

Australian Government

Department of Industry, Science and Resources

Department of Infrastructure, Transport, Regional Development, Communications and the Arts

Program Guidelines

Thriving Suburbs Program

| Opening date: | 27 July 2024 |
|--------------------------------|---|
| Closing date and time: | 5.00pm Australian Eastern Standard Time on 2 September 2024 |
| | Please take account of time zone differences when submitting your application. |
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1. Thriving Suburbs Program processes

The Thriving Suburb Program is designed to achieve Australian Government objectives

This funding opportunity is part of the above funding program which contributes to the Department of Infrastructure, Transport, Regional Development, Communications and the Arts' (DITRDCA) Outcome 3: Strengthening the sustainability, capacity and diversity of Australia's regions, including northern Australia, including through facilitating local partnerships between all levels of government and local communities; through investment in infrastructure and measures that stimulate economic growth; and providing funding financial assistance.

Program opens

The Minister for Infrastructure, Transport, Regional Development and Local Government announces the program open and Program Guidelines are published on the DITRDCA website.

You complete and submit an application

You complete an application addressing all the eligibility and assessment criteria in order for your application to be considered.

All funding applications are assessed

Your application is assessed against eligibility criteria by the Department of Industry, Science and Resources (DISR). Eligible applications are assessed by DITRDCA and the multi-party Parliamentary panel against the assessment criteria including an overall consideration of value for money and compared to other eligible applications.

Funding recommendations

DITRDCA provides the decision maker all eligible projects in order of merit and will make recommendations with regard to program funding available.

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Funding decisions are made

The decision maker decides which applications are successful.

You are notified of the outcome

You are advised of the outcome of your application. Unsuccessful project proponents may not be advised until funding agreements have been executed with successful project proponents.

↓ You enter into a funding agreement

As a successful project proponent, you will enter into a funding agreement. The funding agreement will be proportional to the risks involved.

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Delivery of funding

You complete the funding activity as set out in your funding agreement.

Evaluation of the Thriving Suburbs Program

DITRDCA will evaluate the specific funding activity and Thriving Suburbs Program as a whole. The evaluation will be informed by information you provide and from other various sources.

1.1 Introduction

These Program Guidelines (the guidelines) contain information for the Thriving Suburbs Program (the program). The Australian Government has announced a total of \$350 million over 3 years from 2024-25 to drive local economic prosperity by providing access to funding for capital works for infrastructure across urban, suburban and peri-urban communities in Australia.

This document sets out:

- the purpose of the funding program
- the eligibility and assessment criteria
- how funding applications are considered and assessed
- how project proponents are notified of the outcome of their project applications
- the steps for successful applicants to enter funding agreements with the relevant state or territory government agency
- how successful project performance is monitored and evaluated
- the responsibilities and expectations in relation to the funding opportunity.

This funding opportunity will be administered by three separate entities:

- DISR will support program delivery limited to the eligibility process and the notification process
- DITRDCA will assess all eligible applications, together with the multi-party Parliamentary panel (the panel), and recommend projects for funding to the decision maker
- the Australian Government intends for approved applications to be delivered through state and territory governments.

We have defined key terms used in these guidelines in the glossary at section 15.

You should read this document carefully before you fill out an application.

2. About the funding program

The Thriving Suburbs Program will run over 3 years from 2024-25 to 2026-27. The program was announced as part of the May 2023-24 Budget.

The program will deliver place-based benefits by investing in community-focused infrastructure which creates and enhances amenity, liveability and social cohesion throughout urban, suburban and peri-urban communities in Australia (see eligible locations as defined in the <u>mapping tool</u>). The Government is committed to urban renewal and supporting equitable access to amenities to create strong and vibrant communities.

The objectives of the program are:

- constructing or upgrading community infrastructure that fills an identified and immediate gap or unmet need for community infrastructure, particularly for communities that have experienced substantial growth
- contributing to achieving social outcomes and increased community cohesion, liveability and accessibility for urban, suburban and peri-urban growth areas, fringe areas and inner suburbs
- strategic alignment with the Australian Government's <u>National Urban Policy</u> to deliver targeted and place-based investment that promotes sustainable growth and enables communities to thrive.

The intended outcomes of the program are:

 provision of multi-use infrastructure which benefits a broad section of the community by improving access, equity and social inclusion

- to enhance amenity and liveability, particularly in suburban and peri-urban areas (new and outer suburbs) that are experiencing growth
- to contribute to the achievement of Government priorities supporting the resilience, adaptability, accessibility, sustainability and liveability of communities, including in First Nations communities
- delivery of funding where it is needed across a broad geographic spread of urban, suburban and peri-urban areas in Australia
- delivery of diverse project types and a balance of large and small projects
- supporting and encouraging projects from lesser-resourced project proponents and low ratebased councils (see Appendix E).

3. Funding available

The Australian Government has announced a total of \$350 million over 3 years for the program.

- The minimum funding amount is \$500,000.
- The maximum funding amount is \$15 million.

You are required to contribute towards the project. Co-funding requirements are:

| Co- funding group | Project Circumstance | Total Commonwealth Government funding towards eligible project costs |
|-------------------------|--|---|
| Group 1 | Projects run by First Nations Community Controlled Organisations (as defined in Section 15 Glossary) | Up to 90 per cent of eligible project costs |
| Group 2 | Projects run by 'low rate-based' councils determined using the ratio of Financial Assistance Funding to Net Rate Income. These councils are listed in Appendix E. | Up to 70 per cent of eligible project costs |
| Group 3 | All remaining projects. | Up to 50 per cent of eligible project costs |

If you apply under co-funding Group 1 or 2 and you are found ineligible for the selected group, your application will be considered for eligibility under Group 3.

You are responsible for the remaining eligible and ineligible project costs.

Contributions to your project must be cash. In-kind contributions are not allowable as eligible expenditure and will not be considered towards the total of the co-funding contribution.

Other funding can come from any source including state, territory and local government funds.

