Grant Opportunity Guidelines

Great Barrier Reef Marine Debris Management and Mitigation Grant Opportunity

Opening date:	5 July 2023
Closing date and time:	5.00pm Australian Eastern Standard Time on 11 August 2023
	Please take account of time zone differences when submitting your application.
Commonwealth policy entity:	Department of Climate Change, Energy, the Environment and Water (DCCEEW)
Administering entity:	Department of Industry, Science and Resources (DISR)
Enquiries:	If you have any questions, contact us on 13 28 46.
Date guidelines released:	21 June 2023
Type of grant opportunity:	Open competitive

Contents

1.	Great Barrier Reef Marine Debris Management and Mitigation Grant Opportunity processes		
	1.1.	Introduction	5
2.	About	the Reef Protection and Restoration Package	
	2.1.	About the Great Barrier Reef Marine Debris Management and Mitigation grant opportunity	
3.	Grant	amount and grant period	6
	3.1.	Grants available	6
	3.2.	Project period	6
4.	Eligib	ility criteria	6
	4.1.	Who is eligible?	6
	4.2.	Additional eligibility requirements	7
	4.3.	Who is not eligible?	7
5.	What	the grant money can be used for	7
	5.1.	Eligible activities	7
	5.2.	Eligible expenditure	7
	5.3.	What you cannot use the grant for	8
6. The assessment criteria		ssessment criteria	9
	6.1.	Assessment criterion 1	9
	6.2.	Assessment criterion 2	9
	6.3.	Assessment criterion 3	10
7.	How t	o apply	10
	7.1.	Attachments to the application	11
	7.2.	Joint applications	11
	7.3.	Timing of grant opportunity	11
8. The grant selection process		rant selection process	12
	8.1.	Who will approve grants?	12
9.	Notific	cation of application outcomes	13
10.	Succe	ssful grant applications	13
	10.1.	Grant agreement	13
	10.2.	Project/Activity specific legislation, policies and industry standards	13
	10.3.	How we pay the grant	14
	10.4.	Tax obligations	14
11.	Annou	uncement of grants	14
12.	How v	ve monitor your grant activity	14
	12.1.	Keeping us informed	14
	12.2.	Reporting	15
		12.2.1. Progress reports	15
		12.2.2. End of project report	15

	12.2.3. Ad-hoc reports	.16
12.3.	Independent audits	.16
12.4.	Compliance visits	.16
12.5.	Grant agreement variations	.16
12.6.	Evaluation	.17
12.7.	Grant acknowledgement	.17
Probity	<i>1</i>	.17
13.1.	Conflicts of interest	.17
13.2.	How we use your information	.18
	13.2.1. How we handle your confidential information	.18
	13.2.2. When we may disclose confidential information	.18
	13.2.3. How we use your personal information	.18
	13.2.4. Freedom of information	.19
13.3.	Enquiries and feedback	.19
Glossa	ry	.20
	12.4. 12.5. 12.6. 12.7. Probity 13.1. 13.2.	12.3. Independent audits 12.4. Compliance visits 12.5. Grant agreement variations 12.6. Evaluation 12.7. Grant acknowledgement Probity 13.1. Conflicts of interest 13.2. How we use your information 13.2.1. How we handle your confidential information 13.2.2. When we may disclose confidential information 13.2.3. How we use your personal information 13.2.4. Freedom of information

1. Great Barrier Reef Marine Debris Management and Mitigation Grant Opportunity processes

The Great Barrier Reef Marine Debris Management and Mitigation Grant Opportunity is designed to achieve Australian Government objectives

This grant opportunity contributes to the Department of Climate Change, Energy, the Environment and Water's (DCCEEW)'s Outcome 2: Conserve, protect and sustainably manage Australia's biodiversity, ecosystems, environment and heritage through research, information management, supporting natural resource management, establishing and managing Commonwealth protected areas, and reducing and regulating the use of pollutants and hazardous substances. DCCEEW works with stakeholders to plan and design the grant program according to the Commonwealth Grants Rules and Guidelines.



The grant opportunity opens

We publish the grant guidelines on business.gov.au and GrantConnect.



You complete and submit a grant application

You complete the application form, addressing all the eligibility and assessment criteria in order for your application to be considered.



We assess all grant applications

We review the applications against eligibility criteria and notify you if you are not eligible.

We assess eligible applications against the assessment criteria including an overall consideration of value with relevant money and compare it to other eligible applications.



