in the nomination for a fraud investigation that would be consistent with the Australian Government's Investigations Standards and Commonwealth Fraud Control Framework.

8.4. Tax obligations

The cash prizes awarded as part of the Prime Minister's Prizes for Science are not assessable as income under either section 6-5 or section 10-5 of the *Income Tax Assessment Act 1997*, or under paragraph 26(e) of the *Income Tax Assessment Act 1936*.

8.5. Use of materials

Material created by the Commonwealth for the Prime Minister's Prizes for Science, such as media statements, interviews, videos and photographs may be used or disclosed by the Commonwealth in the promotion of science, innovation, Aboriginal and Torres Strait Islander knowledge systems or science education programs. The Commonwealth will apply the Protocols for Using First Nations Cultural and Intellectual Property in the Arts in the development, and publication of all materials.

9. Announcement of grants

We will publish non-sensitive details of successful nominations on GrantConnect. We are required to do this by the <u>Commonwealth Grants Rules and Principles</u>, section 5.4. We may also publish this information on business.gov.au.

10. Probity

We will make sure that the grant opportunity process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRPs.

These guidelines may be changed from time-to-time by DISR. When this happens, the revised guidelines will be published on GrantConnect.

10.1. Enquiries and feedback

For further information or clarification, you can contact us on 13 28 46 or by <u>web chat</u> or through the <u>online enquiry form</u> on business.gov.au.

We may publish answers to your questions on our website as Frequently Asked Questions.

Our <u>Customer Service Charter</u> is available at business.gov.au. We use customer satisfaction surveys to improve our business operations and service.

If you have a complaint, call us on 13 28 46. We will refer your complaint to the appropriate manager.

If you are not satisfied with the way we handle your complaint, you can contact:

General Manager
Internal and Design Branch, Business Grants Hub
Department of Industry, Science and Resources
GPO Box 2013
CANBERRA ACT 2601

You can also contact the <u>Commonwealth Ombudsman</u>² with your complaint (call 1300 362 072). There is no fee for making a complaint, and the Ombudsman may conduct an independent investigation.

10.2. Conflicts of interest

Any conflicts of interest could affect the performance of the program. There may be a conflict of interest, or perceived conflict of interest, if our staff, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party which is able to influence the nomination selection process, such as an Australian Government officer or member of an external panel
- has a relationship with, or interest in, a party which could compromise the integrity of the program
- has a relationship with, or interest in, a party from which they could receive personal gain because the party receives a prize under the grant program.

² http://www.ombudsman.gov.au/

As part of the nomination, we will ask you to declare any perceived or existing conflicts of interests or confirm that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent or perceived conflict of interest, you must inform us in writing immediately.

Conflicts of interest for Australian Government staff are handled as set out in the Australian <u>Public Service Code of Conduct (section 13(7))</u> of the <u>Public Service Act 1999</u>. Committee members and other officials including the decision maker must also declare any conflicts of interest.

We publish our <u>conflict of interest policy</u>³ on the department's website. The Commonwealth policy entity also publishes a conflict of interest policy on its website.

10.3. Privacy

Unless the information you provide to us is:

- confidential information as per 10.4, or
- personal information as per below,

we may share the information with other government agencies for a relevant Commonwealth purpose such as:

- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research
- to announce the awarding of grants.

We must treat your personal information according to the <u>Australian Privacy Principles (APPs)</u> and the <u>Privacy Act 1988</u> (Cth). This includes letting you know:

- what personal information we collect
- why we collect your personal information
- to whom we give your personal information.

We may give the personal information we collect from you to our employees and contractors, the Committee, and other Commonwealth employees and contractors, so we can:

- manage the program
- research, assess, monitor and analyse our programs and activities
- identify and manage any financial, legal/regulatory, governance, national interest or national security risks.

We, or the Minister, may:

- announce the names of successful nominees to the public
- publish personal information on the department's websites.

You may read our Privacy Policy on the department's website for more information on:

- what is personal information
- how we collect, use, disclose and store your personal information
- how you can access and correct your personal information.

³ https://www.industry.gov.au/publications/conflict-interest-policy

⁴ https://www.industry.gov.au/data-and-publications/privacy-policy

10.4. Confidential information

Other than information available in the public domain, you agree not to disclose to any person, other than us, any confidential information relating to the nomination and/or the outcome of the nomination, without our prior written approval. Further information on what is confidential and how it should be treated will be included in correspondence to relevant nominees following assessment of their nomination. The obligation will not be breached where you are required by law, Parliament or a stock exchange to disclose the relevant information or where the relevant information is publicly available (other than through breach of a confidentiality or non-disclosure obligation).

We may at any time, require you to arrange for you; or your employees, agents or subcontractors to give a written undertaking relating to nondisclosure of our confidential information in a form we consider acceptable.