You cannot use funding from other Commonwealth funds to fund the balance of project expenditure not covered by funding under the program.

4. Eligibility criteria

We cannot consider your application if you do not satisfy all eligibility criteria.

4.1 Who is eligible to apply for funding?

be an incorporated not-for-profit organisation.

A not-for-profit organisation must demonstrate not-for-profit status through one of the following:

- current Australian Charities and Not-for-profits Commission (ACNC) registration; or
- State or territory incorporated association status; or Constitutional documents and/or Articles of Association that demonstrate the not-for-profit character of the organisation.

or

be a local government agency¹ or body

and

- have an Australian Business Number (ABN) or ORIC registration
- deliver the project in an eligible location
- declare the project will be shovel ready at the time of signing a funding agreement
- own the land/infrastructure being upgraded or built upon or have the landowner's permission to use the land/infrastructure.

If you are applying as a Trustee on behalf of a Trust², the Trustee must apply using an eligible entity type as listed above.

Joint applications are acceptable provided you have a lead organisation who is the main driver of the project and is eligible to apply. For further information on joint applications, refer to section 7.2.

4.2 Additional eligibility requirements

We can only accept applications where you provide:

- evidence of both incorporation and not-for-profit status
- evidence to demonstrate eligibility of your entity type
- evidence to support eligibility for Group 1 co-funding where the Commonwealth is funding up to 90 per cent.

You must provide: an Indigenous Corporation Number (ICN) or evidence of ORIC registration, or a declaration that you are a Traditional Owner or that the organisation is at least 51 per cent owned or controlled by Indigenous persons or the Indigenous Enterprise has 50 per cent Indigenous ownership (using the template on business.gov.au)

- evidence of a cash contribution from another source (for example state government), the source must provide you with formal documentation confirming the cash contribution so you can attach it to your application (see section 7.1)
- evidence that you either own the land/infrastructure being built/upgraded upon, or that you
 have the landowner's permission to use the land/infrastructure using the letter template on
 business.gov.au.

We cannot waive the eligibility criteria under any circumstances.

¹ Local Government is an entity established under state or territory local government legislation, for the purposes of governing local areas within state or territory. In the states, they are generally referred to as local councils. For the purposes of this program, unincorporated areas and the ACT Government are considered to be local government entities.

 $^{^{2}}$ Trusts are not legal entities in their own right – to be eligible, only the Trustee for the Trust can apply by providing the signed Trust Deed and any subsequent variations with the application form. Trustees must be an eligible entity type as stated in section 4.1. Both the Trust's and Trustee's details will be collected in the application form.

Evidence to support your eligibility must be attached with your application otherwise it cannot be accepted.

4.3 Who is not eligible to apply for funding?

You are not eligible to apply if you are:

- an organisation, or your project partner is an organisation, included on the <u>National Redress</u> <u>Scheme's website</u> on the list of 'Institutions that have not joined or signified their intent to join the Scheme'
- an employer of 100 or more employees that has <u>not complied</u> with the Workplace Gender Equality Act (2012)
- an individual
- a partnership
- a Regional Development Australia Committee
- an unincorporated association
- any organisation not included in section 4.1
- a trust (however, an incorporated trustee may apply on behalf of a trust)
- a Commonwealth, state or territory government body
- a non-corporate Commonwealth entity
- a non-corporate State or Territory Entity
- a non-corporate State or Territory Statutory Authority
- an international entity
- sole trader
- a for-profit organisation
- university, technical college, school, hospital or aged care
- in an ineligible location as detailed in section 5.2.

5. What the funding can be used for

5.1 Eligible funding activities

To be eligible your project must:

- construct new community infrastructure or expand or upgrade existing infrastructure for wider community benefit
- not be standard capital works of other Commonwealth, state, territory or local government bodies including, but not limited to, roads, housing and essential service provision
- not have commenced construction
- not have received Commonwealth funding to undertake the same funded activities
- have a minimum eligible expenditure of at least \$555,556 (group 1), \$714,286 (group 2) or \$1,000,000 (group 3) depending on co-funding requirements outlines in section 3.

Eligible activities must directly relate to the project and must include at least one of the following:

- constructing new community infrastructure
- expanding or upgrading existing infrastructure for wider community benefit.

The types of projects that would deliver on the objectives and intended outcomes of the program include:

- multi-purpose community hubs, youth centres, community sheds and gardens
- libraries and cultural facilities such as creative centres
- sports and recreational facilities
- town centre revitalisation, bike or walking paths, play spaces and green spaces
- multi-use centres that a large part of the community can use to access a number of different activities or services such as a centre that provides childcare, a pharmacy, library and a shared meeting space.

All activities must be strategically aligned to local place-based priorities and benefit a broad section of the community. This will need to be addressed through the assessment criteria.

We may also approve other activities.

A list of eligible expenditure/funding activities can be found at Appendix A.

5.2 Projects that are out of scope

The program is not intended to fund infrastructure projects that are not widely accessible to the broader local community, or are primarily designed to:

- deliver single-function services to the community that generally fall under the remit of state or territory government, local government or other Commonwealth departments such as standalone health care, aged care, disability, housing, and primary, secondary and tertiary education.
- for solely commercial purposes
- solely for commercial development.

Examples of the types of projects that are out of scope include:

- new or upgraded infrastructure for a hospital or medical centre, an aged care centre, rehabilitation or disability services centre, universities and schools.
- infrastructure for housing or accommodation, building of new roads or transport links
- Infrastructure related to waste, sewage, water, environmental and energy facilities, or telecommunications.

For a list of eligible and ineligible expenditure, refer to appendix A and B, respectively.

Project proponents can submit no more than two applications per ABN. Only the first two applications per ABN submitted in order of time and date will be accepted.

5.3 Eligible locations

Your project must be delivered in an eligible location. All eligible locations must be inside the Greater Capital City Statistical Areas (GCCSAs) as defined by the Australian Bureau of Statistics (ABS).