We make grant recommendations

DCCEEW provide advice to the decision maker on the merits of each application.



Grant decisions are made

The decision maker decides which applications are successful.



We notify you of the outcome

We advise you of the outcome of your application. We may not notify unsuccessful applicants until grant agreements have been executed with successful applicants.



We enter into a grant agreement

We will enter into a grant agreement with successful applicants. The type of grant agreement is based on the nature of the grant and proportional to the risks involved.



Delivery of grant

You complete the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.



Evaluation of the grant opportunity

DCCEEW will evaluate the specific grant activity and grant opportunity as a whole. DCCEEW base this on information you provide to us and that we collect from various sources.

1.1. Introduction

These guidelines contain information for the Great Barrier Reef Marine Debris Management and Mitigation grant.

This document sets out:

- the eligibility and assessment criteria
- how we consider and assess grant applications
- how we notify applicants and enter into grant agreements with grantees
- how we monitor and evaluate grantees' performance
- responsibilities and expectations in relation to the opportunity.

The Department of Industry, Science and Resources (the department/DISR) is responsible for administering this grant opportunity on behalf of the Department of Climate Change, Energy, the Environment and Water (DCCEEW).

We have defined key terms used in these guidelines in the glossary at section 14.

You should read this document carefully before you fill out an application.

2. About the Reef Protection and Restoration Package

The Reef Protection and Restoration Package is contributing to the implementation of the Reef 2050 Long-Term Sustainability Plan 2021-25 (Reef 2050) and Reef Trust Outcomes. The package aims to provide innovative, targeted investment to the Great Barrier Reef region, focused on improving water quality, restoring coastal ecosystem health and enhancing species protection. The Great Barrier Reef Marine Debris Management and Mitigation grant opportunity is one component under this package.

2.1. About the Great Barrier Reef Marine Debris Management and Mitigation grant opportunity

The Great Barrier Reef Marine Debris Management and Mitigation grant opportunity (the program) will run over 7 years from 2023-24 to 2029-30 across two rounds.

Marine debris has been identified as a significant risk to the Great Barrier Reef's ecosystem and is considered a key threat to the Reef region's values. Marine debris is defined as 'manufactured material discarded, disposed of or abandoned in the marine and coastal environment (including discarded fishing gear and plastics)'.

The objectives of the program are to:

- manage and reduce the threat of marine debris to species such as coral, turtles, dugongs and seabirds
- mitigate negative impacts on tourism, recreation and fishing (commercial and recreational)
 within the Great Barrier Reef
- develop targeted education and awareness raising activities to prevent litter from entering Great Barrier Reef waterways
- encourage greater community participation and involvement, including with First Nations peoples
- inform and contribute to wider plastic management and mitigation strategic policy for state and/or national scale implementation.

The intended outcomes of the program are to:

- expand efforts to remove more plastic from the marine and coastal environment
- accelerate action to reduce the threat of marine debris to the Reef and its iconic species
- contribute to the collection of debris data over time to inform trends, reporting and strategy development.

There may be other grant opportunities as part of this program and we will publish the opening and closing dates and any other relevant information on <u>business.gov.au</u> and <u>GrantConnect</u>.

We administer the program according to the <u>Commonwealth Grants Rules and Guidelines</u> (CGRGs)¹.

3. Grant amount and grant period

The Australian Government has announced a total of \$7.5 million over 7 years for the program.

For this first round of the grant opportunity, \$3.5 million is available from 2023-24 to 2026-27.

3.1. Grants available

The grant amount will be up to 100 per cent of eligible project expenditure (grant percentage).

The maximum grant amount is \$3.5 million.

You are responsible for the remaining eligible and ineligible project costs.

If you enter into a grant agreement under the program, you cannot receive other grants for the same activities from other Commonwealth, state or territory granting programs.

3.2. Project period

You must complete all project activities by 31 March 2027.

4. Eligibility criteria

We cannot consider your application if you do not satisfy all eligibility criteria.

4.1. Who is eligible?

To be eligible you must:

have an Australian Business Number (ABN)

and be one of the following entities:

- an entity incorporated in Australia
- an Aboriginal and Torres Strait Islander Corporation registered under the Corporations (Aboriginal and Torres Strait Islander) Act 2006 (Cth)
- an incorporated association
- an incorporated not for profit organisation
- a publicly funded research organisation (PFRO) as defined in section 14
- an Australian local government agency or body as defined in section 14.