We will treat the information you give us as sensitive and therefore confidential if it meets all of the following conditions:

- you clearly identify the information as confidential and explain why we should treat it as confidential
- the information is commercially sensitive
- disclosing the information would cause unreasonable harm to you or someone else
- you provide the information with an understanding that it will stay confidential.

We may disclose confidential information:

- to the Committee and our Commonwealth employees, contractors and service providers, to help us manage the program effectively
- to the Auditor-General, Ombudsman or Privacy Commissioner
- to the Prime Minister, responsible Minister or Assistant Minister
- to a House or a Committee of the Australian Parliament
- to other Commonwealth agencies for risk management purposes.

We may also disclose confidential information if

- we are required or authorised by law to disclose it
- you agree to the information being disclosed, or
- someone other than us has made the confidential information public.

10.5. Freedom of information

All documents in the possession of the Australian Government, including those about the program, are subject to the <u>Freedom of Information Act 1982</u> (Cth) (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

If someone requests a document under the FOI Act, we will release it (though we may need to consult with you and/or other parties first) unless it meets one of the exemptions set out in the FOI Act.

10.6. National security

As part of our due diligence process, we will consider whether the nominee has affiliations or associations with any foreign entities, which may be contrary to Australia's sovereignty, values and national interests. This means any affiliation or association between the nominee and foreign entities must be open and transparent.

Accordingly, any association or affiliation the nominee has with foreign governments, foreign organisations, foreign institutions or companies, or membership of foreign government talent programs, must be disclosed by the nominee in their declaration form.

10.7. Disclosure of Commonwealth, state or territory financial penalties

In their declaration form, nominees must disclose whether they have been subject to any pecuniary penalty, whether civil, criminal or administrative, imposed by a Commonwealth, State or Territory court or a Commonwealth, State or Territory entity. If this is the case, nominees must provide advice to the department regarding the matter for consideration.

11. Glossary

Term	Definition
administering entity	When an entity that is not responsible for the policy, is responsible for the administration of part or all of the grant administration processes.
assessment criteria	The specified principles or standards, against which nominations will be judged. These criteria are also used to assess the merits of proposals and, in the case of a competitive grant opportunity, to determine nomination ranking.

Term	Definition
authorised certifiers	Mandatory Stage 2 attachments requiring certification (section 6.3.2) can be certified (confirmed) as a true copy of the original document by one of the following authorised certifiers including:
	 Australia Post Office Pharmacist Medical Practitioner Dentist Optometrist Judge Justice of the Peace Barrister or Solicitor Police officer Bank, building society or credit union officer with at least five years of service. An approved certifier should never witness documents if: it could create a real or perceived conflict of interest the documents have a connection with matters they
career interruptions	have an actual or perceived personal or financial interest in. What constitutes a career interruption is not limited to a
	fixed set of circumstances and could include: caring and parental responsibilities disaster management and recovery limited or no access to facilities and resources medical conditions/disability/misadventure non-research employment unemployment community obligations, including Aboriginal and/or Torres Strait Islander cultural practices and protocols; or any other circumstances which have resulted in a break in research employment.
close family member	An individual considered part of the immediate family, such as a spouse, parent, child or sibling (including steprelatives).
collaboration	A joint participation on the same research project to which all team members have contributed leading to a specified research or research commercialisation achievement.

Term	Definition
Committee	Science Prizes Committee.
	The body by the same name, including sub-committees, established by the Program Delegate to consider and assess eligible nominations and make recommendations to the Minister for the prizes under the program.
Commonwealth Grants Rules and Principles (CGRPs)	Establish the overarching Commonwealth grants policy framework and articulate the expectations for all non-corporate Commonwealth entities in relation to grants administration. Under this overarching framework, non-corporate Commonwealth entities undertake grants administration based on the mandatory requirements and key principles of grants administration.
date of completion	The date on which the academic requirements for a qualification have been completed and the nominee is eligible for graduation. Date of completion can only occur after all grades in a program of study have been finalised.
decision maker	Minister for the Australian Government's science portfolio.
department	Department of Industry, Science and Resources (DISR).
disrepute	Any conduct, omission, statement or appearance that may:
	 damage the reputation of the Prizes, the department or the Australian Government; or
	 reduce public confidence in the Prizes, the department or the Australian Government.
	Conduct, omissions, statements or appearances that may give rise to disrepute could include:
	 where technology developed by the nominee, or use of that technology, is found to create unacceptable risks that have not been communicated to users of the technology
	conduct that may give rise to criminal or civil liability
	 conduct, omissions, statements or appearances by the nominator or nominee that are dishonest, or could reasonably be perceived as dishonest; or
	 where the nominee reasonably ought to have taken steps to prevent actions or omissions of a person, company or organisation associated with the nominee that might give rise to disrepute.