The department acknowledges the following satellite cities fall within the functional extent of the GCCSAs, meaning the population may regularly socialise, shop or work within the city but live in the small towns, rural areas and Island communities surrounding the city:

- Moreton Bay area of South East Queensland
- Hinterland areas of South East Queensland
- Peel region of Perth

- Adelaide Hills area of South Australia
- Moorabool Shire Council

The department recommends entering the exact project location into the <u>mapping tool</u> to determine project eligibility.

5.3.1 Ineligible locations

Any location outside of the GCCSAs as defined by the ABS is considered an ineligible location.

5.4 Eligible expenditure

You can only spend the funding on eligible expenditure you have incurred on an agreed project as defined in your funding agreement.

- For guidance on eligible expenditure, refer to Appendix C.
- For guidance on ineligible expenditure, refer to Appendix D.

The guidance on eligible and ineligible expenditure may be updated from time to time. If your application is successful the version in place when you submitted your application applies to your project.

Not all expenditure on your project may be eligible for funding. The program delegate (who is a manager within DITRDCA with responsibility for administering the program) makes the final decision on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

To be eligible, expenditure must:

- be a direct cost of the project
- be incurred by you for required project audit activities.

You must incur the project expenditure between the execution of your funding agreement and end date for it to be eligible unless stated otherwise.

You must not commence your project until you have an executed funding agreement with the relevant state or territory government in which your project is located.

6. The assessment criteria

You must address all assessment criteria in your application.

DITRDCA will initially assess and score eligible projects against the three merit criteria detailed at 6.1 to 6.3. DITRDCA will then provide their initial assessment, scores and a total overall score resulting in a rating of highly suitable, suitable or unsuitable, and a summary of all eligible applications to the multi-party Parliamentary panel (the panel). The panel will then consider the information provided by DITRDCA and also assess eligible projects against the three merit criteria.

The application form may ask questions that relate to the assessment criteria below. The amount of detail and supporting evidence in your application should be relative to the project size, complexity and funding amount requested. You should provide evidence to support your answers. The application form displays character limits for each response.

Only applications that score at least 60 per cent against each assessment criteria will be considered for funding.

6.1 Assessment criterion 1

Contribution to social and community inclusion (40 points)

Social and community inclusion may cover improving community connections and providing opportunities for learning and knowledge creation.

You should demonstrate this through identifying:

- a. the extent to which your project meets the needs of the community
- b. the benefits your project will deliver for the community during and beyond the term of the funding in relation to liveability and enhanced amenity
- c. the social benefits your project will deliver for the community during and beyond the term of the funding.

Examples of how your project could deliver social and community benefits may include but is not limited to:

- increasing access to community services and infrastructure
- supporting or protecting local heritage and culture
- increasing community volunteering
- increasing the number or value of jobs, new businesses or the production of goods and services (this includes direct and indirect opportunities created through the project)
- delivering infrastructure where and when it is needed.

6.2 Assessment criterion 2

Alignment with Government strategic priorities (20 points)

You should demonstrate this through identifying:

- a. the extent to which your proposal aligns with social, economic and environmental priorities including alignment with any local, or federal plans or policies
- b. the extent to which your proposal increases the availability of multi-use infrastructure which benefits a broad section of the community by improving access, equity and social inclusion
- c. the extent to which your proposal supports the provision of community infrastructure in areas of population growth
- d. the extent to which the construction phase of your proposal contributes to broader Government priorities such as net zero emissions, employment and/or First Nations priorities
- e. the extent to which your proposal contributes to, or assists to manage, the growth of local economies and enhances amenity and liveability, particularly in suburban and peri-urban areas (new and outer suburbs and areas on the fringe of major cities)
- f. how your proposal has considered environmental impacts and any potential role of environmentally sustainable design, including nature-based solutions and circular economy principles
- g. the extent of community support for the project, including outcomes from any consultation undertaken with the local community, such as First Nations groups and diverse socio-economic and cultural groups.

6.3 Assessment criterion 3

Capacity, capability and resources to deliver and sustain the project (40 points)

You should demonstrate this through identifying:

- a. your track record managing similar projects and access to personnel and/or partners with the right skills and experience
- sound project planning to manage and monitor the project, which addresses scope, implementation methodology, timeframes, budget, community consultation and risk management
- c. how you will operate and maintain the infrastructure and benefits of the project into the future
- d. your readiness to commence the project, including access, noting the project needs to be 'shovel ready' at the time of entering into a funding agreement. You should describe the steps you have taken to get your project investment ready including:
- required regulatory and/or development approvals
- project design and costings
- authority from the land or infrastructure owner to undertake the project at the nominated site(s)
- funding contribution from all sources.

The evidence you provide to support this must include, but is not limited to:

- a clear business case for the proposal, including project plans, budget and relevant approvals, timelines and procurement processes
- a cost benefit analysis commensurate with the size and scale of the project
- a risk management plan, which identifies risks and mitigations.

7. How to apply

Before applying you should read and understand these guidelines and the sample application form found on the DITRDCA and business.gov.au websites.

Project proponents should read all eligibility and assessment criteria closely and attach detailed evidence that supports the assessment criteria.

You will need to set up an account to access our online portal.

Only two applications per ABN are allowed. Only the first two applications per ABN submitted in order of time and date will be accepted.

It is therefore recommended you focus on submitting applications only for those projects most strongly aligned with the program objectives and able to meet the eligibility criteria.

When submitting an application you must:

- provide all the information requested
- address all eligibility and assessment criteria
- include all necessary attachments and information requested.

You are responsible for making sure your application is complete and accurate. Giving false or misleading information is a serious offence under the <u>Criminal Code Act 1995</u>. Your application may not progress if you are considered to have provided false or misleading information. After submission, we will not contact you for clarification on any aspect of your application, including any suspected errors, missing information, or lack of evidence that supports your eligibility/merit.