Joint applications are acceptable, provided you have a lead organisation who is the main driver of the project and is eligible to apply. For further information on joint applications, refer to section 7.2.

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¹ https://www.finance.gov.au/government/commonwealth-grants/commonwealth-grants-rules-guidelines

4.2. Additional eligibility requirements

We can only accept applications where you declare that:

- the proposed project does not duplicate other Commonwealth, state and territory governmentfunded management actions that are already underway in the location you are proposing to undertake activities
- you will contribute and collaborate with other Commonwealth programs and initiatives, including but not limited to, DCCEEW's National plastic pollution monitoring & storm water project.

We cannot waive the eligibility criteria under any circumstances.

4.3. Who is not eligible?

You are not eligible to apply if you are:

- an entity not listed in section 4.1
- an individual
- a partnership
- an unincorporated association
- a trust (however, an incorporated trustee may apply on behalf of a trust).
- an organisation, or your project partner is an organisation, included on the <u>National Redress</u>
 <u>Scheme's website</u> on the list of 'Institutions that have not joined or signified their intent to join
 the Scheme'
- an employer of 100 or more employees that has <u>not complied</u> with the Workplace Gender Equality Act (2012).

5. What the grant money can be used for

5.1. Eligible activities

To be eligible your project must be aimed at the program objectives outlined in section 2. Eligible activities may include:

- marine debris clean-up such as the collection, removal and disposal of marine debris to reuse, recycle or deposit into landfill
- collection and analysis of data generated through debris management and mitigation including provision to national debris and plastics programs and initiatives (as directed by DCCEEW)
- education and awareness raising campaigns to develop actions to prevent litter from entering
 Great Barrier Reef waterways
- establishing networks and key partnerships, including with First Nations peoples, to support the management and mitigation of marine debris in the Great Barrier Reef
- implementation of appropriate data management systems (database) to collect, analyse and report on the marine debris data including volume and type of marine debris removed; number of volunteers involved; and area covered in the clean-up.

We may also approve other activities.

5.2. Eligible expenditure

You can only spend grant funds on eligible expenditure you have incurred on an agreed project as defined in your grant agreement.

Eligible expenditure items include the following, where they are directly related to the project:

- labour and on-costs for personnel directly employed in delivering the project activities (this should be calculated on a pro-rata basis relative to their time commitment). This excludes project management or project co-ordination costs which are covered under administrative support below
- contractor costs for the delivery of eligible project activities. All contractors must have a written contract prior to starting any project work. Invoices from contractors must contain a detailed description and breakdown of the work including hours and hourly rates
- costs associated with administrative support activities up to a maximum of 10 per cent of total eligible expenditure incurred through the delivery of eligible project activities
- costs associated with monitoring and reporting activities up to a maximum of 5 per cent of total eligible expenditure
- domestic travel limited to the reasonable cost of accommodation and transportation required to conduct agreed project activities in Australia
- staff training that directly supports the achievement of project outcomes
- the cost of an independent audit of project expenditure up to a maximum of 1 per cent of total eligible project expenditure.

If your application is successful, we may ask you to verify project costs that you provided in your application. You may need to provide evidence such as quotes for major costs.

Not all expenditure on your project may be eligible for grant funding. The Program Delegate (who is a manager within the department with responsibility for administering the program) makes the final decision in consultation with DCCEEW on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

To be eligible, expenditure must:

- be a direct cost of the project
- be incurred by you for required project audit activities.

You must incur the project expenditure between the project start and end date for it to be eligible unless agreed otherwise.

You may elect to commence your project from the date we notify you that your application is successful. We are not responsible for any expenditure you incur until a grant agreement is executed. The Commonwealth will not be liable, and should not be held as being liable, for any activities undertaken before the grant agreement is executed.

5.3. What you cannot use the grant for

Expenditure items that are not eligible are:

- activities that are likely to have a significant adverse impact on any matter of national environmental significance under the Environment Protection and Biodiversity Conservation Act 1999 (EPBC Act)
- activities that do not support or contribute to the delivery of Reef 2050 and Reef Trust outcomes
- activities required as compliance or government business or are part of an approval under Commonwealth, state or territory legislation, for example the provision of an environmental offset under the EPBC Act

- activities that fall outside either the Great Barrier Reef Natural Resource Management regions, the Great Barrier Reef Marine Park (GBRMP) or islands within the GBRMP, unless agreed by the Program Delegate, in consultation with DCCEEW
- research activities not directly supporting eligible activities
- activities, equipment or supplies that are already being supported through other sources
- costs incurred prior to us notifying you that the application is successful
- any in-kind contributions
- financing costs, including interest
- non-project-related staff training and development costs
- depreciation of plant and equipment beyond the life of the project
- maintenance costs
- recurring or ongoing operational expenditure (including maintenance, rent, water and rates, postage, legal and accounting fees and bank charges).