Term	Definition
diverse backgrounds	These groups may include but are not limited to: Aboriginal and/or Torres Strait Islander peoples culturally and linguistically diverse (CALD) people women and gender diverse people LGBTQIA+ people people living in regional and remote areas people living with disabilities people from low socio-economic backgrounds neurodivergent people people who face age-based discrimination.
eligibility criteria	The mandatory criteria which must be met to qualify for a prize.
eligible nomination	A nomination for a Science Prize that the Program Delegate has determined is eligible for assessment in accordance with these guidelines.
exceptional circumstances	Unexpected, unavoidable circumstances beyond a person's control that will negatively impact their ability to fulfill their commitments, for which there was no opportunity to prepare in advance including: serious illness and/or injury worsening of a chronic condition or disability a death or serious illness/injury of a close family member a severe disruption to domestic arrangements being the victim of a crime unforeseen community service such as jury service, disaster relief, military service. Circumstances unlikely to be considered exceptional include but are not limited to: holidays, weddings or other celebrations house moves or other events that were planned or are reasonably expected minor illnesses paid employment administrative errors.

Term	Definition
grant	For the purposes of the CGRPs, a 'grant' is an arrangement for the provision of financial assistance by the Commonwealth or on behalf of the Commonwealth:
	 a. under which relevant money⁵ or other <u>Consolidated Revenue Fund</u> (CRF) money⁶ is to be paid to a grantee other than the Commonwealth; and b. which is intended to help address one or more of the Australian Government's policy outcomes while assisting the grantee achieve its objectives.
GrantConnect	The Australian Government's whole-of-government grants information system, which centralises the publication and reporting of Commonwealth grants in accordance with the CGRPs.
independent referee	Someone identified by either the nominator or the Science Prizes Committee who will be approached for an independent reference on the achievement/s of the nominee.
	The referee's role is to review the statement of claims against the assessment criteria provided by the nominator and the supporters when contacted by the department.
	An independent referee must not be related to the nominee or have a professional relationship that would cause a conflict of interest that could unnecessarily bias the independence of the referee.
Minister	Minister for the Australian Government's science portfolio.
nomination form	The document issued by the Program Delegate that nominators use to nominate nominees for the Science Prizes under the program.
nominator	The person making a nomination of a nominee.
nominee	The individual or team being nominated for a Science Prize.
OCR (Optical Character Recognition)	Is a technology that recognises text within a digital image. It is commonly used to recognise text in scanned documents and images. This enables your document to be searched and read by assistive technologies.
	A document that has been printed and scanned is generally not OCR compatible.

 $^{^{\}rm 5}$ Relevant money is defined in the PGPA Act. See section 8, Dictionary.

⁶ Other CRF money is defined in the PGPA Act. See section 105, Rules in relation to other CRF money.

Term	Definition
personal information	As defined in the <i>Privacy Act 1988</i> (Cth):
	Information or an opinion about an identified individual, or an individual who is reasonably identifiable:
	a. whether the information or opinion is true or not;
	and b. whether the information or opinion is recorded in a material form or not.
Prime Minister's Prizes for Science	Collectively refers to all prizes awarded under this program which include:
	• five prizes for achievements in scientific research and research-based innovation:
	 Prime Minister's Prize for Science
	 Prime Minister's Prize for Innovation
	 Frank Fenner Prize for Life Scientist of the Year
	 Malcolm McIntosh Prize for Physical Scientist of the Year
	Prize for New Innovators;
	 one prize for the practice of Aboriginal and Torres Strait Islander knowledge systems:
	 Aboriginal and Torres Strait Islander Knowledge Systems Prize;
	and two prizes for excellence in science teaching:
	 Prime Minister's Prize for Excellence in Science Teaching in Primary Schools
	 Prime Minister's Prize for Excellence in Science Teaching in Secondary Schools.
prize money	The monetary prize made available by the Commonwealth of Australia to prize recipients under the program.
prize recipient	An individual or a member of a team that has been awarded a Science Prize.
professional qualifications	Qualifications attested by evidence of formal qualifications or professional experience and can include post-academic training or experience required for the right to practise.
Program Delegate	A Manager within the department with responsibility for administering the program.
program funding or program funds	The funding made available by the Commonwealth for the program.

Term	Definition
Request Not to Assess	A nominator has the opportunity to nominate up to three persons whom they do not wish to be selected as an independent referee by completing and submitting a 'Request Not to Assess' in the Stage 1 nomination form. The Program Delegate has discretion about whether a 'Request Not to Assess' is accepted.
supporter	An individual identified by the nominator as someone who will support the nomination. They must be personally knowledgeable of the nominated achievement and be able to offer informed opinions about its significance.
	The supporter's role is to provide supporting views on the nominee's achievements against the assessment criteria.
	A supporter cannot be a close family member of the nominee or have a role in the nominated project.