7.1 Attachments to the application

You must provide the following documents with your application:

- evidence of both incorporation and not-for-profit status
- evidence to demonstrate eligibility of your entity type

- evidence to support eligibility for Group 1 co-funding where the Commonwealth is funding up to 90 per cent as detailed in section 4.2 (if applicable)
- formal documentation providing confirmation of a cash contribution from another source (for example state government).
- evidence that you either own the land/infrastructure being built/upgraded upon, or that you
 have the landowner's permission to use the land/infrastructure using the letter template on
 business.gov.au.
- a business case which must include a detailed project budget that shows all income sources and eligible expenditure items, project plan, project risk assessment plans and cost benefit analysis
- evidence the project is ready to commence including approved development applications, project designs and timelines, noting the project needs to be 'shovel ready' at the time of entering into a funding agreement
- attach detailed evidence that supports assessment criteria responses as detailed in section 6 (where applicable)
- recent costings/quotes for major costs as part of your application
- accountant declaration (published online)
- evidence of funding strategy e.g. financial statements, loan agreements, cash flow documents
- a letter of support from each project partner.

You must attach supporting documentation to the application form in line with the instructions provided within the form. You should only attach requested documents. Individual file sizes cannot be greater than 2MB, while the total of all attachments cannot exceed 20MB. We will not consider information in attachments that we do not request.

We will not accept links to supporting documents held in cloud storage applications such as Dropbox or WeTransfer or on applicant private servers. We are not responsible if links to documents on public websites have been provided incorrectly, are broken or do not open. We will not contact you if documents supplied in these ways cannot be accessed during the assessment.

7.2 Joint (consortia) applications

We recognise some organisations may want to join together as a group to deliver a project. In these circumstances you must appoint a lead organisation. Only the lead organisation can submit the application form and enter into the funding agreement. The application must identify all other members of the proposed group and include a letter of support from each of the project partners. Each letter of support should include:

- details of the project partner
- an overview of how the project partner will work with the lead organisation and any other project partners in the group to successfully complete the project
- an outline of the relevant experience and/or expertise the project partner will bring to the group
- the roles/responsibilities the project partner will undertake, and the resources it will contribute (if any)
- details of a nominated management level contact officer.

You must have a formal arrangement in place with all parties prior to execution of your funding agreement.

7.3 Timing of funding program processes

You can only submit an application between the published program opening and closing dates.

If you are successful, you must commence your project as soon as a funding agreement is executed.

8. The funding selection process

8.1 Assessment of funding applications

DISR first review your application against the eligibility criteria.

If your application meets eligibility criteria, your application will be assessed against the assessment criteria. Only eligible applications will proceed to the assessment stage. Any ineligible proposals will not proceed and are excluded from funding consideration.

DITRDCA will undertake further review of eligible funding activities and eligible expenditure at merit assessment and funding stages. The Program Delegate may exclude ineligible applications at any stage of the assessment and funding process.

You will need to submit the documentation outlined in section 7.1 to support your eligibility responses. Noting that if you are subsequently assessed as ineligible during the application process your application will not be further assessed and you will be advised of your ineligibility.

You will be notified of the outcome of the eligibility process.

The application form asks questions that relate to the assessment criteria below. The amount of detail and supporting evidence you provide in your application should be relative to the project size, complexity and funding amount requested. You should provide evidence to support your answers. The application form displays character limits for each response.

Projects will be initially assessed against all three criteria by DITRDCA who will summarise all eligible applications for assessment by the multi-party Parliamentary panel (the panel). The panel will apply an expert lens to undertake a clear and transparent assessment of all eligible full applications and will have expertise to enable them to make assessments based on a thorough understanding of urban, suburban and peri-urban needs, priorities and aligned projects.

DITRDCA will initially assess and score eligible projects against the three merit criteria detailed at 6.1 to 6.3. DITRDCA will then provide their initial assessment, scores and a total overall score resulting in a rating of highly suitable, suitable or unsuitable, and a summary of all eligible applications to the panel. The panel will consider the information provided by DITRDCA and also assess eligible projects against the three merit criteria.

An external probity advisor will be appointed by DITRDCA to provide probity advice, briefings and support to the panel.

The panel will consist of six members, including the Chair of the panel, who will be responsible for chairing panel meetings, leading the moderation of assessments and ensuring the operation of the panel support the established standards of probity, including declarations of conflicts of interest. Panel members will participate in probity briefings and be required to declare any conflicts of interests.

Prior to the briefings and where applicable, DITRDCA will provide the panel with a background reading pack, probity plans and documents and an assessment pack, including the assessment framework and scoring sheet.

All panel members must sign and return the DITRDCA Conflict of interest declaration form, provided by the external probity advisor at the initial probity briefing, and read all relevant prereading prior to the assessment of the full applications.

Panel members will not be provided applications for proposed projects within their own electorates. The panel Chair will have a casting vote on any matters where the panel members are equally divided. Following review of applications, panel members will convene and deliberate their recommendations to reach consensus before providing their recommendations to DITRDCA.

The panel will assess applications against all merit criteria and score your application against the below criteria.

Your application will be considered on its merits, based on:

- how well it meets the criteria
- how it compares to other applications
- whether it provides value with relevant money.

When assessing the extent to which the application represents value with relevant money, the following will be considered:

- the overall objectives of the funding program
- the evidence provided to demonstrate how your project contributes to meeting those objectives
- the relative value of the funding sought
- extent to which the evidence in the application demonstrates that it will contribute to meeting the outcomes/objectives of the Thriving Suburbs Program
- risks, financial, fraud and other, that the project proponent or project poses for DITRDCA
- risks that the project proponent or project poses for the Commonwealth.

As part of the briefing to accompany the assessment pack provided to the decision-maker, DITRDCA will provide the projects in order of merit and make recommendations with regard to total program funding available.

8.2 Who will approve funding?

The Minister for Infrastructure, Transport, Regional Development and Local Government (the decision maker) decides which projects to approve taking into account the results of the application merit assessment, DITRDCA's recommendations, and the availability of funds.