This list is not exhaustive and applies only to the expenditure of the grant funds. Other costs may be ineligible where we decide that they do not directly support the achievement of the planned outcomes for the project or that they are contrary to the objective of the program. You must ensure you have adequate funds to meet the costs of any ineligible expenditure associated with the project.

6. The assessment criteria

You must address all assessment criteria in your application. We will assess your application based on the weighting given to each criterion.

The application form asks questions that relate to the assessment criteria below. The amount of detail and supporting evidence you provide in your application should be relative to the project size, complexity and grant amount requested. You should provide evidence to support your answers. The application form displays character limits for each response.

We will only consider funding applications that score at least 50 per cent against each assessment criterion, as these represent best value for money.

6.1. Assessment criterion 1

Project alignment with program objectives (30 points).

You should demonstrate this by describing how:

- a. your project aligns with the Reef 2050 plan, including Indigenous and cultural heritage initiatives
- b. your project contributes to the achievement of Reef Trust Outcomes
- c. your project will impact the local marine and/or coastal environment through management or mitigation of marine debris
- d. you will deliver targeted education and awareness raising
- e. you intend to bring the local community, including First Nations peoples, and stakeholders together to support your project.

6.2. Assessment criterion 2

Technical understanding and awareness (20 points).

You must demonstrate this by explaining your:

- a. robust technical understanding of the Great Barrier Reef's environs including, but not limited to, hydrology (flood patterns, impacts of cyclones), topography, waterways and coastal systems (estuaries, islands and coastlines) and priority pollutants from urban and peri-urban centres
- b. clear awareness of other key National and Queensland strategies and plans relevant to marine debris and how your project aligns with and/or contributes towards them, including but not limited to, the National Waste Policy Action and Plastics Plans, the Threat Abatement Plan and Recycling and Waste Reduction Act.

6.3. Assessment criterion 3

Capacity, capability, and resources to deliver the project (50 points).

You must demonstrate this by describing:

- a. your track record of successfully managing similar projects
- b. your access to personnel with the right skills and experience in delivering your project activities
- c. how you will manage the project including obtaining approvals, methodology, budget, risk management and governance
- d. how you will monitor and measure the benefit of the project against the sustainability of the Great Barrier Reef.

You will be required to submit a project plan including a position description and CV of all relevant personnel.

7. How to apply

Before applying you should read and understand these guidelines, the sample <u>application form</u> and the sample <u>grant agreement</u> published on <u>business.gov.au</u> and GrantConnect. Applicants should read all eligibility and assessment criteria closely and attach detailed evidence that supports the assessment criteria.

You will need to set up an account to access our online portal.

To apply, you must:

- complete and submit your application through the online portal
- provide all the information requested
- address all eligibility and assessment criteria
- include all necessary attachments.

You can view and print a copy of your submitted application on the portal for your own records.

You are responsible for making sure your application is complete and accurate. Giving false or misleading information is a serious offence under the *Criminal Code Act 1995* (Cth). If we consider that you have provided false or misleading information we may not progress your application. If you find an error in your application after submitting it, you should call us immediately on 13 28 46.

After submitting your application, we can contact you for clarification if we find an error or any missing information, including evidence that supports your eligibility/merit. The acceptance of any additional information provided after the submission of your application is at the discretion of the Program Delegate. Additional information should not materially change your application at the time it was submitted and therefore may be refused if deemed to be purely supplementary.

If you need further guidance around the application process, or if you have any issues with the portal, <u>contact us</u> at <u>business.gov.au</u> or by calling 13 28 46.

7.1. Attachments to the application

You must provide the following documents with your application:

- a detailed project plan, including position descriptions and CVs of key of personnel
- a risk mitigation plan
- a detailed project budget
- a letter of support from project partners (where applicable) that includes the details outlined in section 7.2
- evidence that supports assessment criteria responses (where applicable)
- trust deed (where applicable).

You must attach supporting documentation to the application form in line with the instructions provided within the form. You should only attach requested documents. The total of all attachments cannot exceed 20MB. We will not consider information in attachments that we do not request.

7.2. Joint applications

We recognise that some organisations may want to join together as a group to deliver a project. In these circumstances, you must appoint a lead organisation. Only the lead organisation can submit the application form and enter into the grant agreement with the Commonwealth. The application should identify all members of the proposed group and include a letter of support from each of the project partners. Each letter of support should include:

- details of the project partner
- an overview of how the project partner will work with the lead organisation and any other project partners in the group to successfully complete the project
- an outline of the relevant experience and/or expertise the project partner will bring to the group
- the roles/responsibilities the project partner will undertake, and the resources it will contribute (if any)
- details of a nominated management level contact officer.

You must have a formal arrangement in place with all parties prior to execution of the grant agreement.

7.3. Timing of grant opportunity

You can only submit an application between the published opening and closing dates. We cannot accept late applications.

If you are successful we expect you will be able to commence your project around November 2023.

Table 1: Expected timing for this grant opportunity

Activity	Timeframe
Assessment of applications	4 weeks
Approval and announcement of successful applicants	4 weeks
Negotiations of grant agreements	1-3 weeks
Notification to unsuccessful applicants	2 weeks
Earliest start date of project	The date you are notified that your application is successful
Project completion date	30 June 2027
End date of grant commitment	September 2027

8. The grant selection process

We first review your application against the eligibility criteria. If eligible, the committee will then assess it against the assessment criteria. Only eligible applications will proceed to the assessment stage.

We consider your application on its merits, based on:

- how well it meets the criteria
- how it compares to other applications
- whether it provides value with relevant money.

When assessing whether the application represents value with relevant money, we will have regard to:

- the overall objectives of the grant opportunity
- the evidence provided to demonstrate how your project contributes to meeting those objectives
- the relative value of the grant sought

If applications are scored the same, the committee will consider value for money and alignment to the program objectives to recommend applications for funding.

We will establish a committee comprised of employees from DCCEEW to assess applications. The committee may also seek additional advice from independent technical experts.

The committee will assess your application against the assessment criteria and compare it to other eligible applications before recommending which projects to fund. The committee will be required to perform their duties in accordance with the CGRGs.

The committee may seek additional information about you or your application. They may do this from within the Commonwealth, even if you do not nominate the sources as referees. The committee may also consider information about you or your application that is available as a result of the due diligence process or through the normal course of business.

8.1. Who will approve grants?

The Decision Maker (who is a Senior Executive in DCCEEW with policy responsibility for the program) decides which grants to approve taking into account the recommendations of the committee and the availability of grant funds.

The Decision Maker's decision is final in all matters, including:

- the grant approval
- the grant funding to be awarded
- any conditions attached to the offer of grant funding

We cannot review decisions about the merits of your application.

The Decision Maker will not approve funding if there is insufficient program funds available across relevant financial years for the program.

Notification of application outcomes 9.

We will advise you of the outcome of your application in writing. If you are successful, we advise you of any specific conditions attached to the grant.

If you are unsuccessful, we will give you an opportunity to discuss the outcome with us.

Successful grant applications **10**.

10.1. **Grant agreement**

You must enter into a legally binding grant agreement with the Commonwealth. The grant agreement has general terms and conditions that cannot be changed. A sample grant agreement is available on business.gov.au and GrantConnect.

We will manage the grant agreement through the online portal. This includes issuing and executing the grant agreement.

Execute means both you and the Commonwealth have accepted the agreement. We are not responsible for any expenditure you incur and cannot make any payments until a grant agreement is executed.

The approval of your grant may have specific conditions determined by the assessment process or other considerations made by the Decision Maker. We will identify these in the offer of grant funding.

If you enter an agreement under the Great Barrier Reef Marine Debris Management and Mitigation Grant, you cannot receive other grants for the same activities from other Commonwealth, state or territory granting programs.

The Commonwealth may recover grant funds if there is a breach of the grant agreement.

We will use a standard grant agreement.

You will have 30 days from the date of a written offer to execute this grant agreement with the Commonwealth. During this time, we will work with you to finalise details.

The offer may lapse if both parties do not sign the grant agreement within this time. Under certain circumstances, we may extend this period. We base the approval of your grant on the information you provide in your application. We will review any required changes to these details to ensure they do not impact the project as approved by the Decision Maker.