The Minister's decision is final in all matters, including:

- the funding approval
- the funding to be awarded
- any conditions attached to the offer of funding.

The Minister will not approve funding if there are insufficient program funds available across relevant financial years for the program.

9. Notification of application outcomes

You will be advised of the outcome of your application in writing. If you are successful, you will be advised of any specific conditions attached to the funding.

9.1 Feedback on your application

If you are unsuccessful, you will have an opportunity to discuss the outcome of your application with DITRDCA.

10. Successful funding applications

10.1 The funding agreement

It is intended that applicants will enter into a legally binding funding agreement with the relevant state or territory government agency responsible for administering the program funding in your area. The Australian Government and the relevant state or territory government agency are not responsible for any expenditure you incur, and cannot make any payments, until a funding agreement is executed. The approval of your funding may have specific conditions determined by the assessment process or other considerations made by the Program Delegate or Minister. We will identify these in our communication to you. If you do not enter into the funding agreement within two months of relevant correspondence, the Australian Government may withdraw its support for your project. Under certain circumstances, we may extend this period. The funding agreement will set out the relevant project milestones, compliance requirements and reporting responsibilities, as well as relevant contact information for the period of the funding agreement.

If you enter into an agreement under the Thriving Suburbs Program, you cannot receive other funding for the same activities from other Commonwealth funding programs.

10.2 Specific legislation, policies and industry standards

It is a condition of the funding that you comply with all relevant laws, regulations and Australian Government sanctions in undertaking your project. You must also comply with the specific legislation/policies/industry standards in your state or territory for the expenditure of public money and the procurement of good and services. It is anticipated that compliance will be managed through a funding agreement with your relevant state and territory government agency. In particular, you will be required to comply with State/Territory legislation in relation to working with children.

10.3 How we pay the funding

The funding agreement will set out the funding arrangements and the maximum amount payable for your project. The Australian Government will not exceed the maximum funding amount. If you incur extra costs, you must meet them yourself. You will be asked to report on:

- the proportion of eligible expenditure covered by the funding agreement (funding percentage)
- any financial contribution provided by you or a third party.

Payment will be subject to satisfactory progress on the project, based on the achievement of set milestones as detailed in the funding agreement Conditional to your funding, you will be asked to provide a satisfactory end of project report demonstrating you have completed outstanding obligations for the project.

10.4 Funding Payments and GST

If you are registered for the Goods and Services Tax (GST), where applicable GST will be added to your funding payment. GST does not apply to funding payments to government related entities3. Funding is assessable income for taxation purposes, unless exempted by a taxation law. We

³ See Australian Taxation Office ruling GSTR 2012/2 available at ato.gov.au

recommend you seek independent professional advice on your taxation obligations or seek assistance from the Australian Taxation Office. We do not provide advice on tax.

11. Announcement of funding

Information about our funding commitment to your project may be provided through public media events. This information may include:

- the name of your organisation
- the title of the project
- a description of the project and its aims
- the amount of funding awarded
- your Australian Business Number
- your business location
- your organisation's industry sector.

12. Reporting requirements

Reporting requirements will be detailed in your funding agreement.

13. Acknowledgement

If you make a public statement about a project funded under the program, including in a brochure or publication, you must acknowledge the funding by using the following:

'This project received funding from the Australian Government.'

If you erect signage in relation to the project, the signage must contain an acknowledgement of the funding and adhere to the Australian Government's Building Australia Signage Guidelines.

14. Probity

DITRDCA will make sure that the funding program process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct.

An external probity advisor will be appointed by DITRDCA to provide probity advice, briefings and support to the panel. Panel members will participate in probity briefings and be required to declare any conflicts of interest. The panel will be provided with a background reading pack including probity plans and any required templates.

All panel members must sign and return the DITRDCA Conflict of Interest Declaration form, provided by the external probity advisor at the initial probity briefing, and read all relevant prereading prior to the review of DITRDCA's merit assessment.

These guidelines may be changed from time-to-time. When this happens, the revised Program Guidelines will be published online.

14.1 Enquiries and feedback

For further information or clarification on the application process, you can contact DISR on 13 28 46 or by <u>web chat</u> or through our <u>online enquiry form</u> on business.gov.au.

DISR's <u>Customer Service Charter</u> is available at business.gov.au. We use customer satisfaction surveys to improve our business operations and service.

For further information and clarification on application assessments, application outcomes and timing, contact DITRDCA via the Thriving Suburbs Program inbox at ThrivingSuburbs@infrastructure.gov.au.

DITRDCA may publish answers to your questions on our website as Frequently Asked Questions.

If you have a complaint, contact DITRDCA at ThrivingSuburbs@infrastructure.gov.au. We will refer your complaint to the appropriate manager.

If you are not satisfied with the way we handle your complaint, you can contact:

Assistant Secretary

Regional Programs Branch Department of Infrastructure, Transport, Regional Development, Communications and the Arts GPO Box 594 CANBERRA ACT 2601

You can also contact the <u>Commonwealth Ombudsman</u> with your complaint (call 1300 362 072). There is no fee for making a complaint, and the Ombudsman may conduct an independent investigation.

14.2 Conflicts of interest

Any conflicts of interest could affect the performance of the funding program. There may be a conflict of interest, or perceived conflict of interest if any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian Government or jurisdictional officer or member of an external panel
- has a relationship with or interest in, an organisation, which is likely to interfere with or restrict the project proponents from carrying out the proposed activities fairly and independently
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives funding under the funding program/funding opportunity.

As part of your application, we will ask you to declare any perceived or existing conflicts of interests or confirm that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform us in writing immediately.

Conflicts of interest for Australian Government staff are handled as set out in the Australian <u>Public</u> <u>Service Code of Conduct (Section 13(7))</u> of the <u>Public Service Act 1999</u>. Conflicts of interest for state and territory government staff are set out in relevant jurisdictional policies. Committee members and other officials including the decision maker must also declare any conflicts of interest.