Project/Activity specific legislation, policies and industry standards

You must comply with all relevant laws, regulations and Australian Government sanctions in undertaking your project. You must also comply with the specific legislation/policies/industry standards that follow. It is a condition of the grant funding that you meet these requirements. We will include these requirements in your grant agreement.

In particular, you will be required to comply with state/territory legislation in relation to working with children.

10.3. How we pay the grant

The grant agreement will state the:

- maximum grant amount we will pay
- proportion of eligible expenditure covered by the grant (grant percentage)
- any financial contribution provided by you or a third party.

We will not exceed the maximum grant amount under any circumstances. If you incur extra costs, you must meet them yourself.

We will make payments according to an agreed schedule set out in the grant agreement. Payments are subject to satisfactory progress on the project.

10.4. Tax obligations

If you are registered for the Goods and Services Tax (GST), where applicable we will add GST to your grant payment and provide you with a recipient created tax invoice. You are required to notify us if your GST registration status changes during the project period. GST does not apply to grant payments to government related entities².

Grants are assessable income for taxation purposes, unless exempted by a taxation law. We recommend you seek independent professional advice on your taxation obligations or seek assistance from the <u>Australian Taxation Office</u>. We do not provide advice on tax.

11. Announcement of grants

We will publish non-sensitive details of successful projects on GrantConnect. We are required to do this by the <u>Commonwealth Grants Rules and Guidelines</u> unless otherwise prohibited by law. We may also publish this information on <u>business.gov.au</u>. This information may include:

- name of your organisation
- title of the project
- description of the project and its aims
- amount of grant funding awarded
- Australian Business Number
- business location

your organisation's industry sector.

12. How we monitor your grant activity

12.1. Keeping us informed

You should let us know if anything is likely to affect your project or organisation.

² See Australian Taxation Office ruling GSTR 2012/2 available at ato.gov.au

We need to know of any key changes to your organisation or its business activities, particularly if they affect your ability to complete your project, carry on business and pay debts due.

You must also inform us of any changes to your:

- name
- addresses
- nominated contact details
- bank account details.

If you become aware of a breach of terms and conditions under the grant agreement, you must contact us immediately.

You must notify us of events relating to your project and provide an opportunity for the Minister or their representative to attend.

12.2. Reporting

You must submit reports in line with the grant agreement. We will provide the requirements for these reports as appendices in the grant agreement. We will remind you of your reporting obligations before a report is due. We will expect you to report on:

- progress against agreed project milestones
- project expenditure, including expenditure of grant funds
- contributions of participants directly related to the project.
- you may also be required to report to DCCEEW using the Monitoring, Evaluation, Reporting
 and Improvement Tool (MERIT) to help the Commonwealth to evaluate the project's
 environmental outcomes. The reporting required in MERIT will not be duplicative.

The amount of detail you provide in your reports should be relative to the project size, complexity and grant amount.

We will monitor the progress of your project by assessing reports you submit and may conduct site visits to confirm details of your reports if necessary. Occasionally we may need to re-examine claims, seek further information or request an independent audit of claims and payments.

12.2.1. Progress reports

Progress reports must:

- include details of your progress towards completion of agreed project activities
- show the total eligible expenditure incurred to date
- include evidence of expenditure
- be submitted by the report due date (you can submit reports ahead of time if you have completed relevant project activities).

We will only make grant payments when we receive satisfactory progress reports.

You must discuss any project or milestone reporting delays with us as soon as you become aware of them.

12.2.2. End of project report

When you complete the project, you must submit an end of project report.

End of project reports must:

- include the agreed evidence as specified in the grant agreement
- identify the total eligible expenditure incurred for the project
- include a declaration that the grant money was spent in accordance with the grant agreement and to report on any underspends of the grant money
- be submitted by the report due date.

12.2.3. Ad-hoc reports

We may ask you for ad-hoc reports on your project. This may be to provide an update on progress, or any significant delays or difficulties in completing the project.

12.3. Independent audits

We will ask you to provide an independent audit report. An audit report will verify that you spent the grant in accordance with the grant agreement. The audit report requires you to prepare a statement of grant income and expenditure. The report template is available on business.gov.au and GrantConnect.

12.4. Compliance visits

We may visit you during the project period, or at the completion of your project to review your compliance with the grant agreement. We may also inspect the records you are required to keep under the grant agreement. We will provide you with reasonable notice of any compliance visit.

12.5. Grant agreement variations

We recognise that unexpected events may affect project progress. In these circumstances, you can request a variation to your grant agreement, including:

- changing project milestones
- extending the timeframe for completing the project
- changing project activities.

The program does not allow for an increase of grant funds.

If you want to propose changes to the grant agreement, you must put them in writing before the grant agreement end date. You can submit a variation request via our online portal.

If a delay in the project causes milestone achievement and payment dates to move to a different financial year, you will need a variation to the grant agreement. We can only move funds between financial years if there is enough program funding in the relevant year to allow for the revised payment schedule. If we cannot move the funds, you may lose some grant funding.

You should not assume that a variation request will be successful. We will consider your request based on factors such as:

- how it affects the project outcome
- consistency with the program policy objective, grant opportunity guidelines and any relevant policies of the department
- changes to the timing of grant payments
- availability of program funds.

12.6. Evaluation

DCCEEW will evaluate the grant opportunity to measure how well the outcomes and objectives have been achieved. We may use information from your application and project reports for this purpose. We may also interview you, or ask you for more information to help us understand how the grant impacted you and to evaluate how effective the program was in achieving its outcomes.

We may contact you up to two years after you finish your project for more information to assist with this evaluation.

12.7. Grant acknowledgement

If you make a public statement about a project funded under the program, including in a brochure or publication, you must acknowledge the Reef Trust and that funding is from the Australian Government.

Branding guidelines, including Reef Trust logos, will be made available to you.

If you erect signage in relation to the project, the signage must contain an acknowledgement of the grant.

13. Probity

We will make sure that the grant opportunity process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRGs.

13.1. Conflicts of interest

Any conflicts of interest could affect the performance of the grant opportunity and/or program. There may be a conflict of interest, or perceived conflict of interest, if our staff, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian Government officer or member of an external panel
- has a relationship with or interest in, an organisation, which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently or
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives a grant under the grant program/grant opportunity.

As part of your application, we will ask you to declare any perceived or existing conflicts of interests or confirm that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform us in writing immediately.

Conflicts of interest for Australian Government staff are handled as set out in the Australian Public Service Code of Conduct (Section 13(7))³ of the Public Service Act 1999 (Cth). Committee members and other officials including the decision maker must also declare any conflicts of interest.

³ https://www.legislation.gov.au/Details/C2019C00057

We publish our <u>conflict of interest policy</u>⁴ on the department's website. The Commonwealth policy entity also publishes a conflict of interest policy on its website.

13.2. How we use your information

Unless the information you provide to us is:

- confidential information as per 13.2.1, or
- personal information as per 13.2.3,

we may share the information with other government agencies for a relevant Commonwealth purpose such as:

- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research
- to announce the awarding of grants.

13.2.1. How we handle your confidential information

We will treat the information you give us as sensitive and therefore confidential if it meets all of the following conditions:

- you clearly identify the information as confidential and explain why we should treat it as confidential
- the information is commercially sensitive
- disclosing the information would cause unreasonable harm to you or someone else
- you provide the information with an understanding that it will stay confidential.

13.2.2. When we may disclose confidential information

We may disclose confidential information:

- to the committee and our Commonwealth employees and contractors, to help us manage the program effectively
- to the Auditor-General, Ombudsman or Privacy Commissioner
- to the responsible Minister or Assistant Minister
- to a House or a Committee of the Australian Parliament.

We may also disclose confidential information if

- we are required or authorised by law to disclose it
- you agree to the information being disclosed, or
- someone other than us has made the confidential information public.

13.2.3. How we use your personal information

We must treat your personal information according to the Australian Privacy Principles (APPs) and the *Privacy Act 1988* (Cth). This includes letting you know:

what personal information we collect

-

⁴ https://www.industry.gov.au/sites/default/files/July%202018/document/pdf/conflict-of-interest-and-insider-trading-policy.pdf?acsf files redirect

- why we collect your personal information
- to whom we give your personal information.

We may give the personal information we collect from you to our employees and contractors, the committee, and other Commonwealth employees and contractors, so we can:

- manage the program
- research, assess, monitor and analyse our programs and activities.

We, or the Minister, may:

- announce the names of successful applicants to the public
- publish personal information on the department's websites.

You may read our Privacy Policy⁵ on the department's website for more information on:

- what is personal information
- how we collect, use, disclose and store your personal information
- how you can access and correct your personal information.