Information regarding conflicts of interest for DISR is found through the <u>conflict of interest policy</u>⁴ on DISR's website. The Commonwealth policy entity also publishes a conflict of interest policy on its website.

⁴ <u>https://www.industry.gov.au/sites/default/files/July%202018/document/pdf/conflict-of-interest-and-insider-trading-policy.pdf?acsf_files_redirect</u>

14.3 Privacy

Unless the information you provide is:

- confidential information as per below, or
- personal information as per below.

The information may be shared with other government agencies, including state and territory government agencies, for a relevant Commonwealth purpose such as:

- to administer funding through the relevant jurisdiction in which your approved project is situated
- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research
- to announce the awarding of funding.

Your personal information is handled in accordance with the Australian Privacy Principles (APPs) and the *Privacy Act 1988* (Cth). This includes letting you know:

- what personal information we collect
- why we collect your personal information
- to whom we give your personal information.

Collected personal information from you may be given to employees and contractors of the panel, Commonwealth and state and territory jurisdictional employees and contractors, so we can:

- manage the program, including administer funding to you
- research, assess, monitor and analyse our programs and activities.

The Minister, may:

- announce the names of successful projects to the public
- publish personal information on the DITRDCA and state and territory government websites.

You may read the DISR <u>Privacy Policy</u>⁵ for more information on:

- what is personal information
- how we collect, use, disclose and store your personal information
- how you can access and correct your personal information.

14.4 Confidential information

Other than information available in the public domain, you agree not to disclose to any person, other than DITRDCA, DISR or your funding delivery entity, any confidential information relating to the funding application and/or agreement, without our prior written approval. The obligation will not be breached where you are required by law, Parliament or a stock exchange to disclose the relevant information or where the relevant information is publicly available (other than through breach of a confidentiality or non-disclosure obligation).

At any time, you may be required to arrange for you; or your employees, agents or subcontractors to give a written undertaking relating to nondisclosure of our confidential information in a form we consider acceptable.

⁵ https://www.industry.gov.au/data-and-publications/privacy-policy

We will treat the information you give us as sensitive and therefore confidential if it meets all of the following conditions:

- you clearly identify the information as confidential and explain why we should treat it as confidential
- the information is commercially sensitive
- disclosing the information would cause unreasonable harm to you or someone else
- you provide the information with an understanding that it will stay confidential.

Confidential information may be disclosed:

- to the Panel and our Commonwealth and state and territory employees and contractors, to help us manage the program effectively
- to the Auditor-General, Ombudsman or Privacy Commissioner
- to the responsible Minister or Assistant Minister
- to a House or a Committee of the Australian Parliament.

We may also disclose confidential information if:

- we are required or authorised by law to disclose it
- you agree to the information being disclosed, or
- someone other than us has made the confidential information public.

14.5 Freedom of information

All documents in the possession of the Australian Government, including those about the program, are subject to the *Freedom of Information Act 1982* (Cth) (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

If someone requests a document under the FOI Act, we will release it (though we may need to consult with you and/or other parties first) unless it meets one of the exemptions set out in the FOI Act.

15. Glossary

| Term | Definition |
|---|--|
| application form | The document issued by the program delegate that project proponents use to apply for funding under the program. |
| assessment criteria | The specified principles or standards against which applications will be judged. These criteria are also used to assess the merits of proposals and, in the case of a competitive funding opportunity, to determine application ranking. |
| completion date | The expected date the funding activity must be completed and the funding spent by. |
| date of effect | The date on which a funding agreement is signed. |
| decision maker | Minister for Infrastructure, Transport, Regional Development and Local Government. |
| DITRDCA | The Department of Infrastructure, Transport, Regional Development, Communications and the Arts and the Commonwealth policy entity for this funding program. |
| eligible activities | The activities undertaken by a project proponent in relation to a project that are eligible for funding support as set out in 5.1. |
| eligible application | An application or proposal for funding under the program the program delegate has determined is eligible for assessment in accordance with these Program Guidelines |
| eligibility criteria | Refer to the mandatory criteria which must be met to qualify for funding. Assessment criteria may apply in addition to eligibility criteria. |
| eligible expenditure | The expenditure incurred by a project proponent on a project and which is eligible for funding support as set out in Appendix C. |
| First Nations Community Controlled Organisations | These organisations are Indigenous Australians Organisations or enterprises that either have an Indigenous Corporation Number (ICN) or can declare that they are a Traditional Owner or that their organisation is at least 51 per cent owned or controlled by Indigenous persons or the Indigenous Enterprise has 50 per cent Indigenous ownership. |
| funding activity/activities | Refers to the project/tasks/services that the project proponent is required to undertake. |

| Term | Definition |
|--|---|
| Funding agreement | A legally binding contract that sets out the relationship between the funding agency and a project proponent for the project funding and specifies the details of the funding. |
| funding delivery entity | The entity with which successful project proponents enter into a funding agreement and from which funding is received by successful project proponents. |
| funding opportunity | Refers to the specific funding round or process where Commonwealth funding is made available to potential project proponents. Funding opportunities may be open or targeted and will reflect the relevant funding selection process. |
| funding program | A 'program' carries its natural meaning and is intended to cover a potentially wide range of related activities aimed at achieving government policy outcomes. A funding program is a group of one or more funding opportunities under a single DITRDCA Portfolio Budget Statement Program. |
| ineligible activities | Ineligible activities are project activities which are inconsistent with the program objectives as set out in 5.2 |
| Minister | Minister for Infrastructure, Transport, Regional Development and Local Government. |
| multi-party Parliamentary panel (the panel) | The multi-party Parliamentary panel established to review the outcome of the DITRDCA merit assessment. The panel will provide a final recommendation of meritorious applications to DITRDCA. |
| Program Delegate | The Assistant Secretary within DITRDCA with delegated policy responsibility for the program. |
| Program Guidelines | Guidelines that the Minister gives to provide the framework for the administration of the program, as in force from time to time. |
| project proponent | An entity making application for funding through the program, whether they be successful or unsuccessful in securing funding. |
| peri-urban | Areas beyond the metropolitan fringe, at the interface between city and country but within the economic and social catchment of a large metropolitan area. May also refer to areas considered on the urban periphery into which cities expand or which cities influence. |