13.2.4. Freedom of information

All documents in the possession of the Australian Government, including those about the program, are subject to the Freedom of Information Act 1982 (Cth) (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

If someone requests a document under the FOI Act, we will release it (though we may need to consult with you and/or other parties first) unless it meets one of the exemptions set out in the FOI Act.

Enquiries and feedback 13.3.

For further information or clarification, you can contact us on 13 28 46 or by web chat or through our online enquiry form on business.gov.au.

We may publish answers to your questions on our website as Frequently Asked Questions.

Our Customer Service Charter is available at business.gov.au. We use customer satisfaction surveys to improve our business operations and service.

If you have a complaint, call us on 13 28 46. We will refer your complaint to the appropriate manager.

If you are not satisfied with the way we handle your complaint, you can contact:

General Manager **Business Grants Hub** Department of Industry, Science and Resources **GPO Box 2013** CANBERRA ACT 2601

⁵ https://www.industry.gov.au/data-and-publications/privacy-policy

You can also contact the Commonwealth Ombudsman⁶ with your complaint (call 1300 362 072). There is no fee for making a complaint, and the Ombudsman may conduct an independent investigation.

14. **Glossary**

Term	Definition
Administering entity	The entity that is not responsible for the policy however is responsible for the administration of part or all of the grant administration processes.
Application form	The document issued by the Program Delegate that applicants use to apply for funding under the program.
Assessment criteria	The specified principles or standards, against which applications will be judged. These criteria are also used to assess the merits of proposals and, in the case of a competitive grant opportunity, to determine application ranking.
Department	The Department of Industry, Science and Resources.
Decision Maker	A Senior Executive in DCCEEW with policy responsibility for the program.
Eligible activities	The activities undertaken by a grantee in relation to a project that are eligible for funding support as set out in 5.1.
Eligible application	An application or proposal for grant funding under the program that the Program Delegate has determined is eligible for assessment in accordance with these guidelines.
Eligibility criteria	The mandatory criteria, which must be met to qualify for a grant. Assessment criteria may apply in addition to eligibility criteria.
Eligible expenditure	The expenditure incurred by a grantee on a project and which is eligible for funding support as set out in 5.2.
Grant agreement	A legally binding contract between the Commonwealth and a grantee for the grant funding.
Grant funding or grant funds	The funding made available by the Commonwealth to grantees under the program.
GrantConnect	The Australian Government's whole-of-government grants information system, which centralises the publication and reporting of Commonwealth grants in accordance with the CGRGs.
Grantee	The recipient of grant funding under a grant agreement.

⁶ <u>http://www.ombudsman.gov.au/</u>

Term	Definition
Guidelines	Guidelines that the Minister gives to the department to provide the framework for the administration of the program, as in force from time to time.
Local government agency or body	A local governing body as defined in the <i>Local Government (Financial Assistance) Act 1995</i> (Cth).
Minister	The Commonwealth Minister for the Environment and Water.
Personal information	Has the same meaning as in the <i>Privacy Act 1988</i> (Cth) which is:
	Information or an opinion about an identified individual, or an individual who is reasonably identifiable:
	a. whether the information or opinion is true or not; andb. whether the information or opinion is recorded in a material form or not.
Program Delegate	A manager within the department with responsibility for administering the program.
Program funding or Program funds	The funding made available by the Commonwealth for the program.
Project	A project described in an application for grant funding under the program.
Publicly funded research organisation (PFRO)	All higher education providers listed at Table A and Table B of the <i>Higher Education Support Act 2003</i> (Cth) and corporate Commonwealth entities, and state and territory business enterprises which undertake publicly funded research.
Reef Trust Outcomes	The Reef Trust is designed to direct funding in a targeted and strategic way to deliver against the following outcomes.
	Outcome 1: Improve the quality of water entering the Great Barrier Reef from broad-scale land use to increase the health and resilience of the Great Barrier Reef.
	Outcome 2: Improve the health and resilience of coastal habitats.
	Outcome 3: Improve and protect marine biodiversity, including the reduction of Crown-of-Thorns Starfish (Acanthaster Plancyi) and protection of listed threatened and migratory species such as Dugongs and marine turtles.
Reef 2050 Long-Term Sustainability Plan 2021-2025 (Reef 2050 plan)	The Australian and Queensland Government's overarching framework for protecting and managing the Great Barrier Reef to 2050. See Reef 2050 Plan