| Term | Definition |
|----------------------------------|--|
| personal information | Has the same meaning as in the <i>Privacy Act 1988</i> (Cth) which is: |
| | Information or an opinion about an identified individual, or an individual who is reasonably identifiable: |
| | a. whether the information or opinion is true or not; andb. whether the information or opinion is recorded in a material form or not. |
| program funding or program funds | The funding made available by the Commonwealth for the program. |
| project | A project described in an application for funding under the program. |
| selection criteria | Comprises the eligibility criteria and assessment criteria. |
| shovel ready | Where project planning is advanced enough that – with sufficient funding – construction can begin within a very short timeframe on execution of a funding agreement. |
| value with money | Value with money in this document refers to 'value with relevant money' which is a judgement based on the funding proposal representing an efficient, effective, economical and ethical use of public resources and determined from a variety of considerations. |
| | When administering a funding opportunity, an official should consider the relevant financial and non-financial costs and benefits of each proposal including, but not limited to: |
| | the quality of the project proposal and activities; |
| | fit for purpose of the proposal in contributing to government objectives; |
| | that the absence of funding is likely to prevent the project proponent and government's outcomes being achieved; and |
| | the potential project proponent's relevant experience and performance history. |

Appendix A. Eligible Project Activities

The following is a list of examples of eligible activities that align to place-based priorities and support community liveability.

The program delegate may impose limitations or exclude eligible project activities and/or eligible expenditure, or further include some eligible project activities listed in these guidelines, in a funding agreement, or otherwise by notice to you.

- Arts and cultural services and facilities (including museums, collections and displays)
- Streetscapes, parks and area beautification
- Libraries
- Multi-purpose community facilities and services, such as community hubs, youth centres, community sheds and gardens
- Multi-use sports and recreation facilities and services
- Pools
- Foreshore/Beach infrastructure
- Town centre revitalisation, such as bike or walking paths, play spaces and green spaces
- Local government airport facilities.

Appendix B. Ineligible Project Activities

The following is a list of examples of ineligible activities that do not align to the intended outcomes of the program.

This list is not exhaustive and applies only to the expenditure of the funds. Other costs may be ineligible where the Program Delegate determines they do not directly support the achievement of the planned outcomes for the project, or that they are contrary to the objectives of the program.

- Aged care facilities
- Disability services
- Housing or accommodation
- Infrastructure related to waste, sewage, water, environmental and energy facilities and telecommunications
- New or upgraded infrastructure for health services such as hospitals or medical centres
- Rehabilitation services
- Roads or transport
- Schools
- Universities
- Vehicle services
- Facilities that are primarily for a commercial purpose (would not preclude a café, shop or other small businesses being within the facility, but this should not be the main purpose).

Appendix C. Guidance on Eligible Expenditure

This section provides guidance on the eligibility of expenditure. This guidance may be updated from time to time; check online that you are referring to the most current version before preparing your application.

The program delegate makes the final decision on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

To be eligible, expenditure must:

- be incurred by you within the project period
- be a direct cost of the project
- be incurred by you to undertake required project audit activities (where applicable)
- meet the eligible expenditure guidelines.

C.1 Verifying eligible expenditure

If your application is successful, you may be asked to verify the project budget that you provided in your application when you negotiate your funding agreement with your relevant funding agreement agency. You may need to provide evidence such as quotes for major costs.

The funding agreement will include details of the evidence you may need to provide when you achieve certain milestones in your project. This may include evidence related to eligible expenditure.

If requested, you will need to provide the agreed evidence along with your progress reports.

You must keep payment records of all eligible expenditure, and be able to explain how the costs relate to the agreed project activities. At any time, you may be asked to provide records of the expenditure you have paid. If you do not provide these records when requested, the expense may not qualify as eligible expenditure.

At the end of the project, you will be required to provide an independent financial audit of all eligible expenditure from the project.

C.2 Materials for construction

Costs of acquiring materials for the construction of infrastructure are considered eligible expenditure. Where possible and suitable for your project, you should use locally procured and sustainable, recycled or repurposed building materials. For example applications of sustainable, recycled or repurposed building materials and to find potential suppliers see the <u>sustainable and</u> <u>recycled products</u> page on the Department of Climate Change, Energy, the Environment and Water website.

You must list material costs as a separate item within your project budget in the application form and in the expenditure table in your progress reports.

Any payments to you for any expenditure you have incurred will not be paid prior to the execution of your funding agreement.

Examples of eligible material costs can include:

- building materials
- ICT cabling
- fit out of the infrastructure, such as window dressings

- fixed furniture (e.g., kitchen fit outs as part of the construction of a building)
- landscaping.

You may show expenditure on materials by providing evidence of:

- purchase price
- payments (e.g., tax invoices and receipts from suppliers confirming payment)
- commitment to pay for the materials (e.g., supplier contract, purchase order or executed lease agreement)
- receipt of materials (e.g., supplier or freight documents)
- associated costs such as freight and installation (e.g., supplier documents)
- photographs of the infrastructure on your premises.

If you claim expenditure for materials, we limit this to:

- the costs of materials
- freight costs.

C.3 Hired/leased plant

You may lease plant and equipment to support your project, and where possible, you should use local suppliers.

You must calculate eligible expenditure for hired, rented, or leased plant by the number of payment periods where you use the plant for the project multiplied by the period hiring fee. If you purchase plant under a hire purchase agreement, or you use a lease to finance the purchase of the plant, the cost of the item of plant, excluding interest, is capitalised, and then depreciated.

Running costs for hired or leased plant are eligible expenditure but you must be able to verify them. They may include items such as rent, light and power, and repairs and maintenance.

C.4 Contract expenditure

Eligible contract expenditure is the cost of work undertaken on any agreed eligible project activities that you contract others to do. This can include contracting:

- another organisation
- an individual who is not an employee, but engaged under a separate contract.

This does not include existing employees that you pay a salary or a wage.

Where possible, you should engage local contractor/operators. All contractors must have a written contract prior to starting any project work—for example, a formal agreement, letter or purchase order which specifies:

- the nature of the work they perform
- the applicable fees, charges and other costs payable.

Invoices from contractors must contain:

- a detailed description of the nature of the work
- the hours and hourly rates involved
- any specific plant expenses paid.

Invoices must directly relate to the agreed project, and the work must qualify as an eligible expense. The costs must also be reasonable and appropriate for the activities performed.

Evidence will be required regarding contractor expenditure that may include:

- an exchange of letters (including email) setting out the terms and conditions of the proposed contract work
- purchase orders
- supply agreements
- invoices and payment documents.

You must ensure all project contractors keep a record of the costs of their work on the project. You may be required to provide a contractor's records of their costs of doing project work. If you cannot provide these records, the relevant contract expense may not qualify as eligible expenditure.

C.5 External labour hire and external consulting expertise

Eligible external labour and external consulting expenditure for the funding covers the cost of contracting others on the core elements of the project related to construction. Where possible, you should engage local labour and services.

Eligible external labour hire and external consulting expenditure may include:

- Architect services
- Design services
- Project management
- Quantity surveying
- Building services.

Costs for pre-construction activities including architect services, design, surveying, planning, environmental or other regulatory approvals (A.5 and A.6), are limited to 20 per cent of the total amount of eligible project expenditure claimed. These costs are only eligible if the activities occur during the project period as defined in your funding agreement.

C.6 Other eligible expenditure

Other eligible expenditure for the project may include:

- financial auditing of project expenditure
- costs you incur in order to obtain planning, environmental or other regulatory approvals during the project period. However, associated fees paid to the Commonwealth, state, territory and local governments are not eligible.

Other specific expenditures may be eligible as determined by the Program Delegate in accordance with Government practice.

Evidence you need to supply can include but is not limited to supplier contracts, purchase orders, invoices and supplier confirmation of payment

Appendix D. Guidance on Ineligible Expenditure

This section provides guidance on what we consider ineligible expenditure. We may update this guidance from time to time; check online that you are referring to the most current version before preparing your application.

The program delegate may impose limitations or exclude expenditure, or further include some ineligible expenditure listed in these guidelines in a funding agreement or otherwise by notice to you.

Examples of ineligible expenditure include:

- purchase of land or existing infrastructure and essential services, including the costs associated with sub-division of land
- repair or replacement of existing infrastructure where there is no demonstrated significant increase in benefit
- costs associated with existing staff of your organisation including wages or employee on-costs such as superannuation, holiday loading, overheads
- consumables such as paper, printer cartridges, office supplies, brochures and other marketing materials, kitchen supplies or food and beverages or catering, unless an exemption is sought and approved
- retrospective costs
- temporary relocation costs
- ongoing upgrades, updates and maintenance of existing ICT systems, the cost of ongoing subscription-based software, and IT support memberships and warranties for purchases
- domestic or overseas travel
- funding to develop or deliver ongoing training or educational courses
- funding to undertake studies, including feasibility studies or investigations
- funding for the development of private or commercial ventures
- funding to purchase items that will not remain the property of the organisation including items to be given away
- projects primarily designed to deliver single-function services to the community that generally fall under the remit of state or territory government, local government or other Commonwealth departments such as standalone health care, aged care, disability, housing and primary, secondary and tertiary education and animal services.
- projects that are primarily for commercial purposes
- purchase and installation of manufacturing equipment
- subsidy of general ongoing administration of an organisation such as electricity, phone and rent
- payment of salaries for the project proponent's employees
- project overhead items including office equipment, vehicles or mobile capital equipment.
 Examples include trucks and earthmoving equipment and the project proponent's internal plant operating costs
- costs incurred in the preparation of a funding application or related documentation for example business case development and feasibility studies
- costs related to registered training organisation training activities

- routine operational expenses, including communications, accommodation, printing and stationery, postage, legal and accounting fees and bank charges
- making donations, gifts and sponsorships
- pre-construction activities, including, but not limited to architect services, design, surveying, planning, environmental or other regulatory approvals (A.5 and A.6), that exceed 20 per cent of the total eligible project expenditure
- costs incurred prior to the execution of a funding agreement
- fees paid to the Commonwealth, state, territory and local governments to obtain planning, environmental or other regulatory approvals

Additional examples of ineligible expenditure specific to investment ready projects include:

- purchase of unfixed furniture, such as desks and fridges
- ICT equipment, including software or hardware that is not an integral part of the funded infrastructure project
- office computing facilities.

This list is not exhaustive and applies only to the expenditure of the funds. Other costs may be ineligible where the Program Delegate determines they do not directly support the achievement of the planned outcomes for the project or, that they are contrary to the objective of the program.

You must ensure you have adequate funds to meet the costs of any ineligible expenditure associated with the project.

Appendix E. Low rate-based councils

| State/Territory | Local Government Area (2020) |
|-----------------|------------------------------|
| | Blacktown |
| | Blue Mountains |
| | Campbelltown |
| NSW | Central Coast |
| | Fairfield |
| | Hawkesbury |
| | Wollondilly |
| QLD | Lockyer Valley |
| | Somerset |
| | Adelaide Plains |
| SA | Gawler |
| | Playford |
| | Salisbury |
| | Brighton |
| TAS | Derwent Valley |
| | Kingborough |
| | Sorrell |
| | Cardinia |
| | Macedon Ranges |
| VIC | Melton |
| | Moorabool |
| | Whittlesea |
| | Yarra Ranges |
| | Murray |
| WA | Mundaring |
| | Serpentine-Jarrahdale |
| NT | Litchfield |